GOTOCORD GOME Total Ozone Column Retrieval Development







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July 2002

Cover Page

Reference No. of ITT ESA ITT AO/1-4235/02/I-LG Act.Ref.: 02.1AE.07

Proposal Title GOME Total Ozone Retrieval Development (GOTOCORD)

Our References GOTOCORD-V1A 10th July 2002

Project Period 1st October 2002 – 30th September 2003

Validity Period of Offer 1st July 2002 – 1st November 2002

Tenderer's Status University

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Figure caption for title graphics. 1979–2002 Winter time series of total ozone zonal mean in northern hemispheric mid-latitudes (30° N-60° N) and polar region (60° N-90° N). In this graph all TOMS data from Nimbus-7 (N7T), Meteor-3 (M3T) and Earthprobe (EPT) have been combined with GOME data in 1996–2002 (GOM). Shaded region indicates the post Pinatubo period with enhanced stratospheric aerosol loading following the volcanic eruption in the Phillipines. Blue symbols indicate values for so-called cold arctic winter where extensive areas of polar stratospheric clouds existed. The linear regression lines are the fit to the pre-Pinatubo data (before 1991). A major issue of future ozone reasearch is the study on the dynamical and chemical contribution to decadal change within the next decades and its possible link with climate change issue. A prerequisite of future trend studies are high quality ozone data from various platforms in particular from satellites (from EU Commission Report Scientific Assessment on European Research in the Stratosphere 1996–2000, ISBN 92-894-1398-0, 2001).



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Abstract

A novel total ozone retrieval algorithm is proposed to replace the standard DOAS (differential optical absorption spectroscopy) approach in current operational GOME retrieval. Using the weighting function approach the separation between slant column spectral fitting and airmass factor (AMF) calculation can be avoided. This weighting function method, called WF-DOAS, has been demonstrated to be applicable to nearinfrared tracegas column retrieval for SCIAMACHY and has been adapted for total ozone retrieval in the near UV region. First results using this promising approach show significant improvement as compared against V2.7 GOME level 2 data. The processing of the GOME data will be achieved using look-up-tables of reference intensities, weighting functions, and Ring spectra using state-of-the-art radiative transfer models CDI (spherical) and SCIATRAN (pseudo-spherical). Particular attention will be placed on the most appropriate use of modeled Ring spectra, which show strong dependence on the ozone profile shape at large solar zenith angles. The inclusion of molecular Ring will be explicitly accounted for in the retrieval. In addition to the prototype development of the algorithm extensive validation using GOME reference orbits and the WOUDC Dobson/Brewer data will be carried out.



1 Introduction and Relevant Background

The GOME is the first European satellite experiment dedicated to global ozone measurements (Burrows *et al.*, 1999b) and after seven years of operation (1995–2002) provides a unique long-term dataset. Combined with the TOMS dataset the satellite data record now extends to 24 years starting in 1978. GOME covers part of the data gap between 1994 and 1996, where no TOMS was available. Uncertainties in the continuation of the long-term trend in the late nineties are partially caused by this data gap due to a possible bias between EP-TOMS (1996-present) and the earlier TOMS data record (before 1994) (WMO Report, 1999).

In 2005 the first of a series of new GOME instruments (GOME2 generation) will be launched on the METOP series continuing ozone long-term monitoring in the next two decades. With the recent failure of QuikTOMS, GOME along with EP-TOMS are currently the only global ozone measurements from space available at the moment. Both instruments show some sign of aging since early 2000 (Bramstedt *et al.*, 2002a). The current and future total ozone algorithms in use with GOME are applicable to SCIA-MACHY (Bovensmann *et al.*, 1999) and OMI, so that the latter might be added to fill part of the data gap up to GOME2 if the data record of GOME and EP-TOMS cannot be extended to 2005.

The current GOME aboard ERS-2 plays a vital role in bridging the early satellite era (TOMS era) to the series of new platforms such as GOME2 and OMI. However, from various validation exercises there remain shortcomings in the current total ozone retrieval from GOME. In the standard DOAS approach the slant column density is first derived from a spectral fit to the logarithm of the sun-normalized radiance and conversion to vertical column densities are derived in a second step using airmass factors (AMF) calculated with radiative transfer models.

The seasonal variation observed in the differences to groundbased data (Lambert *et al.*, 1999; Lambert, 1995; Bramstedt *et al.*, 2002a) indicate problems with the airmass factor calculation in the GOME Data Processor (GDP) V2.7. In the most recent version 3.0 (not operational yet) an iterative AMF scheme with improved a-priori ozone profile climatology did not remove completely this seasonal variation [see ESA Users Consultation Meeting on Total Ozone, January 2002, J.C. Lambert, private communication]. There is still a need for further improvement.

In this study we propose a new total ozone algorithm, WF-DOAS (weighting function DOAS), which has been first demonstrated to be applicable to tracegas column retrieval



in the near-infrared region of SCIAMACHY (Buchwitz *et al.*, 2000a). This algorithm has been adapted to UV retrieval of total ozone and first results seem to indicate its promising potential. In this study the WF-DOAS will be developed into a prototype quasi-operational data processor using look–up tables for most RTM quantities such as reference intensities, weighting functions, and Ring spectra. Particular emphasis is put on the use of the most appropriate Ring spectra by including the effect of molecular Ring. A cloud correction based either on the operational GOME Data Processor (GDP) ICFA algorithm (initial cloud fitting algorithm) or the FRESCO algorithm (Koelemeijer *et al.*, 2001) will be used. The ghost vertical column up to the cloud-top-pressure will be estimated from an updated ozone climatology, either TOMS V7 (Wellemeyer *et al.*, 1997) or TOMS V8 climatology [G. Labow, private communication].

The new algorithm will be validated using the GOME reference orbits and by comparing with Dobson/Brewer data from the WOUDC database (Hare and Fioletov, 1998) as described in Bramstedt *et al.* (2002a). The goal of this study is to provide a novel algorithm which maintains a 1% relative consistency in the GOME data over the lifespan of GOME.



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2 Technical Proposal

2.1 Standard DOAS

Differential Optical Absorption Spectroscopy (DOAS) was developed for ground-based zenith-sky and long path measurements of atmospheric trace constituents Platt and Perner (1980). The GOME instrument launched in 1995 permits for the first time the DOAS method to be applied to space-borne observations (Burrows *et al.*, 1993, 1999b; GOME LVL2, 2000)

For space application of the DOAS algorithm to back-scattered measurements of upwelling radiances comprises of three steps. First the slant column density is obtained by fitting a superposition of molecular absorption cross-section spectrum and a polynomial to the measured intensity, i.e.

$$-\ln I^{mea}(\lambda) = \sum_{i}^{M} SCD_{i} \cdot \sigma_{i}(\lambda) + SCD_{Ring} \cdot \sigma_{Ring}(\lambda) + \sum_{k=0}^{n} a_{k} \lambda^{k}.$$
 (1)

 $I^{mea}(\lambda)$ is the measured sun-normalised radiance, *M* denotes the number of different molecules that have to be considered in the selected wavelength range, SCD_i are the molecular slant columns (fit parameters) and σ_i are the altitude independent absorption cross-sections. The polynomial function serves as a high pass filter and accounts for all broadband effects, e.g., scattering by molecules, aerosols and clouds. The Ring effect which arises from Raman scattering is treated as an effective absorber.

In the second step the airmass factor (AMF) is used to convert the slant columns into vertical columns. The AMF has to be determined by radiative transfer calculations and it depends on several atmospheric parameters, such as sun-satellite geometry, surface albedo and ozone profile. As there is only one slant column per molecule for the entire fit window, there is only one AMF for this window. For optically thin atmospheres, the AMF is nearly wavelength independent. However, atmospheric ozone absorption between 325 - 335 nm is too strong and the AMF shows significant wavelength dependence, particularly, for large solar zenith angles.

The third step is a correction for cloud effects. Part of the ozone column below the cloud cannot be observed. In this case the obscured ghost vertical column is estimated using climatological values and has to be added to the retrieved column amount. In the



current GDP (GOME Data Processor) Version 2.7, the oxygen-A-band transmittances are used to estimate the fractional cloud cover and cloud-top-height is derived from a cloud climatology (GOME LVL2, 2000).

2.2 Weighting Function DOAS (WF-DOAS)

2.2.1 Theory

The weighting function modified DOAS algorithm (WF-DOAS) has been developed for trace gas retrieval in the near-infrared spectral range measured by SCIAMACHY (Buchwitz *et al.*, 2000a). It explicitly accounts for both weak and strong absorption. Total column precisions have been estimated to be better than 1% for H₂O, CO₂, and CH₄, and better than 10% for N₂O and CO for SCIAMACHY. However, the algorithm is not limited to a particular wavelength range and molecular line absorbers. It is directly transferable to ozone retrieval in the ultraviolet spectral range requiring only minor modifications.

The measured atmospheric optical depth is approximated by a Taylor expansion around the reference intensity plus a low-order polynomial. The total column information is obtained only from differential trace gas absorption structures as in case of standard DOAS. The polynomial P_i accounts for all broadband contributions.

$$\ln I_{i}^{mea}\left(V^{t}, \vec{b}^{t}\right) \approx \ln I_{i}^{mod}\left(\bar{V}, \vec{\bar{b}}\right) \\ + \frac{\partial \ln I_{i}^{mod}}{\partial V}|_{\bar{V}} \times (\hat{V} - \bar{V}) \\ + \sum_{j=1}^{F} \frac{\partial \ln I_{i}^{mod}}{\partial b_{j}}|_{\bar{b}_{j}} \times (\hat{b}_{j} - \bar{b}_{j}) \\ + SCD_{Ring} \cdot \sigma_{i,Ring} \\ + SCD_{usamp} \cdot \sigma_{i,usamp} \\ + P_{i}$$

$$(2)$$

 I^{mea} is the measured intensity and I^{mod} the reference intensity, as provided by the radiative transfer model. Index *t* denotes the true atmospheric state. The entire right-hand side of the equation has to be adjusted to the measured intensity (left-hand side) at all spectral points (index *i*) simultaneously. \bar{V} is the reference ozone column matched



to the reference intensity, and \hat{V} the retrieved vertical column. \vec{b} contains all other atmospheric and surface parameters, such as interfering trace gases, temperature or albedo. All model parameters are denoted by overbars (⁻), and all fit parameters are denoted by hats ([^]). The Ring effect ($\sigma_{i,Ring}$) and the under-sampling spectrum ($\sigma_{i,usamp}$) are treated as effective absorbers similar to the approach used in standard DOAS.

The main difference between the WF-DOAS algorithm and the standard DOAS algorithm is the use of wavelength dependent trace gas weighting functions instead of absorption cross-sections and air mass factors. Weighting functions (WF) describes the relative radiance change due to a vertical profile change assuming an altitude independent scaling factor $(WF = \frac{\partial I}{V}/\frac{\partial V}{V})$.

The need for an airmass factor conversion is eliminated in the new algorithm as the fit parameters are the vertical columns. By introducing additional terms in vector \vec{b} , the algorithm compensates atmospheric effects such as pressure and temperature. The unknown fit parameters are derived using a linear least-squares minimization:

$$\|\vec{y} - \tilde{A}\vec{x}\|^2 \to \min \implies \hat{\vec{x}} = \tilde{C}_x \tilde{A}^T \vec{y}.$$

Vector \vec{x} contains the fit parameters \bar{V} , $\bar{b_j}$ and the polynomial coefficients. The weighting functions and the polynomial basis functions are contained in matrix \tilde{A} . \vec{y} contains the difference between the logarithm of measured intensity and the reference intensity.

2.2.2 WF-DOAS algorithm

For the planned global total ozone retrieval from GOME data, a large set of reference spectra has to be built up, which must include nearly all possible atmospheric states. The radiance spectra and weighting functions are computed as a function of total ozone (profile shape), zonal band, solar zenith angle (SZA), line-of-sight (LOS), surface albedo α_s , and altitude. The SCIATRAN code, an extension of the GOME-TRAN++ radiative transfer model (Rozanov *et al.*, 1997), was used which enables altitude-resolved weighting functions to be determined quasi-analytically with only a negligible amount of additional computer effort (Rozanov *et al.*, 1998).

Table 1 gives an overview on the parameter space. Investigations have to be performed to show, whether the step-size of the various parameters in the LUT is sufficiently small. Each reference spectrum contains sun-normalized radiances, and weighting functions for O_3 , NO_2 , BrO, temperature, albedo, and pressure scaling in the spectral region 323 - 337 nm. Ozone and temperature profiles are taken from TOMS Version 7 climatology



	er space o	ы те юок	-up-tables	
Atmospheric Parameter	Min	Max	Δ	Ν
Total Ozone (high latitudes)	125 DU	575 DU	50 DU	10
Total Ozone (mid latitudes)	125 DU	575 DU	50 DU	10
Total Ozone (low latitudes)	225 DU	475 DU	50 DU	6
Solar Zenith Angle	15°	90°	5° if SZA \leq 70°	30
			1° if SZA > 70^{\circ}	
Line-Of-Sight	-20°	20°	20 °	3
Surface Albedo	0.05	0.9	0.1	10
Ground Altitude	0 km	3 km	1 km	4

Table 1. Developmenter en energe of the leady we table

which contains different profile shapes for three latitude belts (low, middle, and high) as a function of the total ozone column (Wellemeyer *et al.*, 1997).

In order to avoid time consuming online radiative transfer calculations, the WF-DOAS algorithm uses look-up-tables (LUTs) of all pre-calculated reference spectra.

For the present, the same spectral window (325–335 nm) as in GDP V2.70 is selected. Within this study several tests will be performed to optimize the spectral range.

Latitude, solar zenith angle θ and LOS are taken from the GOME level1 data header. Surface albedo is assumed to be the Lambertian Effective Reflectivity (LER) around 380 nm, which also accounts for the higher albedo due to clouds. In the iterative scheme, the first step is to find the nearest neighbor reference scenario. As a first guess the scenario which most closely matches the vertical ozone column derived from GDP V2.7 or V3.0 or alternatively from the TOMS V8 zonal mean climatology is selected. Linear interpolation between solar zenith angles and effective albedo is performed to obtain the closest reference.

After the spectral fitting the retrieved total ozone column is compared with that of the reference scenario and the fit is repeated if a reference vertical column is found which is closer to the retrieved value. A schematics of the algorithm is shown in Fig. 1.

In addition to ozone vertical column, NO₂ and BrO weighting functions, scalar temperature offset, and the effective albedo are fitted. An under-sampling correction spectrum as described by (Slijkhuis *et al.*, 1999) is also fitted. A *shift-and-squeeze* of individual spectra can be carried out to improve wavelength misregistration.



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Figure 1: Schematics of the iterative WF-DOAS scheme for total ozone

2.3 Ring Effect

The depth of solar Fraunhofer lines in scattered light is less than those observed in direct sunlight. This fact, known as the Ring effect, has been discovered by Shefov (1959) and Grainger and Ring (1962). Several publication studied this effect and proposed that rotational Raman scattering provides the dominant contribution to the Ring effect (Burrows *et al.*, 1996; Kattawar *et al.*, 1981; Fish and Jones, 1995; Joiner *et al.*, 1995). Chance and Spurr (1997) calculated a Ring spectrum directly from a Fraunhofer spectrum. Sioris and Evans (1999) used model radiances to derive Ring spectra. Detailed investigations of the effect of trace gas absorption and particle and cloud scattering requires a radiative transfer model which includes rotational Raman scattering. Vountas *et al.* (1998) introduced a new method to derive Ring spectra from multiple scattering radiative transfer calculations in pseudo spherical mode, using the radiative transfer model GOMETRAN (Rozanov *et al.*, 1997). By taking rotational Raman scattering (RRS) into account within the radiative transfer processing, including trace



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Figure 2: Differential optical depths (DOD) derived for the spectral range from 390 nm to 400 nm from a GOME observation on the 15th October 1996 at 43.23 N, 27.44 W, 12.52 h UTC, solar zenith angle 52.9°, identified to be totally cloud covered. The random measurement error is negligible (≈ 0.1 %). Solid line is a model calculation using a standard nimbostratus cloud reaching from 6 to 7 km height with optical thickness 5 (de Beek et al., 2001).

gas absorption and aerosol and Rayleigh scattering, it was shown that the filling-in of Fraunhofer and gas absorption features, explains to high accuracy the Ring effect (Vountas *et al.*, 1998).

The Ring Effect has a significant impact on the retrieval of atmospheric trace constituents from satellite observations. As clouds can also have strong impacts on radiative transfer in the UV/visible spectral range, possible changes of the Ring Effect due to clouds have to be considered (de Beek *et al.*, 2001).

Ring structures can be clearly observed in the differential optical depths (DOD) which is defined as

$$DOD = -\ln\left(I/I_0\right) - P \quad , \tag{3}$$

where *I* specifies the atmospheric radiance, I_0 is the solar irradiance, and *P* is a fitted polynomial.

Fig. 2 shows a DOD spectrum of GOME measurements made under cloudy condi-







Figure 3: Top: Ring spectra calculated for October 75S for normal and ozonehole conditions. The latter is fitted onto the normal spectrum (fit factor 1.07). Bottom: Residual of the fit.

tions over the northern Atlantic. The Ring structure due to the filling-in of the Call line around 393.37 nm perfectly fits a SCIATRAN calculation using appropriate cloud and atmospheric parameters (de Beek *et al.*, 2001).

For total ozone retrieval the Ring effect, e.g. a model Ring spectrum R, has to be fitted to the measurement spectrum. R is defined as (Vountas *et al.*, 1998)

$$R = \ln\left(I^+/I^-\right) \quad , \tag{4}$$

where for the calculation of the radiance I^+ rotational Raman scattering is included and for I^- it is not.

Fitting one representative Ring spectrum to the measured spectra, which is the current



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Figure 4: Ozone profiles as used for the Ring simulation.

baseline for operational GOME data processing, is not sufficient. As absorption structures also contribute to the Ring spectral signature (*molecular filling-in*) their variations have to be taken into account. Otherwise the strong correlation of these structures with trace gas cross-sections lead to errors in the retrieval.

This is demonstrated in Fig. 3, where two Ring spectra are shown one for October $75^{\circ}S$ for background (MPI-2D climatology as used in GDP 2.7) and a realistic ozonehole profile (see Fig. 4). The top figure in Fig. 3 illustrates the ozonehole Ring spectrum (red) when fitted onto the Ring spectrum for background conditions. The residual is shown in the bottom of Fig. 3. This provides the spectral error pattern obtained when using the wrong Ring spectrum in the ozone fitting. The percentage error in vertical column arising due to this residual can be determined by fitting ozone absorption cross-sections



onto the residual, which gives a slant column of $5.665E+17 \text{ cm}^{-2}$. Compared to the slant column of the ozonehole scenario used this results in a 3.43% error. calculations were done here for SZA of 60°. Larger errors should be expected at larger solar zenith angles.

To account for such spectral variability of the Ring effect it is proposed to generate a Ring data base containing spectra which are calculated using SCIATRAN and the WF-DOAS climatology, which also includes the ozone profile shape dependency. The optimal extent of such a database in order to achieve the required accuracy of ozone retrieval has to be investigated in this study.

2.4 Cloud Correction

In case of cloud contaminated GOME ground pixels, the part of the ozone column which is below the top of the clouds cannot be seen by the satellite. This ghost vertical column (GVC) has to be estimated from climatological vertical ozone profiles and then added to the vertical column retrieved from the spectral fit. The GVC is computed by integrating the ozone profile from the surface to the cloud top pressure.

Partial cloudiness can be taken into account by weighting the GVC with fractional cloud cover, f, derived from the Initial Cloud Fitting Algorithm ICFA (Kuze and Chance, 1994; GOME LVL2, 2000; Burrows *et al.*, 1999b):

$$GVC = f \cdot \int_{p(z_0)}^{p(cth)} O_3(p) dp,$$
(5)

where $p(z_0)$ is surface pressure, p(cth) is cloud top pressure and $O_3(p)$ is the profile number density at pressure level p. Fractional cloud cover from ICFA algorithm is an effective cloud cover, assuming an optically thick cloud with $\tau = 20$ (GOME LVL2, 2000). Cloud top height is taken from the ISCCP climatology (Rossow and Garder, 1993, International Satellite Cloud Climatology Project).

The new TOMS V8 ozone climatology can be alternatively used to obtain the appropriate ghost vertical column. This new climatology has particularly improved by adding more sonde data from the tropics [G. Labow, private communication]. In this study we propose the use of the FRESCO algorithm from Koelemeijer *et al.* (2001). This algorithm also uses oxygen A-band transmittances from GOME to derive, in addition to effective cloud cover, cloud-top-height as the second parameter.



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2.5 First results using synthetic spectra

The ozone absorption and airmass factor shows a significant wavelength dependence in the near UV. These structures increase with larger solar zenith angles. The standard DOAS approach a single airmass factor for the entire fit window to convert slant columns into vertical columns. We performed a first case study which will show the deficit of a single airmass factor.

These investigations have been performed using RTM simulated spectra. For simplicity, the reference spectra were identical to the synthetic spectra and the representative airmass factor at 325 nm were used in all cases to avoid additional errors due to other atmospheric parameters. Ground altitude is 0 km, surface albedo is 0.05 and an ozone column of 260 DU is assumed for the radiative transfer simulations. Fig. 5 shows the relative errors of the DOAS retrieval. Below 80° SZA the relative error of the standard DOAS column is small but for larger angles it may reach a few percents. For all solar zenith angles, the WF-DOAS algorithm reproduces the correct column.

2.6 First GOME results

First investigations using GOME satellite data have been performed under ozone hole conditions at high southern polar latitude. From September to December 1997 25 satellite overpasses were evaluated and compared with ozone sonde ascents from Neumayer-Station (8°W, 70°S) (König-Langlo and Marx, 1997). Figure 6 shows the ratio of ozone column derived from satellite data to ozone column derived from the sondes as a function of time. One can see that the known bias in the operational GDP V2.70 products is significantly reduced using WF-DOAS (Lambert *et al.*, 1999).

2.7 Proposed Research and Approaches

Main goal for this study is to provide a novel scientific prototype total ozone algorithm for GOME based upon the WF-DOAS method. Many of the important details to the application of WF-DOAS have been provided in the previous sections. Indications for some further work as part of this study have been already discussed and shall be detailed in this section.

A first version of WF-DOAS algorithm for total ozone is available and some initial testing





Figure 5: Relative error between DOAS retrieval and true vertical column for different solar zenith angles. Solid line: standard DOAS and dashed line: WF-DOAS approach.

have been done. The software is written in Fortran90, our standard software language. For use in operational retrieval further fine-tuning and modifications may be necessary and shall be investigated in this study.

2.7.1 Phase 1: Sensitivity Study and Optimisation

In the first phase the WF-DOAS method is reviewed and possible error sources identified. We believe that this phase is very critical since it sets the stage for the successful completion of Phase 2. We suggest that this phase is extended to 4 months. This makes also sense since the Statement of Work was optimized for the standard DOAS approach and did not account for direct fitting of vertical columns.





Figure 6: Ratio of ozone column from GOME to ozone column from ground-based measurements at Neumayer-Station for several days from September to December 1997 (ozone hole conditions). Diamonds denote the GDP V2.70 values and the grey shaded curve with triangles denotes the new WF-DOAS retrieval.

During Phase 1 following investigations must be carried out:

- The optimum parameter space for the LUT have to be defined. In the current algorithm the settings are specified as given in Table 1. The optimum spacing for each of the parameter has to be found. This is particularly important for high solar zenith and/or high line-of-sight angles, where the spacing needs to be reduced further.
- To which complexity the Ring effect has to be treated in WF-DOAS has to be studied in further details. Particularly the molecular Ring effect indicates a de-



pendency on the ozone profile. de Beek *et al.* (2001) showed that the Ring effect is also strongly modified by clouds. A sensible approximation for the cloud dependence has to be found. Calculations of Ring spectra are computationally expensive and may require down-sizing of the parameter space as used for the reference and weighting function spectra. An investigation of which of the parameters as given in Table 1 are important to Ring needs to be undertaken.

- An optimum selection of weighting functions to be included in the fitting procedure have to be defined. For instance, minor trace gas weighting functions are most likely not needed and cross-section fitting can be done for NO2 and BrO instead, thereby keeping the LUT table size small. Some weighting functions such as for albedo may show large correlation with others and may require some modification in their use.
- Selection of the optimum spectral range for WF-DOAS fitting of total ozone will be carried out. A trade-off between number of wavelengths(low value is good for LUT generation and computing speed) and retrieval accuracy needs to be achieved. The current setup is optimized for the GOME spectral window of 325-335 nm.
- Including Shift-and-squeeze procedure for aligning wavelength axes of the various spectra shall be avoided. From standard DOAS of the minor trace gases it has been shown that from a large number of orbit analyzed a representative shift for all terms can be found and kept fixed for all later retrievals. A similar approach is proposed for WF-DOAS of ozone. This will considerably enhance computational speed.
- The use of GOME FM98 cross-sections (Burrows *et al.*, 1998a, 1999a) in WF-DOAS and standard DOAS means that no slit function convolution is needed in the reference spectra. This is only strictly true in the weak-absorber case. Ozone in the near UV at 330 nm absorbs quite strongly so that the slit-function convolution of cross- section does not completely account for the slit function convolution of intensities, but this error is rather small. An error estimate will be provided during this study.
- Processing of geolocation information needs to be optimised. For instance, a decision needs to be made for which altitude (top-of-atmosphere, ground) the representative viewing geometry is selected for use in pseudo-spherical RTM. At large line-of-sights beyond 20° this may lead to differences in the few percent range if TOA angles are used (Kerridge *et al.*, 2002). A decision has to be made



if SCIATRAN or CDI will be the preferred choice for generation of LUTs. CDI is a full spherical RTM which can be used for large line-of-sights in non-limb geometry (Rozanov *et al.*, 2000, 2001).

During Phase 1 first the ATBD and a first draft of a Software Requirement Document will be prepared.

2.7.2 Phase 2: Algorithm Implementation

During Phase 2 complete look-up-tables are generated using the optimum selection of parameters and grid spacing as outlined during phase 1. Particular emphasis will be put on optimizing the read-out of the various databases with regard to computational speed. Auxiliary information such as ghost vertical column and FRESCO cloud data will be pre-calculated and summarised in a separate database. Interfaces to GDP Level 1 (spectral radiance and irradiance) and Level 2 (ICFA cloud information) are created. Some preliminary validation will be carried out for testing purposes and further optimisation. Ring reference spectral database will be created from SCIATRAN RTM. This procedure is quite computationally expansive and needs great care.

This phase shall last 6 months. As deliverables, the software requirement documents will be updated and verification processes specified and documented.

2.7.3 Phase 3: Validation

An extensive validation will be carried out using the analysis of 2000 reference orbits. These orbits are used to assess the errors as a function of solar zenith angle, season, and total ozone (profile shape) by comparison with collocated groundbased data.

In addition to these orbits a comparison with an extensive WOUDC Dobson and Brewer data (about 50,000 coincidences will be carried out as described in (Bramstedt *et al.*, 2002b). Time series of differences between GOME-Dobson will be carried out for almost 90 stations covering the southern and northern hemisphere for the entire period 1996-2002. Fig. 7 shows as an example the weekly mean difference between GOME GDP V2.7 and EPTOMS V7 with respect to Dobson/Brewer between 1996 and 2000. The strong seasonal cycle in GDP V2.7 is clearly recognisable. Even though, EPTOMS differences show virtually no seasonal cycle a strong hemispheric bias is seen, with differences in SH mid–latitudes reaching several percent.





Figure 7: Comparison of different satellite data sets and algorithms. Weekly percentage bias of GOME and EPTOMS with respect to Dobson/Brewer data averaged over the southern (left) and northern hemisphere (right) during the period 1996-2000 (Bramstedt et al., 2002b). Top Panel: GDP V2.7 applied to GOME (GOMEDOAS); Second panel: TOMS V7 applied to EPTOMS (EPTOMS); Third panel: TOMS V7 applied to GOME (GOMETOMS); Fourth panel: University of Bremen O₃ profile algorithm applied to GOME (GOMEFURM). After 2000, the GOMEDOAS results appear to be less sensitive to degradation effects than the TOMS V7 algorithm. However, decreasing signal-to-noise ratio has increased and leads to enhanced scatter of the satellite–Dobson differences.

This work will partially overlap with Phase 2 and covers mainly the last two months. As deliverables, a validation report is generated. Final reporting will consist of a Software User Document and preparing a data CD with prototype software, test data, and LUTs.



3 Work Breakdown Structure

In this section the activities associated with each Work Package are listed in detail with start and end dates or events. An overview of all work packages and the corresponding time allocations are given in Table 4. The study schedule is depicted in Table 3.3.

3.1 Work Packages

Project Title: GOTOCORD		
WP Title: Technical Management	t	WP 100
WP Manager: M. Weber		
Start Event:	End Event:	Sheet 1 of 1
Start of contract	End of contract	Sheet I OI I
 > Direct technical work in Brem > Technical interface to ESRIN 	en	
Inputs: > ESA/ESRIN Contract		
> Discussions with ESA/ESRIN	technical representative	
Outputs: > Recommendation on directior	n of study	



Project litle: GOTOCORD	
WP Title: Administration	WP 200
WP Manager: M. Weber	
Start Event: End E	vent: Sheet 1 of 1
Start of Contract End of	Contract
Objectives: > Exercise effective management control of study > Administer ESA/ESRIN Contract > Produce milestone reports > communication with ESA/ESRIN technical representative > Deliver documents and drafts to ESA/ESRIN in timely manner as per contractual agreement > Administer travel budget > Arrange milestone meetings > Send out invitations to meetings > Produce and distribute Minutes of meetings	
Inputs: > Project contract > Correspondence with ESA/ESRIN	
Outputs: > Status and progress reports > Documents > Minutes of milestone meetings	



Project Title: GOTOCORD		
WP Title: Sensitivity Study and C	Optimisation	WP 300
WP Manager: R. De Beek		
Start Event:	End Event:	Shoot 1 of 1
Kick-off meeting	Kick-Off Meeting + 4 months	Sheer I OI I
Objectives: > Survey of relevant literature > Review of existing algorithm > Identification of error sources > Optimisation of parameter settings and grid spacing: 		
Inputs: > WF-DOAS prototype algorithm > GDP database > GDP level-1 and level-2 data > O3 profile climatologies Outputs:	n	
 > ATBD > Software Requirements Docu 	iment	



Project Title: GOTOCORD		
WP Title: Algorithm Implementation		WP 400
WP Manager: M. Coldewey-Egbers		
Start Event:EndKick-off Meeting + 3 monthsKick-off	Event: off Meeting + 10 months	Sheet 1 of 1
Objectives: > Generation of WF LUT > Generation of Ring reference database > Optimisation of database read-out > Preparation of database ghost vertice > Preparation of FRESCO cloud database > Interfaces to GOME level 1 and level > Preliminary validation	ase al columns pase 2 data	
Inputs: > WF-DOAS prototype algorithm > GDP database > GDP level-1 and level-2 data > O3 profile climatologies > Optimum selections of parameters and grid spacing from Phase 1 > FRESCO cloud retrieval algorithm > Comparative data for first validation		
Outputs: > Update of software requirements do > Verification specification and docum	cument entation	



Project Title: GOTOCORD	
WP Title: Validation	WP 500
WP Manager: M. Weber	
Start Event:End Event:Kick-off Meeting + 8 monthsEnd of contract	Sheet 1 of 1
Objectives: > Analysis of 2000 reference orbits > Error determination as functions of SZA, season, total ozone profile shape, using collocated ground-based d > Comparison with extensive WOUDC Dobson and Brev	ozone, ata ver data
 > GDP level-1 and level-2 data > Ground-based data 	
Outputs: > Validation report > Software user document > WF-DOAS CD: > Software > Test data > LUTs > Documentation	



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Figure 8: Deliverables and Milestones in GOTOCORD

3.2 Deliverables

An overview of the proposed deliverables as indicated in Sections 2.7.1,2.7.2, and 2.7.3 and in the Work Package description are given in Fig.8.

3.3 Study Schedule

Table 3.3 sets out the study schedule of the proposed project.



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Table 2: Study Schedule. K: Kick-off Meeting, P: Phase-1 Meeting, M: Mid-Term Review, F: Final Workshop, S: Monthly Status and Progress Report

Study Schedule

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2003	γвМ					×						S	γвМ	2003
	٦dA					×				Σ	Σ	S	٦qA	
	Nar					×						S	Nar	
	Feb			$\frac{1}{2}$		1 <mark>1</mark>				٩	Ъ	S	Feb	
	Jan			$\frac{1}{2}$		<u>1</u>						S	Jan	
	Dec			×								S	Dec	
2002	voN			×								S	voN	2002
	Oct			×						\mathbf{x}	\mathbf{x}	S	Oct	
Year —→	Month →	Work Packages	Phase 1	WP 300 Sensitivity Study and Optimisation	Phase 2	WP 400 Algorithm Implementation	Phase 3	WP 500 Algorithm Validation	Milestones	Meetings	Minutes	Reports	Month →	Year →



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4 Management Proposal

4.1 Study Team

The study team consists of four scientists with long standing experience in satellite remote sensing of atmospheric constituents from space. Detailed curriculum vitae of the key personnel can be found in Section 6.

Project manager and principal investigator (PI) of this proposal is **Dr. Mark Weber** who as a senior researcher is currently leading the Ozone and UV Satellite Application Group in the Institute of Environmental Physics (IUP), University of Bremen. Within the last six years he has been instrumental in introducing new algorithms for retrieval of ozone profiles and total column from nadir UV space observations of GOME. Recently he was managing the IUP contribution to the GOME2 Error Study. Further emphasis in his work was the use of ozone satellite data for case studies in atmospheric chemistry and dynamics. He was a co-author on the scientific assessment to European research in the stratosphere published by the EU. Other field of research are solar-terrestrial interaction and UV surface flux modeling from space. He is currently speaker of the satellite-to-satellite validation group (national validation group and SCIAVALIG) of SCIAMACHY. Apart from administrative and management duty he will guide and assist in the implementation of the WF-DOAS algorithm for total ozone retrieval.

The IUP is headed by **Prof. John Burrows**. He is the lead scientist of the GOME project and PI of the successor instrument SCIAMACHY aboard ENVISAT. Within the last ten years and under his leadership IUP has gained vast experience in UV/vis satellite remote sensing ranging from scientific support in technical matters to industry and space agencies, calibration characterization of space instruments, to development of scientific algorithms for UV/vis trace gas, cloud, and aerosol retrieval from space. Prof. John Burrows is participating in this project as advisor and expert to GOME matters.

Dr. Rüdiger de Beek will assist the PI in project management. His expertise in ozone profiling and DOAS retrieval from nadir space observation and in Ring effect will be valuable to this project. He has been participating in several ESA studies on SCIA-MACHY calibration and algorithm verification studies. He is one of the co-authors of the GOME2 Error Study. His main contribution will be his participation in the Ring sensitivity study and its optimum implementation for the WF DOAS algorithm.

The major work of implementing the WF-DOAS algorithm will be carried out by Dipl.-



UNIVERSITY OF BREMEN Institute of Environmental Physics Project: GOTOCORD Issue: V1A Authors: Weber M. et al.



Figure 9: Organisation of the GOTOCORD Study Team

		Time Contribution				
PI/Manager	Dr. M. Weber	15 %				
Researcher/Manager	Dr. R. de Beek	30 %				
Researcher	Dipl. Met. M. Coldewey-Egbers	50 %				
Advisor	Prof. J. Burrows	5%				

Table 3: Time allocation for GOTOCORD

Met. Melanie Coldewey-Egbers. She is currently a doctoral student at IUP and is part of the UV surface modeling group using GOME data.

Dr. Michael Buchwitz and **Dr. Vladimir Rozanov** act as advisors to the core group. The WF-DOAS algorithm was originally developed by Dr. Buchwitz for SCIAMACHY near-ir trace gas retrieval. His expertise will be valuable to the adaptation of this algorithm into the UV. In atmospheric radiative transfer matters the support of Dr. Rozanov's vast expertise is instrumental to this project. During this project preparation and implementation of SCIAMACHY retrieval algorithms (trace gas, cloud, and aerosol retrieval) will be an ongoing activity. Any important results from these activities which have possible bearings on WF-DOAS will be regarded as options to this project. However, one has to keep in mind that resources to this project are tight and no contractual obligation can be given here with respect to these options.

In Fig. 9 the organisation of the study team is graphically presented. Table 3 shows the time allocation of each member of the core group



Table 4: Overview of work Packages (Mmth: Man-month)

Work Package	Time Resources	
WP 100	Technical Management	0.5 Mmth
WP 200	Administration	0.5 Mmth
WP 300	4.0 Mmth	
WP 400	6.0 Mmth	
WP 500	2.0 Mmth	
Total 7	13.0 Mmth	

In Fig. 9 a diagram on the organisation of personnel is summarised. Table 4 summarises the man months divided into the various work packages.

4.2 Relevant Experiences

During the last decade the Institute of Environmental Physics (IUP) in Bremen had a leading role in the scientific-technical development of the ESA project GOME and the Dutch-German-Belgian SCIAMACHY mission (Burrows *et al.*, 1993, 1995, 1999b; Bovensmann *et al.*, 1999). John Burrows is the lead scientist of the GOME project and the principal investigator of the German part of the SCIAMACHY mission. This required longstanding collaborations with a large international group of scientists and various national and international space agencies (ESA, DLR, EUMETSAT, and NASA) and industry.

Major focus of IUP research has been the development of various scientific algorithms for the retrieval of high level data products from the spectral measurements provided by GOME and SCIAMACHY (Burrows *et al.*, 1993, 1994, 1995, 1996, 1999b; Diebel *et al.*, 1995; Guzzi *et al.*, 1995, 1998; Bovensmann *et al.*, 1999). The radiation transfer code GOMETRAN and the DOAS trace gas column retrieval software which were developed at IUP are now part of the routine and operational retrieval at the German Remote Sensing Data Center (DFD) at DLR.

The highlights of scientific research related to GOME and SCIAMACHY is summarized as follows.



- Development of a scientific algorithms for satellite retrieval of trace gas columns from UV/VIS spectra of GOME, SCIAMACHY, and GOME2 (Rozanov *et al.*, 1993; Burrows *et al.*, 1994; Diebel *et al.*, 1995; Eisinger *et al.*, 1996a,b, 1997b; Eisinger and Burrows, 1998; Hegels *et al.*, 1997, 1998; Richter *et al.*, 1998a,b; Buchwitz *et al.*, 2000a,b; Müller *et al.*, 2002; Kerridge *et al.*, 2002).
- Development of the inversion algorithm FURM (Full Retrieval Method) for the retrieval of vertical ozone profiles (Chance and Spurr, 1997; de Beek *et al.*, 1997; Hoogen *et al.*, 1998b,c,a,d, 1999b,a; Eichmann *et al.*, 1998; Bramstedt *et al.*, 1998, 2002b,a).
- Scientific investigation of Arctic Ozone loss using GOME ozone profiles and trace gas data from GOME (Bramstedt *et al.*, 1998; Eichmann *et al.*, 1999, 2002; Sinnhuber *et al.*, 2000; Bremer *et al.*, 2002; Weber *et al.*, 2002).
- Routine groundbased zenith sky DOAS measurements in Bremen and Ny-Alesund (Spitsbergen), validation of operational GOME ozone and NO₂ vertical columns by comparison with groundbased data (Eisinger *et al.*, 1997a; Richter *et al.*, 1998c; Ladstätter-Weissenmayer *et al.*, 1996).
- Development and Optimization of a pseudo-spherical multiple scattering radiative transfer model (RTM GOMETRAN) (Rozanov *et al.*, 1997, 1998), extension into the near UV (inclusion of O2 Schumann-Runge bands) for derivation of photolysis rates based on actinic flux estimations (Blindauer *et al.*, 1996) and into the near–ir for SCIAMACHY application (SCIATRAN).
- Development of a full spherical multiple scattering RTM for limb radiances (Rozanov *et al.*, 2000, 2001).
- RTM simulation of rotational inelastic Raman scattering (Ring effect) (Vountas *et al.*, 1998) and scientific case studies on the Ring effect (de Beek *et al.*, 2001).
- RTM modeling of homogenous clouds with arbitrary vertical extent and cloud top heights according to various cloud classification (Kurosu *et al.*, 1997; Guzzi *et al.*, 1995), introduction of new cloud detection algorithms for GOME (Guzzi *et al.*, 1998).
- Global UV surface radiation modeling using GOME satellite data (Köpke *et al.*, 1998; Menkhaus *et al.*, 1999; DeBacker *et al.*, 2001).



- Algorithm development for deriving proxy indicators for long term natural variability of solar radiation from daily solar observations by GOME, study of UV degradation of GOME using solar and lunar measurements (Weber *et al.*, 1998; Weber, 1999; Burrows *et al.*, 1998b; Dobber *et al.*, 1998; Viereck *et al.*, 2001)
- laboratory spectroscopic measurements and analysis of UV/vis molecular crosssection for atmospheric applications, participation in GOME and SCIAMACHY flight model measurements of reference cross-section (Burrows *et al.*, 1998a, 1999a; Orphal *et al.*, 2002)

The IUP is part of the SCIAMACHY Operations Support Team (SOST) which provides operational support to the SCIAMACHY project. Especially, the SOST is involved in the on-ground and in-flight calibration and monitoring activities.

4.3 Facilities

The IUP is well equipped with several SUN (Ultra I, Ultra II, Sunblade 1000) and Alpha (XP 1000, DS 20) workstations. Mass storage devices (RAID Systems) are available which hold the entire GOME level-1 data set. In addition the Department of Physics has two Cray J90 super computer with 32 processors which can be accessed for extensive numerical calculations. They will be replaced by a more powerful IBM Regatta system in about half a year. Most computations will be carried out on the workstation cluster. Within this study current resources and the associated administration cost (technical support) will be provided at no extra cost.



5 Financial Proposal

Labour & Administration	
13 Man Months (2080 hours total \times 35.40€)	73,632€
Consumable Items	
telephone, fax, computer accessories, stationaries	1,000€
Travel	
Kickoff Meeting (3×705€)	2,115€
Phase-1 Final Meeting (3×705€)	2,115€
Midterm Meeting (3×705€)	2,115€
Final Workshop (3×810€)	2,430€
Total	83,407€
Overhead (20% of Total)	16,681€
Grand Total	100,088€

Major cost are man hours to be invested in for completion of this project. In addition requests for travel money to the four planned meetings (Kick-off, Phase 1, Midterm, and final wokshop) are made. Some consumables have to be financed in order to organise and administrate this study. Within the framework of this study a total of 13 man months (160 hours per month) for a scientist paid at the German university research scientist scale BAT 2A is estimated as necessary to fulfil the objectives. The hourly rate is fixed at 35.40€.

For a 1-day meeting (kick-off, Phase 1, Midterm) the travel cost (presumably to ESRIN) is estimated at 705€ per person (600€ flight, 75€ for one over-night stay at hotel, and 35€ daily allowance in Italy sums to 705€), For a two-day final workshop, an additional night and daily allowance totalling 105€ has to be added to get at 810€ per person. Each meeting will be attended by three persons from our institute.

Consumables are estimated at 1,000€ during the entire project year.



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5.1 Payment Plan

Advance payment	1st October 2002	30,000€
Payment at midterm	1st April 2002	40,000€
Final payment upon final acceptance	30th September 2002	30,088€



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6 Key Personnel

Full curricula vitæ for all the key personnel listed below are contained in the following sections: Dr. M. Weber, , Dr. R. de Beek, Prof. John Burrows, Dipl. Met. M. Coldewey–Egbers. A short vita is given for the advisory group members Drs. M. Buchwitz and V. Rozanov.

6.1 CV Dr. Mark Weber

Mark Weber studied at the University of Bonn, Germany, and received his Ph.D. degree in physics from the University of Tennessee, Knoxville, USA, in 1992. From 1992 until 1995 he was a National Academy of Sciences/ National Research Council Resident Research Associate at the Laboratory for Extraterrestrial Physics at NASA Goddard Space Flight Center. In 1993 he and his co-authors received the Sir Harold Thompson Memorial Award (UK) for outstanding contributions in the field of molecular spectroscopy published in Spectrochimica Acta. In November 1995 he joined the Institute of Remote Sensing at the University of Bremen, Germany. Currently he is the leader of the Ozone and UV Satellite Application Group at IUP.

Name: Mark Weber

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> Tel: 49-421-2184584 Fax: 49-421-2182362



e-mail: weber@uni-bremen.de

Personal:

Born 4th April 1961, Trier, Germany

German Citizen

Qualifications:

Ph.D., University of Tennessee, Knoxville, USA, 1992.

Sir Harold Thomson Memorial Award (UK) for outstanding contributions on the field of molecular spectroscopy (with co-authors), 1993

Leader of the Ozone and UV Satellite Application Group at the Institute of Environmental Physics

Co-I of GEOTROPE (Geostationary Tropospheric Explorer

Employment:

Institute of Remote Sensing, University of Bremen, Senior Researcher

1995 to present.

Laboratory for Extraterrestrial Physics NASA Goddard Space Flight Center Research Associate With National Academy of Sciences, National Research Council, USA

1992 to 1995.



Selected Publications:

- MW-1 Weber, M., W.E. Blass, S. Nadler, G.W. Halsey, W.C. Maguire and J.J. Hillman, I-Resonance Effects in C2H2 near 13.7mm, Part II: The Two Quantum Hotbands, Spectrochim. Acta 49A, 1659, 1993 (The Sir Harold Thompson Memorial Award 1993).
- MW-2 Weber, M., J.P. Burrows and R.P. Cebula, GOME Solar UV/VIS Irradiance Measurements between 1995 and 1997 : First Results on Proxy Solar Activity Studies, Solar Physics 177, 63-77, 1998.
- MW-3 Burrows, J.P., M. Weber, M. Buchwitz, V.V. Rozanov, A. Ladstäter-Weissenmayer, A. Richter, R. de Beek, R. Hoogen, K. Bramstedt, K.-U. Eichmann, M. Eisinger und D. Perner, The Global Ozone Monitoring Experiment (GOME): Mission Concept and First Scientific Results, J. Atm. Sci., 56, 151-175, 1999.
- MW-4 Eichmann, K. -U., K. Bramstedt, M. Weber, V.V. Rozanov, R. Hoogen and J.P. Burrows, O3 profiles from GOME satellite data II: Observations in the Arctic spring 1997 and 1998, Physics and Chemistry of the Earth 24, 453-457, 1999.
- MW-5 Hoogen, R., V.V. Rozanov, K. Bramstedt, K.-U. Eichmann, M. Weber, and J.P. Burrows, Ozone profiles from GOME satellite data-I: Comparison with ozonesonde measurements, Physics and Chemistry of the Earth 24, 447-452, 1999.
- MW-6 Sinnhuber, B.M., M.P. Chipperfield, M.S. Davies, J.P. Burrows, K.-U. Eichmann, M. Weber, P. van der Gathen, M. Guirlet, G. Cahill, A. Lee, J. Pyle, Large loss of total ozone during the Arctic winter 1999/2000, Geophys. Res. Lett. 27, 3473-3476, 2000.
- MW-7 Hauchecorne, A., T. Peter, D. Balis, A. Bregman, M.P. Chipperfield, N.R.P. Harris, W.A. Norton, J. Staehelin, and M. Weber, Mid-latitude and tropical ozone, Chapter 4 in: Scientific Assessment, European Research in the Stratosphere 1996-2000, Advances in our understanding of the ozone layer during THESEO, EUR 19867, European Commission, Directorate-General for Research Information and Communication Unit, ISBN 92-894-1398-0, Brussels, 2001.
- MW-8 Weber M., K.-U. Eichmann, F. Wittrock, K. Bramstedt, L. Hild, A. Richter and J.P. Burrows and R. Müller, 2002: The cold Arctic winter 1995/96 as observed



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by the Global Ozone Monitoring Experiment GOME and HALOE: Tropospheric wave activity and chemical ozone loss, *Quarterly Journal of Royal Meteorological Society*, **128**, 1293–1320.

Languages:

German mother tongue English fluent French working knowledge

Main Research Interest:

Retrieval of trace gas constituents and other atmospheric parameters (clouds, aerosol, and surface radiation) from space

Solar variability observation from space, solar-terrestrial interaction

Atmospheric dynamics and chemistry



6.2 CV Dr. Rüdiger de Beek

Name: Rüdiger de Beek

Address:

Institut für Umweltphysik/Institut für Fernerkundung Fachbereich 1 Universität Bremen Postfach 330440 D–28334 Bremen

Tel: 49-421-2184584 Fax: 49-421-2184555 e-mail: debeek@iup.physik.uni-bremen.de

Personal:

Born 17th August 1963, Bremen, Germany

German Citizen

Married, two Childs

Education:

Ph.D., Institute of Remote Sensing, University of Bremen, Germany 1998.

Ph.D. thesis title: "Bestimmung von Ozonvertikalprofilen aus Messungen des Satelliteninstruments GOME im ultravioletten und sichtbaren Spektralbereich". Research Supervisor, Professor J. P. Burrows

M. A. (Diplom), Institute of Environmental Physics, University of Bremen, Germany 1993. Masterthesis title: "Vergleich von Totalozondaten verschiedener Messmethoden".



Research Supervisor, Prof. K. Künzi

Employment:

Review of the SCIAMACHY operational processor documentation (Level 0-to-1 and Level 1-to-2)

Investigation of the Ring Effect especially in the presents of clouds

Analysis of potential instrumental and methodical errors of operational trace gas column retrieval algorithms for GOME-2

Verification and development support of the SCIAMACHY Level 0-to-1 processor (ESA project SCALD/SUPPRO, ESA/ESTEC Contract 13594/99/NL/PR, member of SCIA-MACHY Algorithm Calibration and Verification Team, ESA, SCIAMACHY CAL-DP Tiger Team, SSAG, support of SCIA-MACHY commissioning phase activities)

April 1999 to present.

Institute of Remote Sensing, University of Bremen, Retrieval of ozone concentration profiles and other trace gas constituents from GOME UV nadir measurements Development and Error analysis of the IUP FURM (Full Retrieval Method) ozone profile algorithm Error analysis of the IUP DOAS (Differential Optical Absorp-

tion Spectroscopy) algorithm, as applied for GOME operational processing

Validation of IUP DOAS ground-based measurements

January 1994 to June 1997.



Selected Publications:

- RdB-1 R. de Beek, V.V. Rozanov, and J.P. Burrows, Ozone Total Columns and Vertical Profiles from the Global Ozone Monitoring Experiment (GOME): Theory, Polar Stratospheric Ozone - Proceedings of the 3rd European Workshop, 18.–22. September 1995, Schliersee, Germany, Air Pollution Research Report 56, pages 833–838, 1996.
- RdB-2 R. de Beek, R. Hoogen, V.V. Rozanov, and J.P. Burrows, Ozone Profile Retrieval from GOME Satellite Data I: Algorithm Description, 3rd ERS Scientific Symposium, 17-21 March 1997, Florence, Italy, Space at the Service of our Environment II, pages 749–754, 1997.
- RdB-3 R. Hoogen, V. V. Rozanov, K. Bramstedt, K.-U. Eichmann, M. Weber, R. de Beek,
 M. Buchwitz, and J. P. Burrows, Height-Resolved Ozone Information from GOME Data, Earth Observation Quarterly, 58, pages 9–10, 1998.
- RdB-4 Burrows, J.P., M. Weber, M. Buchwitz, V.V. Rozanov, A. Ladstäter-Weissenmayer, A. Richter, R. de Beek, R. Hoogen, K. Bramstedt, K.-U. Eichmann, M. Eisinger und D. Perner, The Global Ozone Monitoring Experiment (GOME): Mission Concept and First Scientific Results, J. Atmos. Sci., 56, 151-175, 1999.
- RdB-5 R. de Beek, M. Vountas, V. V. Rozanov, A. Richter and J. P. Burrows, The Ring Effect in the cloudy atmosphere, Geophys. Res. Lett. 28, 721–724, 2001.



Languages:

German mother tongue English fluent French working knowledge

Main Research Interest:

Retrieval of trace gas constituents and other atmospheric parameters (clouds and aerosol) from UV, vis., and NIR measurements, including improvement of atmospheric radiative transport modeling.



6.3 CV Dipl.-Met. Melanie Coldewey–Egbers

Name: Melanie Coldewey-Egbers

Address:

Institut für Umweltphysik/Institut für Fernerkundung Fachbereich 1 Universität Bremen Postfach 330440 D–28334 Bremen

Tel: 49-421-2188265 Fax: 49-421-2184555 e-mail: coldewey@iup.physik.uni-bremen.de

Personal:

Born 16th September 1974, Bremen, Germany

German Citizen

Qualifications:

M. A. (Diplom), Institute of Marine Science, Department of Marine Meteorology, University of Kiel, Germany 2000. Masterthesis title: "Vorhersagemöglichkeiten mit neuronalen Netzen". Research Supervisor, Prof. E. Ruprecht

Employment:

Institute of Environmental Physics, University of Bremen, Surface UV flux estimation from GOME measurements,



Retrieval of total ozone from GOME UV-nadirmeasurements

2000 to present.

Languages:

German mother tongue English fluent French moderate



6.4 CV Prof. John P. Burrows

Name: John P. Burrows

Address:

Institut für Umweltphysik/Institut für Fernerkundung Fachbereich 1 Universität Bremen Postfach 330440 D–28334 Bremen

Tel: 49-421-2184584 Fax: 49-421-2184548 e-mail: burrows@iup.physik.uni-bremen.de

Personal:

Born 16th August 1954

British Citizen

Qualifications:

Ph.D. (Cantab) in Physical Chemistry, Trinity College, Cambridge 1979. Study of free radical reactions by laser magnetic resonance Supervisor: Professor B.A. Thrush F.R.S.

M.A. (Cantab) in Natural Sciences, Trinity College, Cambridge University 1979.

B. A. (Hons) in Natural Sciences, Trinity College, Cambridge University 1975.

Gassiot Student of the Royal Society, 1975 to 1987



Proposer and PI of the SCIAMACHY, Chairman of the SCIAMACHY science advisory committee

Vice Chairman of the GOME science advisory committee, Member of CEOS Ozone Committee

Member of the IGBP-IGAC-GLONET committee, Member of the IGBP-IGAC-GTOP committee

Member of the American Geophysical Society, Member of the American Chemical Society, Member of SPIE

Employment:

Institute of Environmental Physics and Remote Sensing, University of Bremen,

Professor of Atmospheric Physics

Research focused on spectroscopy, kinetics and spectroscopy of atmospherically related species, development of atmospheric in situ and remote sensing techniques, atmospheric physics and chemistry

1992 to present.

Honorary Positions Held NASA-GSFC Consultant Scientist, Consultant Scientist to ESA

1992 to present

Department of Atmospheric Chemistry, Max-Planck-Institut für Chemie, Mainz, Germany,



Photochemistry, Atmospheric Kinetics, in-situ and remote sensing measurements

1982 to 1992

Atomic Energy Research Establishment, Environmental and Medical Sciences Division Higher Scientific Officer, Simultaneously Member of the Physical Chemistry Laboratory Kinetics Group (Professor R.P. Wayne).

1979 to 1982

Selected Publications:

- JPB-1 A. Richter, M. Eisinger, F. Wittrock and J. P. Burrows, BrO Observed from GOME in the Northern Hemisphere in 1997, Geophys. Res. Lett. 25 2683-2686.
- JPB-2 M. Eisinger and J. P. Burrows 1998, Tropospheric Sulfur Dioxide observed by the ERS-2 GOME Instrument, Geophys. res. Lett. 25 4177-4180.
- JPB-3 J. P. Burrows, M. Weber, M. Buchwitz, V. V. Rozanov, A. Ladstätter, Weissenmayer, A. Richter, R. DeBeek, R. Hoogen, K. Bramstedt and K.U. Eichmann 1999, The Global Ozone Monitoring Experiment (GOME): Mission Concept and First Scientific Results, J. Atmos. Sci. 56 151-175.
- JPB-4 H. Bovensmann, J. P. Burrows, M. Buchwitz, J. Frerick, S. Noël, V. V. Rozanov, K. V. Chance, A. P. H. Goede 1999, SCIAMACHY- Mission Objectives and Measurement Modes, J. Atmos. Sci. 56 126-150.
- JPB-5 J. P. Burrows 1999, Current and future passive remote sensing techniques used to determine atmospheric constituents, in Developments in Atmospheric Sciences 24: Approaches to Scaling Trace Gas Fluxes in Ecosystems, Ed A. F. Bouwman Elsevier Amsterdam pp 315-347. ISBN: 0-444-82934-2.



JPB-6 Wittrock, F.; Müller, R. ; Richter, A. ; Bovensmann, H. ; Burrows, J. P. 2000, Measurements of Iodine monoxide (IO) above Spitsbergen Geophys. Res. Lett. 27, 1471-1475.

Languages:

English mother tongue German fluent

Main Research Interest:

> Spectroscopy, kinetics and spectroscopy of atmospherically related species, development of atmospheric in-situ and remote sensing techniques, atmospheric chemistry and physics



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6.5 Scientific Advisors

Drs. Michael Buchwitz and Vladimir Rozanov will be advisor to the key personnel in matters of WF-DOAS and radiative transfer modeling.

Dr. Michael Buchwitz is working in the area of remote sensing of the Earth's atmosphere at the IUP University of Bremen, Germany, since 1993 (with a one year break where he was working as project manager and software developer in a German Internet e-commerce company). He is a specialist in radiative transfer and satellite remote sensing in the UV-Visible-NIR spectral region. He has been working mainly in the GOME/ERS-2 and SCIAMACHY/ENVISAT satellite projects. In the GOME project he was responsible for the radiative transfer program GOMETRAN++, which has been used, e.g., for ozone and NO2 airmass factor calculations for the operational processing of GOME data and was working on GOME retrieval algorithm improvements. He has also been working for several years as scientific secretary of the GOME Science Advisory Committee (GSAC). In the SCIAMACHY project he was working on instrument specification, mission planning, near-infrared (NIR) detector selection, etc. During his Ph.D. he has extended the radiative transfer model GOMETRAN++ to cover the NIR spectral region by developing and implementing a correlated-k distribution and a line-by-line scheme for the modeling of H2O, O2, CH4, CO, CO2, and N2O line-absorption. The corresponding version of the radiative transfer model was called SCIATRAN. He also extended the standard UV-Visible DOAS algorithm for application in the NIR spectral region and also for H2O and O2 cloud retrieval in the visible. The new algorithm was called Weighting Function Modified (WFM) DOAS, which is termed in this proposal as WF-DOAS.

Dr. Vladimir Rozanov studied at the University of St Petersburg, Russia, and received his Ph.D. degree in physics and mathematics from the University of St Petersburg, Russia, in 1977. From 1973 until 1991 he was Research scientist at the Department of Atmospheric Physics of the University of St. Petersburg. In 1990-1991 he was a research scientist at Max-Planck Institute of Chemistry on secondment from the Department of Atmospheric Physics of the University of St. Petersburg. In July 1992 he joined the Institute of Remote Sensing at the University of Bremen, Germany. He is author and co-author of about 90 papers and other publications. His expertise is in atmospheric radiative transfer modeling and inversion methods. recently, he developed the first full spherical multiple scattering radiative transfer model, which is not based upon Monte Carlo simulation. This state-of-the-art RTM forms the base for the limb retrieval for SCIAMACHY.



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7 Acronyms

ACRONYM	MEANING
AMF	AIRMASS FACTOR
ATBD	Algorithm Theoretical Basis Document
CDI	Combined Differential Integral
DFD	GERMAN REMOTE SENSING DATA CENTRE
DLR	GERMAN AEROSPACE CENTER
DOAS	DIFFERENTIAL OPTICAL ABSORPTION SPECTROSCOPY
DOD	DIFFERENTIAL OPTICAL DEPTH
EP-TOMS	EARTH PROBE - TOTAL OZONE MAPPING EXPERIMENT
ERS-2	SECOND EUROPEAN REMOTE SENSING SATELLITE
ESA	EUROPEAN SPACE AGENCY
EUMETSAT	EUROPEAN ORGANISATION FOR THE EXPLOITATION OF
	METEOROLOGICAL SATELLITES
FRESCO	FAST RETRIEVAL SCHEME FOR CLOUDS FROM THE OXYGEN A-BAND
FURM	Full Retrieval Method
GDP	GOME DATA PROCESSOR
GOME	GLOBAL OZONE MONITORING EXPERIMENT
GOTOCORD	GOME TOTAL COLUMN RETRIEVAL DEVELOPMENT
GSAC	GOME SCIENCE ADVISORY COMMITTEE
GVC	GHOST VERTICAL COLUMN
ICFA	INITIAL CLOUD FITTING ALGORITHM
ISCCP	INTERNATIONAL SATELLITE CLOUD CLIMATOLOGY PROJECT
IUP	INSTITUTE OF ENVIRONMENTAL PHYSICS
LER	LAMBERTIAN EQUIVALENT REFLECTIVITY
LOS	LINE-OF-SIGHT
LUT	LOOK-UP-TABLE
OMI	Ozone Monitoring Instrument
RRS	ROTATIONAL RAMAN SCATTERING
RTM	RADIATIVE TRANSFER MODEL
SCD	SLANT COLUMN DENSITY



ACRONYM	Meaning
SCIAMACHY	SCANNING IMAGING ABSORPTION SPECTROMETER
	FOR ATMOSPHERIC CHARTOGRAPHY
SOST	SCIAMACHY OPERATIONS SUPPORT TEAM
SRD	SOFTWARE REQUIREMENTS DOCUMENT
SZA	Solar Zenith Angle
ΤΟΑ	TOP OF ATMOSPHERE
TOMS	TOTAL OZONE MAPPING SPECTROMETER
UV	ULTRAVIOLET
UTC	UNIVERSAL TIME CONSTANT (GREENWICH MERIDIAN TIME
VCD	VERTICAL COLUMN DENSITY
WF-DOAS	WEIGHTING FUNCTION DOAS
WMO	WORLD METEOROLOGICAL ORGANIZATION
WOUDC	WORLD OZONE AND ULTRAVIOLET RADIATION DATA CENTRE



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8 Appendix: PSSA Forms

09.07.2	002				pssa1_GOT	OCORD-V1A.doc		
COMP	ANY COST ELEMENT DATA SHEET	FORM No. PSS A1 Issue 2	Page no	Page no. 1 of 1				
RFQ/IT	T no.: ESA ITT AO/1-4235/02/I-LG		COMPA	COMPANY NAME: IUP University of Bremen				
PROPO	DSAL no.: GOTOCORD-V1A		Name ar	Name and title: Dr. Mark Weber				
NATIO	NAL CURRENCY *: Euro (€)		Signatur	e:				
Period	for which agreed rates and overheads are valid	: From 1st July. 2002 To 31st Oct. 200	3					
ECON	OMIC CONDITIONS:							
1. LAB	OUR	Labour hourly Rate (€)	Labour OH%	Gross hourly rate (€)	Total hours	Gross Total (€)		
WP 10	0: Management BATIIa Research Scale	35.40	20	42.48	80	3,398.40		
WP 20	0: Administration BATIIa Research Scale	35.40	20	42.48	80	3,398.40		
WP 30	0: Sensitivity Study and Optimisation	35.40	20	42.48	640	27,187.20		
WP 40	0: Algorithm Implementation	35.40	20	42.48	960	40,780.80		
WP 50	0: Validation	35.40	20	42.48	320	13,593.60		
		· · ·		Ċ	A Gross Total Labour	88,358.40		
2. INTE	RNAL SPECIAL FACILITIES		Type of Unit	UNIT F	ATE (NC)	Gross Total (€)		
		· · · · · ·		B Gross Total Inter	nal Special Facilities	0.00		
3. OTH	ER COST ELEMENTS							
Accord	ing to ESA type	Cost (€)	Overhe	ead (%)	Overhead (€)	Gross Total (€)		
3.1 3.2 3.3 3.4 3.5 3.6	Raw materials Mechanical parts Semi-finished products Electric & electron components Hired parts a) procured by company b) procured by 3 rd party External major products							
3.7	External services							
3.8	Transport, insurance							
3.9	Travels	8,775.00	2	0	1,755.00	10,530.00		
3.10	Consumables	1,000,00	2	0	200.00	1,200.00		
3.11	Subcontracts							
				C Gross	otal Other Expenses	11,730.00		
GENE	RAL EXPENSES							
According to ESA type		According to normal company type	Applicable of	on cost element no.				
5. Gen	eral & Admin. expenses							
6. Rese	earch & Developm. expenses							
7. Othe	er (specify)							
D Gross Total Other Expenses								
12. Cost without additional charge (A+B+C+D)								

09.07.2002pssa2_GOTOCORD-V1A.doc									
COMPANY PRICE BREAKDOWN FORM Form No. PSS A2							Issue 3		
	Page No. 1 No. of Pages				No. of Pages 1 .				
RFQ/ITT No. ESA ITT AO/1-4235/02	/I-LG				COMPANY NAME: IUP University of Bremen				
Proposal/Tender No. GOTOCORE	/lark Weber								
Economic Condition	Туре	of Pric	ce: fixed			Signature:			
				SUPPLIES AN	D/C	OR SERVICES TO	e Furnished		
				GOME Total O	zon	ne Retrieval Develo	ment		
						1			
				Manpower		Gross	NC=€		
LABOUR				effort		Hourly			
Direct Labour cost centres or cate	gories			in Manhours		Rates in €			
WP 100				8	30	42.48	3,398	.40	
WP 200				8	30	42.48	3,398	.40	
WP 300				64	40	42.48	27,187	.20	
WP 400				96	50	42.48	40,780	.80	
WP 500				32	20	42.48	13,593	.60	
1 Total Direct Labour Hours and C	ost						A 88,358	.40	
INTERNAL SPECIAL FACILITIES	Б Туре	of uni	t	No. of units		Unit rates in NC	4		
2 Total Internal Special Facilities C	Cost						B 0	.00	
OTHER COST ELEMENTS	Amounts in I	VC	OH%	x A	Amo	ounts =	4		
3.1 Raw materials									
3.2 Mechanical parts									
3.3 Semi-finished products									
3.4 Electrical & electronic									
components									
3.5 Hirel parts									
a) procured by company									
b) procured by third party	() p.	m.							
3.6 External Major Products									
3.7 External Services									
3.8 Transport/Insurance									
3.9 Travels	8,77	5.00	20			1,755.00	10,530	.00	
3.10 Miscellaneous	1,00	0.00	20			200.00	1,200	.00	
3 Total Other Direct Cost	C 9,77	5.00				D 1,955.00	E 11,/30	.00	
4. SUB IOTAL COST	0					(A+B+E)	F 100,088	.00	
GENERAL EXPENSES	Cost iter	ns to v	wnicn	Base in NC	IO I	wnicn %			
E Conorol & Admin Europe	× 2	phies)	% app	mes	5			
5. General & Aumin. Expenses							<u> </u>		
6. Research & Develop. Exp.							H		
/. Other							J		
(IO be specified)							K 100 000	00	
8. Total Cost of All Work Packages			(F+G+H+J)	K 100,088	.00				
9. Overneads on Subcontractors (I	Dase in INC ON W	nich %	o applies:) %	LU	.00	
11 Drofit (% on item(s))						(K+L,	IVI N		
11. FTUIL (70 UIT ILETTI(S))									
12. Cust without additional charge (to be itemised on EXhibit A)						Г О			
13. Financial Provision for escalation, if applicable (justification and details to be stated on Exhibit A						U D 100 000	00		
14. Total (M+N+P+Q)							K 100,088	.00	
15. Reduction for company contrib	ution (il applicab	ie)					3		
						(ם כ'	Τ 100 000	00	
16. TOTAL PRICE FOR ESA (R-S)						1 100.000	.00		

PSSA8 Issue 3 ITT/RFQ: . ESA ITT AO/1-4235/02/I-LG

ШР		<u>_</u> ;
Company	WP Title	WP Numbe

Total WBS-Level

WP500

WP 400

WP 300

WP 200

WP 100

Labour hours as per PSS A2 (*) 1. Total Direct Labour Cost

2. Internal Special Facilities

 External major products Cost
 J. External Services Cost
 B. Transport/Insurance Cost
 P. Travel and Subsistance Cost
 10 Miscellaneous Cost
 Total Other Costs 3.5 High Rel Parts Costs 3.1-3.4 Material Costs

4. Subtotal Cost

5.-7. General expenses

8. Total Cost of WPs

Overhead on Subcontractors
 Subtotal (8+9)
 Profit
 Cost without additional charge

13. Financial Provision for escalation

14. Total

15. Reduction for company contribution (if applicable)

16. Total Price

	80h	80h	640h	960h	320h	2080h
	3,398.40	3,398.40	27,187.20	40,780.80	13,593.60	88,358.40
			E 076 00	7 E 20 M	2 014 00	10 500 00
	600.00	900.009	00.070.0	00.000.2	00.01 6,2	1.200.00
					-	
	3,898.40	3,898,40	31,417.20	42,895.80	16,023.60	100,088.40
					-	
NC						
					-	
		00000	00 2 57 50	10.00	1,000,00	1000000
EUKUS	3,898.40	3,898,40	31,417.20	42,845.80	10,023.00	100,088.40
NC						

Euros SC

09.07.2002

Work Breakdown Structure Level 1 Price Projection by Contractor in KEURO						Ц	SSA15 - Issue	3				
Subject: GOTOCORD-V1A Proposal		ITT/F	RFQ ref: . ESA I	TT A0/1-4235/0)2/I-LG		conomic Condi	itions:				
EURO Conversion Rate: as per form PSS A2 :		Curre	ency: €				ime periods ex	pressed in: mor	iths (total 12 mo	nths)		
Company	·	2	с.	4	ц	9	L	œ	6	10		12
Labor Cost WP 100/WP 200	552.24	552.24	594.72	552.24	552.24	594.72	552.24	552.24	594.72	552.24	552.24	594.72
Labor Cost WP 300/WP 400/ WP 500	6796.80	6796.80	6796.80	6796.80	6796.80	6796.80	6796.80	6796.80	6796.80	6796.80	6796.80	6796.80
Comsumables	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00	100.00
Travel	2538.00			2538.00			2538.00					2916.00
Amount in KEURO *	9987,04	7449.04	7491.52	9987.04	7449.04	7491.52	9987.04	7449.04	7491.52	7449.04	7449.04	10407,52
Cumulative Amount in KEURO *	9987.04	17436.08	24927.60	34914.64	42363.68	49855.20	59842.24	67291.28	74782.80	82231.84	89680.88	100088.40

To be understood as the "Total Price for ESA" as per box 16 of for

pssa15_GOTOCORD-V1A.doc

WORK BREAKDOWN STRUCTURE LEVEL 1 PRICE PROJECTION BY CONTRACTOR VS. PAYMENT PLAN IN KEURO

FORM NO PSS A15.1

ISSUE 3

pssa15_GOTOCORD-V1A_p2.doc

SUBJECT: GOTOCORD-V1A Proposal

CONTRACTOR IUP University of Bremen

TIME PERIODS EXPRESSED IN months

ITT/RFQ REF. ESA ITT AO/1-4235/02/I-LG

٦

CURRENCY (if NOT EURO):

Periods	S	1-4	5-6	6-10	10-12
1. MAJ	OR MILESTONES	Phase 1	Phase 2 Part A	Phase 2 Part B	Phase 3
2.	EXPENDITURES PER PERIOD	34914.64	14950.56	32376.64	17856.56
	CUMULATIVE EXPENDITURES	34914.64	49855.20	82231.84	100088.40
3	PAYMENT PLAN PER PERIOD *	30000.00	40000.00	0.00	30088.00
	CUMULATIVE PAYMENTS *	30000.00	70000.00	70000.00	100088.00