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Copernicus Climate Change Service



Product Quality Assessment Report (PQAR) – ANNEX A for products CO2_GOS_OCFP, CH4_GOS_OCFP & CH4_GOS_OCPR

C3S_312a_Lot6_IUP-UB – Greenhouse Gases

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History of modifications

Version	Date	Description of modification	Chapters / Sections
1.0	21-August-2017	New document	All
1.0b	11-October-2017	Page header logo replaced	Page header
1.1	20-October-2017	KPI replaced by TR	All



Related documents

Reference ID	Document
	Main PQAR:
D1	Buchwitz, M., et al., Product Quality Assessment Report (PQAR) – Main document, C3S project C3S_312a_Lot6_IUP-UB – Greenhouse Gases, v1.1, 2017. (this document is an ANNEX to the Main PQAR)



Acronyms

Acronym	Definition		
C3S	Copernicus Climate Change Service		
CDS	(Copernicus) Climate Data Store		
ECMWF	European Centre for Medium Range Weather Forecasting		
ECV	Essential Climate Variable		
ESA	European Space Agency		
EU	European Union		
GHG	GreenHouse Gas		
GMES	Global Monitoring for Environment and Security		
GOSAT	Greenhouse Gases Observing Satellite		
IUP	Institute of Environmental Physics (IUP) of the University of Bremen, Germany		
КРІ	Key Performance Indicator		
L1	Level 1		
L2	Level 2		
L3	Level 3		
L4	Level 4		
LMD	Laboratoire de Météorologie Dynamique		
MACC	Monitoring Atmospheric Composition and Climate, EU GMES project		
NOAA	National Oceanic and Atmospheric Administration		
0C0	Orbiting Carbon Observatory		
ррb	Parts per billion		
ppm	Parts per million		
QA	Quality Assurance		
QC	Quality Control		
SCIAMACHY	SCanning Imaging Absorption spectroMeter for Atmospheric ChartographY		
SWIR	Short Wava Infra Red		
TCCON	Total Carbon Column Observing Network		
TIR	Thermal Infra Red		
TR	Target Requirements		
TRD	Target Requirements Document		
UoL	University of Leicester, United Kingdom		



General definitions

Table 1 lists some general definitions relevant for this document.

Table 1: General definitions.

Item	Definition
XCO ₂	Column-averaged dry-air mixing ratios (mole fractions) of CO ₂
XCH ₄	Column-averaged dry-air mixing ratios (mole fractions) of CH ₄
L1	Level 1 satellite data product: geolocated radiance (spectra)
L2	Level 2 satellite-derived data product: Here: CO ₂ and CH ₄ information for each ground-pixel
L3	Level 3 satellite-derived data product: Here: Gridded CO_2 and CH_4 information, e.g., 5 deg times 5 deg, monthly
L4	Level 4 satellite-derived data product: Here: Surface fluxes (emission and/or uptake) of CO_2 and CH_4



Scope of document

This document is a Product Quality Assessment Report (PQAR) for the Copernicus Climate Change Service (C3S, <u>https://climate.copernicus.eu/</u>) component as covered by project C3S_312a_Lot6 led by University of Bremen, Germany.

Within project C3S_312a_Lot6 satellite-derived atmospheric carbon dioxide (CO₂) and methane (CH₄) Essential Climate Variable (ECV) data products will be generated and delivered to ECMWF for inclusion into the Copernicus Climate Data Store (CDS) from which users can access these data products and the corresponding documentation.

The C3S_312a_Lot 6 satellite-derived data products are:

- Column-averaged dry-air mixing ratios (mole fractions) of CO₂ and CH₄, denoted XCO₂ (in parts per million, ppm) and XCH₄ (in parts per billion, ppb), respectively.
- Mid/upper tropospheric mixing ratios of CO₂ (in ppm) and CH₄ (in ppb).

This document describes the validation / quality assessment of the C3S products CO2_GOS_OCFP (v 7.1), CH4_GOS_OCFP (v 7.1) and CH4_GOS_OCPR (v 7.0).

These products are XCO_2 and XCH_4 Level 2 products as retrieved from GOSAT using algorithms developed at the University of Leicester, UK.

Executive summary

XCO₂ and XCH₄ retrieved from the Greenhouse Gases Observing Satellite (GOSAT) have been derived using retrieval algorithms developed by the University of Leicester (UoL) for C3S. In this document we compared the GOSAT observations against highly accurate and verified ground-based measurements from the Total Carbon Column Observation Network (TCCON), in order to determine their accuracy and stability against the criteria set in the Target Requirements Document (TRD, *TRD GHG, 2017*)

The following products were verified against the TCCON GGG2014 dataset:

- CO2_GOS_OCFP (v 7.1)
- CH4_GOS_OCFP (v 7.1)
- CH4_GOS_OCPR (v 7.0)

GOSAT observations were spatially and temporally co-located with TCCON sites based on a fixed 555 km radius and ±2 hour temporal window. A number of statistics (e.g. relative accuracy, stability) based on the GOSAT-TCCON agreement were calculated for each product, which are detailed in the Main PQAR document. The probability that each product met the Target Requirement (TR) for accuracy and stability was also calculated. Qualitative analysis was also performed by comparing the seasonal average of each dataset against the XCO₂ and XCH₄ Monitoring Atmospheric Composition and Climate (MACC) model.

Overall, the UoL products are found to be highly stable, with a >90% probability of meeting the stability TR. The single measurement precision (1-sigma) reported by each product was also found to meet at least baseline requirement determined in the TRD. However, while the UoL XCH₄ products were able to meet the accuracy TR, the XCO₂ product was found to have an inadequately high TCCON-GOSAT bias for measurements made in 2016, which resulted in the accuracy TR not being met. It is likely that this high bias was caused by a preprocessing fault in the retrieval, in which the CO₂ a priori vertical profile was underestimated, which may have in turn resulted in a negative bias in the XCO₂ retrieved in that year. We will correct this bias for future iterations of these products.

1. Product validation methodology

1.1 The UoL CO₂ and CH₄ products

The UoL CO₂ and CH₄ ECV products are retrieved from calibrated GOSAT SWIR spectra using the OCO (Orbiting Carbon Observatory) full-physics retrieval algorithm discussed in *Boesch et al., 2011*. The retrieval algorithm obtains the column-averaged dry air mass mixing ratios of these gases (XCO₂ and XCH₄, respectively) from a simultaneous fit of the near-infrared O₂-A band spectrum at 0.76 μ m and the CO₂ bands at 1.61 and 2.06 μ m as measured by the GOSAT instrument.

The retrieval algorithm employs an iterative retrieval scheme based on Bayesian optimal estimation to estimate a set of atmospheric, surface and instrument parameters from measured spectral radiances. The forward model consists of coupled radiative transfer (RT) and solar spectrum models, calculating the monochromatic spectrum of light originating from the Sun, passing through the atmosphere, reflecting from Earth's surface or scattering back from the atmosphere, exiting at the top of the atmosphere (TOA) and entering the instrument. TOA radiances are then passed to the instrument model to simulate measured radiances at the appropriate spectral resolution. The forward model employs the LIDORT RT model combined with a fast 2-orders-of-scattering vector radiative transfer code discussed in *Natraj et al., 2008.* Additionally the code uses low-stream interpolation functionality (O'Dell, 2010) to accelerate the RT component of the retrieval algorithm.

The OCFP algorithm retrieves a CO₂ or CH₄ profile together with a number of additional parameters including scaling factors for H₂O and temperature profile, surface pressure, surface albedo, solar induced fluorescence SIF and spectral slope per band, spectral shift and stretch/squeeze, extinction profiles of two aerosol profiles and one cirrus cloud profile. XCO₂ or XCH₄, error metrics and averaging kernels are calculated from the retrieved CO₂ or CH₄ profile following algorithm convergence. Fast cloud screening based on clear-sky surface pressure retrieved from the O₂ A band is applied in pre-processing to reduce processing overhead on unrequired contaminated soundings, whilst a number of post-processing quality filters are applied for removal of low quality retrievals.

The OCPR algorithm also retrieves XCH₄ by using the CO₂ proxy method defined in *Frankenberg et al., 2011.* Making use of lower atmospheric CO₂ variation as compared to CH₄, coupled with the spectral proximity of CO₂ and CH₄ absorption bands, this allows CO₂ to be applied as a light path proxy, minimising spectral artefacts due to aerosol scattering and instrumental effects, as discussed in, *Butz et al., 2010.* CH₄ and CO₂ retrievals are carried out sequentially with channels at 1.65 µm and 1.61 µm respectively. To calculate the true XCH₄ value, the XCH₄:XCO₂ ratio is multiplied by a model XCO₂ concentration. The modelled XCO₂ is taken from from the median of a CO₂ model ensemble comprising data from GEOS-Chem (University of Edinburgh), NOAA CarbonTracker, *Peters et al., 2007,* and LMDZ / MACC-II *Chevallier et al., 2010,* convolved with scene-dependent instrument averaging kernels obtained from the GOSAT CO₂ retrieval. Fast cloud screening based on clear-sky surface pressure retrieved from the O₂ A band is applied in preprocessing to reduce

processing overhead on unrequired contaminated soundings, whilst a number of post-processing quality filters are applied for removal of low quality retrievals.

1.2 TCCON

The Total Carbon Column Observing Network (TCCON) is a global network of Fourier transform spectrometers built for the purpose of validating space-borne measurements of XCO_2 and XCH_4 , *Wunch et al., 2010.* TCCON observes these gases with a precision on mole fractions of ~0.15% and ~0.2% for CO_2 and CH_4 respectively, *Toon et al., 2009.* Although providing highly accurate measurements, the sparseness of the TCCON sites presents a challenge for validation; offering precise GHG measurements for only a limited range of geographic and meteorological conditions.

Additional considerations should be made when validating with TCCON data for differing sensitivity of instruments between TCCON and the satellite instrument, reflected in a-priori information used for each retrieval. Removing the influence of the retrieval a-priori, and replacing with the TCCON a-priori allows for a fairer comparison between the two datasets, although slight differences in retrieval methodologies prevent a 1:1 comparison. Users of C3S data (particularly in the modelling community) should note that the published C3S products are not corrected with TCCON a-priori information (due to a-priori differences between sites), and so will find slightly worse correlations between satellite retrieved GHGs and TCCON values in their own comparisons. TCCON data used for error assessments come from the GGG2014 collection (available from http://tccon.ornl.gov/).

The TCCON stations chosen to validate the UoL datasets are summarised in Table 2. These stations were chosen for their long data record in order to characterise the local seasonal cycle of CO_2 and CH_4 . Remote stations such as Ascension Island were thought to be too distant from land to validate nadir (land) measurements, and so were also excluded from this analysis.

Station name	Latitude (°)	Longitude (°)
Sodankyla	67.37	26.63
Bialystok	53.23	23.03
Bremen	53.10	8.85
Karlsruhe	49.10	8.44
Orleans	47.97	2.11
Garmisch	47.48	11.06
Park Falls	45.95	-90.27
Lamont	36.60	-97.49
Tsukuba	36.05	140.12
Saga	33.24	130.29
Darwin	-12.42	130.89
Wollongong	-34.41	150.88
Lauder	-45.04	169.68

Table 2: The TCCON stations used to validate the UoL GOSAT data products.

1.3 Co-location between TCCON and UoL GOSAT data

The TCCON instruments produce vigorously calibrated measurements of XCO₂ and XCH₄, and are an ideal validation dataset to compare against GOSAT data. GOSAT data must first be spatially and temporally matched against co-incident TCCON measurements; the process of matching these two datasets is referred to as "co-location" in this work. Below we detail the UoL colocation techniques, whose methodology has a bearing on subsequent error statistics.

1.3.1 Spatial

GOSAT points are co-located with TCCON sites based on their distance to station, regardless of geographic location. This is carried out with the delineation of a buffer around each TCCON site (555 km radius in the work presented here, equivalent to \sim 5° at the equator) using the Haversine formula on each satellite point to calculate the great-circle distance between TCCON site location and central coordinates of each satellite observation. This distance based method has a further advantage of eliminating satellite point selection for those lying beyond the defined radius, whilst the previous grid-box based method would include points beyond this up to those approximately within the hypotenuse for a grid box quartile.

1.3.2 Temporal

Matching GOSAT soundings with TCCON sites for time is a comparatively simple operation, selecting only those TCCON values whose observation time falls within ± 2 hours of each GOSAT sounding time. The average is taken of all TCCON points fitting these criteria for each GOSAT sounding to provide the TCCON value against which to compare.

2. Validation Results

To assess the quality of each product, the matched GOSAT-TCCON datasets are directly compared through linear regression. Statistics are collated over each individual TCCON site, as well as all of them combined. While both land and glint observations are considered for this exercise, only land observations will be used to produce a final assessment of the products, as the main application of these datasets will be to improve our knowledge of terrestrial carbon sources and sinks.

The most significant metrics calculated in this validation exercise are:

- **Single ground pixel random error** (or "single measurement precision", 1-sigma): Computed as the standard deviation of the difference of the single satellite measurement with TCCON.
- Mean bias: Computed as the mean difference of the satellite measurements with TCCON.
- "Relative systematic error" (or "relative accuracy"): Computed as standard deviation of the biases as obtained at the various TCCON sites (computed for the entire time series of in additions seasonally resolved).
- **Stability: Linear bias trend (drift)**: Computed from the slope (and the error of the slope) as obtained by fitting a straight line to satellite minus TCCON differences.
- **Stability: Year-to-year bias variability**: Computed as maximum minus minimum bias difference of smoothed (using a one year running average) satellite minus TCCON differences.
- QA/QC of the reported uncertainties: The satellite-derived Level 2 XCO₂ and XCH₄ data products contain an uncertainty estimate for each single observation. This uncertainty is meant to be the statistical uncertainty (1-sigma) associated with that observations. To assess the quality of these uncertainty estimates they have been compared with the standard deviation of the satellite minus TCCON retrievals at the various TCCON sites. The ratio of the mean value of the reported uncertainty would be identical with the standard deviation of the difference to TCCON if the reported uncertainty is correct and if the comparison method does not introduce an additional error (which is typically not the case, e.g., due to imperfect colocation in time and space). Therefore, one expects that the ratio of the mean value of the reported uncertainty deviation of the satellite minus TCCON difference is close (i.e., within a few 10%) to unity and this been typically confirmed for all products.

For more details on how these metrics are calculated, the user is referred to the Main PQAR document, *Buchwitz et al., 2017*. To ensure comparable statistics, the TCCON stations used to calculate the relative systematic error and stability statistics are the same as those used in the analysis shown in the Main PQAR document.



2.1 Product CO2_GOS_OCFP

2.1.1 Validation

Figure 1 shows a direct comparison of the co-located GOSAT and TCCON measurements over the entire temporal range of the dataset, as well as the results of a linear regression applied to the data. Figure 2 shows the GOSAT and TCCON data over each TCCON site, along with the mean bias and standard deviation (1-sigma). The average year-to-year stability (i.e. monthly mean TCCON-GOSAT bias smoothed using a 1 year running average) over all TCCON sites is plotted in Figure 3.

Figure 1: Correlation of TCCON GGG2014 and OCFPv7.1 XCO_2 observations over all TCCON sites mentioned in Table 2.



TCCON XCO2 (ppm)

Figure 2: TCCON GGG2014 (green) and OCFPv7.1 (red) XCO_2 observations; OCFP observations are co-located with TCCON sites using a 555 km spatial and a ± 2 hour temporal criteria.



Figure 3: Year-to-year Stability of the TCCON-OCFPv7.1 XCO_2 bias calculated with for ±6 month averaging window for each month of the GOSAT time series between April 2009 and December 2016. The thick blue symbols give the mean bias for a 12 month period and the shaded area indicates the standard deviation (1-sigma) of the data. The green lines gives the number of data points per 12 month period.





The derivation of the performance metrics discussed in Section 2 are discussed herein.

Single measurement precision

Table 3 shows the standard deviation of the TCCON-GOSAT bias recorded over each of the sites listed in Table 2. The mean single measurement precision over all sites was 1.88 ppm.

Table 3: The single measurement precision derived from the GOSAT-TCCON bias measured over each TCCON site listed in Table 2.

Site name	Single measurement precision [ppm]
Sodankyla	2.16
Bialystok	1.70
Bremen	1.64
Karlsruhe	2.04
Orleans	1.80
Garmisch	1.96
Park Falls	1.79
Lamont	1.66
Tsukuba	2.31
Saga	2.26
Darwin	1.56
Wollongong	1.85
Lauder	2.05

Uncertainty ratio

To assess the quality of the reported XCO₂ uncertainty, these values are directly compared against the standard deviation of the TCCON-GOSAT bias. Ideally, the ratio of the reported GOSAT uncertainty against the TCCON-GOSAT bias should be close to unity. Table 4 shows the mean uncertainty ratio derived over each TCCON site. The mean uncertainty ratio was found to be 0.95, which suggests that the retrieved uncertainty is reliable for the CO2 GOS OCFP product.

Site name	Uncertainty ratio
Sodankyla	0.91
Bialystok	1.08
Bremen	1.11
Karlsruhe	0.91
Orleans	1.00
Garmisch	0.94
Park Falls	1.01
Lamont	1.05
Tsukuba	0.87
Saga	0.85
Darwin	1.01
Wollongong	0.88
Lauder	0.77

Table 4: The mean uncertainty ratio (measurement uncertainty : standard deviation of the TCCON-GOSAT bias) for each TCCON site listed in Table 2.

<u>Mean bias</u>

The mean TCCON-GOSAT bias for each TCCON site is shown in Table 5. The mean bias over all sites was -0.33 ppm.

Table 5: The mean TCCON-GOSAT bias for each TCCON site listed in Table 2.

Site name	TCCON-GOSAT bias [ppm]
Sodankyla	-0.61
Bialystok	-0.28
Bremen	-0.35
Karlsruhe	-0.48
Orleans	-0.23
Garmisch	-0.80
Park Falls	0.41
Lamont	0.54
Tsukuba	-1.19
Saga	0.04
Darwin	-0.32
Wollongong	-0.07
Lauder	-0.95

Relative systematic error

For this work the relative systematic error was computed as the standard deviation of the TCCON-GOSAT bias obtained at each TCCON site. In order to be consistent with past assessments, this was computed as two values:

- "Relative spatial bias": Standard deviation of the mean per-site bias computed over the entire time series
- "Relative spatio-temporal bias": Standard deviation of the seasonal mean bias at each TCCON site (i.e. JFM, AMJ, JJA, etc.)

The relative spatial bias can be directly calculated from the mean values listed in Table 5: 0.47 ppm.

Computation of the relative spatio-temporal bias requires sufficient co-located observations to occur throughout the year in order to calculate a seasonal average. For this work it was found that only the Darwin, Lamont, Park Falls, and Wollongong sites had sufficient observations to compute a seasonal average, which are shown in Table 6. The relative spatio-temporal bias was calculated as the mean of these values: 0.88 ppm.

Table 6: The relative spatio-temporal bias (standard deviation of the seasonal mean bias) for each TCCON site listed in Table 2, over which sufficient observations were recorded over all seasons.

Site name	Relative spatio-temporal bias [ppm]
Darwin	1.19
Lamont	0.73
Park Falls	0.93
Wollongong	0.66

Stability (Linear drift)

For each TCCON site, the linear drift was calculated as the slope of the linear regression of the daily mean TCCON-GOSAT bias against time. The slope fit error was also calculated in order to give the 1-sigma uncertainty. The sites shown in Table 6 were found to have a sufficient number of observations to compute a robust drift estimate. Table 7 shows the drift and error calculated for these sites. The mean drift over these stations is: -0.12 +/- 0.16 ppm/year

Table 7: The linear drift and 1-sigma uncertainty calculated for each site listed in Table 2, over which sufficient observations were recorded over the entire time period.

Site name	Linear drift [ppm/year]
Darwin	-0.12 +/- 0.04
Lamont	-0.15 +/- 0.02
Park Falls	-0.14 +/- 0.03
Wollongong	-0.08 +/- 0.05

Stability (year-to-year bias variability)

For all TCCON sites the year-to-year variability was calculated by first computing a monthly mean of the TCCON-GOSAT bias. To minimise the influence of monthly variations, a one year (12 months) running average was applied to the time series. Finally, the year-to-year variability is taken as the difference between the minimum and maximum value. Figure 3 shows the smoothed monthly mean bias derived using this method, as well as the mean and standard deviation of the year-to-year stability derived from all TCCON sites.

2.1.2 Validation summary

The validation results are summarized in the table below.

Product Quality Summary Table for Product: CO2_GOS_OCFP					
Level: 2, Version: 7.1, Time period covered: 4.2009 – 12.2016					
Parameter [unit]	Achieved	Requirement	TR	Comments	
	performance				
Single measurement	1.88	< 8 (T)	-	-	
precision (1-sigma) in [ppm]		< 3 (B)			
		< 1 (G)			
Uncertainty ratio) in [-]:	0.95	-	-	No requirement but value	
Ratio reported uncertainty				a high quality data	
to standard deviation of				product.	
satellite-TCCON difference					
Mean bias [ppm]	-0.33	-	-	No requirement but value	
				a high quality data	
				product.	
Accuracy: Relative	Spatial –	< 0.5	Probability that	-	
systematic error [ppm]	spatiotemporal:		accuracy TR is met:		
	0.47 – 0.88		2.5%		
Stability: Drift [ppm/year]	-0.12 +/- 0.16	< 0.5	Probability that	-	
	(1-sigma)		stability TR is met:		
			92%		
Stability: Year-to-year bias	1.81 +/- 0.29	< 0.5	-	-	
variability [ppm/year]	(1-sigma)				

Table 8 - Product Quality Summary Table for product CO2_GOS_OCFP.



2.2 Product CH4_GOS_OCFP

2.2.1 Validation

Similar figures as shown in 2.1.1 for product CO2_GOS_OCFP are shown in this section but for the product CH4_GOS_OCFP.

Figure 4: Correlation of TCCON GGG2014 and OCFPv7.1 XCH₄ observations over all TCCON sites mentioned in Table 2.



TCCON XCH4 (ppb)

1900 Sodankyla, Finland Bialystok, Poland Bremen, Germany Orleans, France Karlsruhe, Germany 1850 1800 1750 mear 8.05 ppb .08 48 36 pp 1700 .69 = 464 a = 0,obs .76 = 307 ∆ = = 0, obs 70 = 837 $\Delta = 12 = 0.75$ obs. = 0 .76 = 719 = 0995 ob 1650 1900 Garmisch, Germany Park Falls, Wisconsin, USA Lamont, Oklahoma, US Tsukuba, Ibaraki, Japan Saga, Japan 1850 1800 (qd 1750) 1750 = -9.17 ppb = -4.84 ppb 37 ppb mean $\Delta = 3.27 \text{ ppb}$ 14.60 ppb ∆ = -7.81 ppb 3.82 ppb mean ∆ = -3.97 ppb [3.86 ppb $\sigma \Delta =$ H₂ 1700 $\Delta = 13.82 \text{ p}$ = 0.74 obs. = 586 74 = 840 = 0.78obs. = 1288 = 0.80obs. = 5765 = 0.76obs. = 358 0 ob 1650 1900 2012012012012013012012015016 Darwin, Australia Wollongong, Australia Lauder, New Zealand, 125 Lauder, New Zealand, 120 1850 1800 OCFPv7.1 1750 $\Delta = 1.33 \text{ ppb}$ 10.61 ppb 0.57 TCCON XCH₄ (ppb) an ∆ = -0.26 ppb = 10.57 ppb 0.69 bs. = 1594 14.21 ppb .65 . = 1621 $\frac{m\epsilon}{\sigma\Delta}$ 1700 1621 47 ob ob ob obs 1650 2012013012015016 2012012012012013012015016 2012012012012013012015016 202202 201201201201201301201 Year

Figure 5: TCCON GGG2014 (green) and OCFPv7.1 (red) XCO_2 observations; OCFP observations are co-located with TCCON sites using a 555 km spatial and a ± 2 hour temporal criteria.

Figure 6: Year-to-year Stability of the TCCON-OCFPv7.1 XCH₄ bias calculated with for ±6 month averaging window for each month of the GOSAT time series between April 2009 and December 2016. The thick blue symbols give the mean bias for a 12 month period and the shaded area indicates the standard deviation (1-sigma) of the data. The green lines gives the number of data points per 12 month period.



As in Section 2.1.1, the calculation of the validation metrics are discussed herein.



Single measurement precision

Table 9 shows the standard deviation of the TCCON-GOSAT bias recorded over each of the sites listed in Table 2. The mean single measurement precision over all sites was 13.16 ppb.

Table 9: The single measurement precision derived from the GOSAT-TCCON bias measured over each TCCON site listed in Table 2.

Site name	Single measurement precision [ppb]
Sodankyla	15.08
Bialystok	13.48
Bremen	12.36
Karlsruhe	13.36
Orleans	12.24
Garmisch	12.99
Park Falls	13.37
Lamont	14.60
Tsukuba	13.82
Saga	13.86
Darwin	10.57
Wollongong	14.21
Lauder	11.08

Uncertainty ratio

Table 10 shows the mean uncertainty ratio derived over each TCCON site. The mean uncertainty ratio was found to be 1.08, which suggests that the retrieved uncertainty is reliable for the CH4_GOS_OCFP product.

Table 10: The mean uncertainty ratio (measurement uncertainty : standard deviation of the TCCON-GOSAT bias) for each TCCON site listed in Table 2.

Site name	Uncertainty ratio
Sodankyla	1.06
Bialystok	1.06
Bremen	1.18
Karlsruhe	1.10
Orleans	1.18
Garmisch	1.11
Park Falls	1.05
Lamont	0.91
Tsukuba	1.10
Saga	1.05
Darwin	1.13
Wollongong	0.92
Lauder	1.16

<u>Mean bias</u>

The mean TCCON-GOSAT bias for each TCCON site is shown in Table 11. The mean bias over all sites was -2.44 ppb.

Table 11: The mean TCCON-GOSAT bias for each TCCON site listed in Table 2.

Site name	TCCON-GOSAT bias [ppb]
Sodankyla	-8.05
Bialystok	-3.79
Bremen	-3.37
Karlsruhe	-3.44
Orleans	-0.04
Garmisch	-9.17
Park Falls	-4.84
Lamont	3.27
Tsukuba	-7.81
Saga	-3.97
Darwin	-0.26
Wollongong	6.64
Lauder	3.04

Relative systematic error

The relative spatial bias can be directly calculated from the mean values listed in Table 11: 4.58 ppb.

Computation of the relative spatio-temporal bias requires sufficient co-located observations to occur throughout the year in order to calculate a seasonal average. For this work it was found that only the Darwin, Lamont, Park Falls, and Wollongong sites had sufficient observations to compute a seasonal average, which are shown in Table 12. The relative spatio-temporal bias was calculated as the mean of these values: 6.74 ppb.

Table 12: The relative spatio-temporal bias (standard deviation of the seasonal mean bias) for each TCCON site listed in Table 2, over which sufficient observations were recorded over all seasons.

Site name	Relative spatio-temporal bias [ppb]
Darwin	5.69
Lamont	7.26
Park Falls	8.07
Wollongong	5.94

Stability (Linear drift)

The sites shown in Table 12 were found to have a sufficient number of observations to compute a robust drift estimate. Table 13 shows the drift and error calculated for these sites. The mean drift over these stations is: -1.51 +/- 0.30 ppb/year

Table 13: The linear drift and 1-sigma uncertainty calculated for each site listed in Table 2, over which sufficient observations were recorded over the entire time period.

Site name	Linear drift [ppb/year]
Darwin	-1.50 +/- 0.23
Lamont	-2.31 +/- 0.18
Park Falls	-1.10 +/- 0.26
Wollongong	-1.14 +/- 0.36

Stability (year-to-year bias variability)

Figure 6 shows the smoothed monthly mean bias derived using this method, as well as the mean and standard deviation of the year-to-year stability derived from all TCCON sites.

2.2.2 Validation summary

The validation results are summarized in the table below.

Table 14 - Product Quality Summary Table for product CH4_GOS_OCFP.

Product Quality Summary Table for Product: CH4_GOS_OCFP					
Level: 2, Version: 7.1, Time period covered: 4.2009 – 12.2016					
Parameter [unit]	Achieved	Requirement	TR	Comments	
	performance				
Single measurement	13.16	< 34 (T)	-	-	
precision (1-sigma) in [ppb]		< 17 (B)			
		< 9 (G)			
Uncertainty ratio) in [-]:	1.08	-	-	No requirement but value	
Ratio reported uncertainty				close to unity expected for	
to standard deviation of				product.	
satellite-TCCON difference					
Mean bias [ppb]	-2.44	-	-	No requirement but value	
				a high quality data	
				product.	
Accuracy: Relative	Spatial –	< 10	Probability that	-	
systematic error [ppb]	spatiotemporal:		accuracy TR is met:		
	4.58 – 6.74		91%		
Stability: Linear bias trend	-1.51 +/- 0.30	< 3	Probability that	-	
[ppb/year]	(1-sigma)		stability TR is met:		
			92%		
Stability: Year-to-year bias	12.07 +/- 2.84	< 3	-	-	
variability [ppb/year]	(1-sigma)				



2.3 Product CH4_GOS_OCPR

2.3.1 Validation

Similar figures as shown in 2.1.1 for product CO2_GOS_OCFP are shown in this section but for the product CH4_GOS_OCPR.

Figure 7: Correlation of TCCON GGG2014 and OCPRv7.0 XCH₄ observations over all TCCON sites mentioned in Table 2.



TCCON XCH4 (ppb)



Figure 9: Year-to-year Stability of the TCCON-OCFPv7.1 XCH₄ bias calculated with for ±6 month averaging window for each month of the GOSAT time series between April 2009 and December 2016. The thick blue symbols give the mean bias for a 12 month period and the shaded area indicates the standard deviation (1-sigma) of the data. The green lines gives the number of data points per 12 month period.



As in Section 2.1.1, the calculation of the validation metrics are discussed herein.

Single measurement precision

Table 15 shows the standard deviation of the TCCON-GOSAT bias recorded over each of the sites listed in Table 2. The mean single measurement precision over all sites was 13.00 ppb.

Table 15: The single measurement precision derived from the GOSAT-TCCON bias measured over each TCCON site listed in Table 2.

Site name	Single measurement precision [ppb]
Sodankyla	16.12
Bialystok	13.68
Bremen	13.14
Karlsruhe	14.77
Orleans	13.07
Garmisch	15.39
Park Falls	13.07
Lamont	13.14
Tsukuba	12.82
Saga	13.74
Darwin	8.07
Wollongong	12.11
Lauder	9.87

Uncertainty ratio

Table 16 shows the mean uncertainty ratio derived over each TCCON site. The mean uncertainty ratio was found to be 0.87, which suggests that the retrieved uncertainty is reliable for the CH4_GOS_OCPR product.

Table 16: The mean uncertainty ratio (measurement uncertainty : standard deviation of the TCCON-GOSAT bias) for each TCCON site listed in Table 2.

Site name	Uncertainty ratio
Sodankyla	0.80
Bialystok	0.85
Bremen	0.87
Karlsruhe	0.80
Orleans	0.88
Garmisch	0.78
Park Falls	0.88
Lamont	0.76
Tsukuba	0.88
Saga	0.80
Darwin	1.12
Wollongong	0.83
Lauder	1.08

<u>Mean bias</u>

The mean TCCON-GOSAT bias for each TCCON site is shown in Table 17. The mean bias over all sites was -2.72 ppb.

Table 17: The mean TCCON-GOSAT bias for each TCCON site listed in Table 2.

Site name	TCCON-GOSAT bias [ppb]
Sodankyla	-7.23
Bialystok	-5.18
Bremen	-3.94
Karlsruhe	-3.34
Orleans	-1.96
Garmisch	-9.10
Park Falls	-7.39
Lamont	-1.70
Tsukuba	-1.97
Saga	1.03
Darwin	0.00
Wollongong	5.36
Lauder	0.11

Relative systematic error

The relative spatial bias can be directly calculated from the mean values listed in Table 17: 3.80 ppb.

Computation of the relative spatio-temporal bias requires sufficient co-located observations to occur throughout the year in order to calculate a seasonal average. For this work it was found that only the following sites had sufficient observations to compute a seasonal average (see Table 18): Bialystok, Darwin, Garmisch, Karlsruhe, Lauder, Lamont, Park Falls, Saga, Sodankyla, and Wollongong. The relative spatio-temporal bias was calculated as the mean of these values: 4.98 ppb.

Table 18: The relative spatio-temporal bias (standard deviation of the seasonal mean bias) for each TCCON site listed in Table 2, over which sufficient observations were recorded over all seasons.

Site name	Relative spatio-temporal bias [ppb]
Bialystok	4.58
Darwin	3.49
Garmisch	3.69
Karlsruhe	6.13
Lauder	2.78
Lamont	4.49
Park Falls	3.29
Saga	6.84
Sodankyla	9.44
Wollongong	5.10

Stability (Linear drift)

The sites shown in Table 18 were found to have a sufficient number of observations to compute a robust drift estimate. Table 19 shows the drift and error calculated for these sites. The mean drift over these stations is: 1.51 +/- 0.30 ppb/year

Table 19: The linear drift and 1-sigma uncertainty calculated for each site listed in Table 2, over which sufficient observations were recorded over the entire time period.

Site name	Linear drift [ppb/year]
Bialystok	0.42 +/- 0.23
Darwin	-0.16 +/- 0.14
Garmisch	0.63 +/- 0.24
Karlsruhe	-0.51 +/- 0.28
Lauder	-0.50 +/- 0.20
Lamont	-1.35 +/- 0.14
Park Falls	0.13 +/- 0.15
Saga	2.55 +/- 0.44
Sodankyla	0.77 +/- 0.25
Wollongong	-0.38 +/- 0.25

Stability (year-to-year bias variability)

Figure 9 shows the smoothed monthly mean bias derived using this method, as well as the mean and standard deviation of the year-to-year stability derived from all TCCON sites.

2.3.2 Validation summary

The validation results are summarized in the table below.

Table 20 - Product Quality Summary Table for product CH4_GOS_OCPR.

Product Quality Summary Table for Product: CH4_GOS_OCPR Level: 2, Version: 7.0, Time period covered: 4.2009 – 12.2016				
Parameter [unit]	Achieved	Requirement	TR	Comments
	performance			
Single measurement	13.00	< 34 (T)	-	-
precision (1-sigma) in [ppb]		< 17 (B)		
		< 9 (G)		
Uncertainty ratio) in [-]:	0.87	-	-	No requirement but
Ratio reported uncertainty				value close to unity
to standard deviation of				expected for a high
satellite-TCCON difference				quality data product.
Mean bias [ppb]	-2.72	-	-	No requirement but
				value close to zero
				expected for a high
				quality data product.
Accuracy: Relative	Spatial –	< 10	Probability that	-
systematic error [ppb]	spatiotemporal:		accuracy TR is met:	
	3.80 - 4.98		100%	
Stability: Linear bias trend	0.16 +/- 0.97	< 3	Probability that	-
[ppb/year]	(1-sigma)		stability TR is met:	
			97%	
Stability: Year-to-year bias	6.49 +/- 1.86	< 3	-	-
variability [ppb/year]	(1-sigma)			

3. Application(s) specific assessments

In addition to TCCON, the UoL C3S products can also be compared with XCO₂ and XCH₄, modelled by the MACC 15r2 and MACC S1NOAAv10 datasets, respectively. However, these datasets do not cover the entire temporal range of the GOSAT measurements; MACC 15r2 data is available up to2015, while MACC S1NOAAv10 is only available up to 2012. It should also be noted that for MACC S1NOAAv10 the stratospheric profile has been replaced with calculations from the TOMCAT model. The modelled CO₂ and CH₄ vertical profiles were convolved with the GOSAT averaging kernel before being compared with the UoL products.

Figure 10 shows the seasonal mean difference between the OCFP and MACC $15r2 XCO_2$. The lack of significant biases (i.e. more than ± 3 ppm) suggest that the magnitudes of the OCFP data are in line with expected values. Large seasonal biases are observed in Central-Eastern Asia and the Sahara, potentially due to the occurrence of high aerosol loadings unaccounted for by the retrieval.



Figure 10: Seasonal means of differences between OCFPv7.1 and MACC 15r2 XCO₂

Figure 11 shows the seasonal mean difference between the OCFP and MACC and TOMCAT XCH₄. As with CO_2 , no significant differences (i.e. more than \pm 50 ppm) were observed anywhere on the globe. Larger differences occur over South America in spring and summer and over Arabian Peninsula and North-Eastern Africa in summer, potentially because of higher than expected aerosol loading.



Figure 11: Seasonal means of differences between OCFP7.1 and MACC S1NOAAv10 + TOMCAT XCH₄

Figure 12 shows the seasonal mean difference between the OCPR and MACC and TOMCAT XCH₄. As with the OCFP dataset, no significantly large biases are observed, though regions where fluxes are uncertain (e.g. South-East Asia in autumn or southern Africa in winter) show higher than background differences with the model data.

Figure 12: Seasonal means of differences between OCPRv7.0 and MACC S1NOAAv10 + TOMCAT XCH₄



4. Compliance with user requirements

Tables 3-5 show the probability that the TR for relative accuracy and stability are met for each product. For CH_4 , the OCFP and OCPR products show a very high likelihood that these requirements are met. However, the CO_2 product does not appear to meet the accuracy criterion, though it manages to meet the stability criterion.

The larger than expected relative bias reported in Table 3 appears to have been caused by a considerable positive TCCON-GOSAT bias across all TCCON sites emerging in the 2016 data. We have investigated this bias, and have found that due to a preprocessing error, the same a priori CO_2 profiles used in retrievals for 2014 were also used for 2016 retrievals. Therefore, the global a priori CO_2 column used in the 2016 retrievals was likely to be an underestimate of the true value, and so may have negatively biased the retrieved XCO_2 for that year. We will correct this error in the next version of the dataset.

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