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Copernicus Climate Change Service



# Product User Guide and Specification (PUGS) – Main document

# C3S\_312a\_Lot6\_IUP-UB – Greenhouse Gases

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# History of modifications

Version	Date	Description of modification	Chapters / Sections
1.0	21-September-2017	New document	All
1.1	5-October-2017	2 figures corrected Sections 5.2 and 5.3 on WFMD products PUGSs merged to single Sect. 5.2	Figs. 19 & 20 Sect. 5.2 and 5.3
1.2 6-October-2017		2 figures corrected. Information added related to the quality of the IASI CO <sub>2</sub> products.	Figs. 25 & 26 Sect. 6
1.3 20-October-2017		KPI replaced by TR. Update of references.	All
-			

# **Related documents**

Referen ce ID	Document
D1	<b>GCOS-154:</b> Global Climate Observing System (GCOS), SYSTEMATIC OBSERVATION REQUIREMENTS FOR SATELLITE-BASED PRODUCTS FOR CLIMATE, Supplemental details to the satellite-based component of the "Implementation Plan for the Global Observing System for Climate in Support of the UNFCCC (2010 update)", Prepared by World Meteorological Organization (WMO), Intergovernmental Oceanographic Commission, United Nations Environment Programme (UNEP), International Council for Science, Doc.: GCOS 154, link: <u>https://www.wmo.int/pages/prog/gcos/Publications/gcos-154.pdf</u> , 2010.
D2	<b>GCOS-200:</b> The Global Observing System for Climate: Implementation Needs, World Meteorological Organization (WMO), GCOS-200 (GOOS-214), pp. 325, link: <a href="http://unfccc.int/files/science/workstreams/systematic">http://unfccc.int/files/science/workstreams/systematic</a> observation/application/pdf/gco <a href="http://unfccc.int/files/science/workstreams/systematic">s ip 10oct2016.pdf</a> , 2016.
D3	<b>ESA-CCI-GHG-URDv2.1:</b> Chevallier, F., et al., User Requirements Document (URD), ESA Climate Change Initiative (CCI) GHG-CCI project, Version 2.1, 19 Oct 2016, link: <u>http://www.esa-ghg-cci.org/?q=webfm_send/344</u> , 2016.
D4	<b>TRD GHG, 2017:</b> Buchwitz, M., Aben, I., Anand, J., Armante, R., Boesch, H., Crevoisier, C., Detmers, R. G., Hasekamp, O. P., Reuter, M., Schneising-Weigel, O., Target Requirement Document, Copernicus Climate Change Service (C3S) project on satellite-derived Essential Climate Variable (ECV) Greenhouse Gases (CO <sub>2</sub> and CH <sub>4</sub> ) data products (project C3S_312a_Lot6), Version 1.3, 20-October-2017, pp. 53, 2017.
D5	ATBD GHG, 2017: Buchwitz, M., Aben, I., Anand, J., Armante, R., Boesch, H., Crevoisier, C., Detmers, R. G., Hasekamp, O. P., Reuter, M., Schneising-Weigel, O., Algorithm Theoretical Basis Document (ATBD) – Main document, C3S project C3S_312a_Lot6_IUP- UB – Greenhouse Gases, v1.1, 2017.
D6	<b>PQAR GHG, 2017:</b> Buchwitz, M., Aben, I., Anand, J., Armante, R., Boesch, H., Crevoisier, C., Detmers, R. G., Hasekamp, O. P., Reuter, M., Schneising-Weigel, O., Product Quality Assessment Report (PQAR) – Main document, C3S project C3S_312a_Lot6_IUP-UB – Greenhouse Gases, v1.1, 2017.



# Acronyms

Acronym	Definition		
AIRS	Atmospheric Infrared Sounder		
AMSU	Advanced Microwave Sounding Unit		
ATBD	Algorithm Theoretical Basis Document		
BESD	Bremen optimal Estimation DOAS		
CAR	Climate Assessment Report		
C3S	Copernicus Climate Change Service		
CCDAS	Carbon Cycle Data Assimilation System		
CCI	Climate Change Initiative		
CDR	Climate Data Record		
CDS	(Copernicus) Climate Data Store		
CMUG	Climate Modelling User Group (of ESA's CCI)		
CRG	Climate Research Group		
D/B	Data base		
DOAS	Differential Optical Absorption Spectroscopy		
EC	European Commission		
ECMWF	European Centre for Medium Range Weather Forecasting		
ECV	Essential Climate Variable		
EMMA	Ensemble Median Algorithm		
ENVISAT	Environmental Satellite (of ESA)		
EO	Earth Observation		
ESA	European Space Agency		
EU	European Union		
EUMETSAT	European Organisation for the Exploitation of Meteorological Satellites		
FCDR	Fundamental Climate Data Record		
FoM	Figure of Merit		
FP	Full Physics retrieval method		
FTIR	Fourier Transform InfraRed		
FTS	Fourier Transform Spectrometer		
GCOS	Global Climate Observing System		
GEO	Group on Earth Observation		
GEOSS	Global Earth Observation System of Systems		
GHG	GreenHouse Gas		
GOME	Global Ozone Monitoring Experiment		
GMES	Global Monitoring for Environment and Security		

GOSAT	Greenhouse Gases Observing Satellite		
IASI	Infrared Atmospheric Sounding Interferometer		
IMAP-DOAS (or IMAP)	Iterative Maximum A posteriori DOAS		
IPCC	International Panel in Climate Change		
IUP	Institute of Environmental Physics (IUP) of the University of Bremen, Germany		
JAXA	Japan Aerospace Exploration Agency		
JCGM	Joint Committee for Guides in Metrology		
L1	Level 1		
L2	Level 2		
L3	Level 3		
L4	Level 4		
LMD	Laboratoire de Météorologie Dynamique		
MACC	Monitoring Atmospheric Composition and Climate, EU GMES project		
NA	Not applicable		
NASA	National Aeronautics and Space Administration		
NetCDF	Network Common Data Format		
NDACC	Network for the Detection of Atmospheric Composition Change		
NIES	National Institute for Environmental Studies		
NIR	Near Infra Red		
NLIS	LMD/CNRS <i>neuronal</i> network mid/upper tropospheric CO2 and CH4 retrieval		
	algorithm		
NOAA	National Oceanic and Atmospheric Administration		
Obs4MIPs	Observations for Climate Model Intercomparisons		
0C0	Orbiting Carbon Observatory		
OE	Optimal Estimation		
PBL	Planetary Boundary Layer		
ppb	Parts per billion		
ppm	Parts per million		
PR	(light path) PRoxy retrieval method		
PVIR	Product Validation and Intercomparison Report		
QA	Quality Assurance		
QC	Quality Control		
REQ	Requirement		
RMS	Root-Mean-Square		
RTM	Radiative transfer model		
SCIAMACHY	SCanning Imaging Absorption spectroMeter for Atmospheric ChartographY		
SCIATRAN	SCIAMACHY radiative transfer model		
SRON	SRON Netherlands Institute for Space Research		
SWIR	Short Wava Infra Red		
TANSO	Thermal And Near infrared Sensor for carbon Observation		

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TANSO-FTS	Fourier Transform Spectrometer on GOSAT
ТВС	To be confirmed
TBD	To be defined / to be determined
TCCON	Total Carbon Column Observing Network
TIR	Thermal Infra Red
TR	Target Requirements
TRD	Target Requirements Document
WFM-DOAS (or WFMD)	Weighting Function Modified DOAS
UoL	University of Leicester, United Kingdom
URD	User Requirements Document
WMO	World Meteorological Organization
Y2Y	Year-to-year (bias variability)



# **General definitions**

Table 1 lists some general definitions relevant for this document.

Table 1: General definitions.

Item	Definition
XCO <sub>2</sub>	Column-average dry-air mixing ratio (mole fraction) of CO <sub>2</sub>
XCH <sub>4</sub>	Column-average dry-air mixing ratio (mole fraction) of CH <sub>4</sub>
L1	Level 1 satellite data product: geolocated radiance (spectra)
L2	Level 2 satellite-derived data product: Here: CO <sub>2</sub> and CH <sub>4</sub> information for each ground-pixel
L3	Level 3 satellite-derived data product: Here: Gridded CO <sub>2</sub> and CH <sub>4</sub> information, e.g., 5 deg times 5 deg, monthly
L4	Level 4 satellite-derived data product: Here: Surface fluxes (emission and/or uptake) of $CO_2$ and $CH_4$



### Scope of document

This document is the Product User Guide and Specification (PUGS) for the Copernicus Climate Change Service (C3S, <u>https://climate.copernicus.eu/</u>) component as covered by project C3S\_312a\_Lot6 led by University of Bremen, Germany.

Within this project, satellite-derived atmospheric carbon dioxide (CO<sub>2</sub>) and methane (CH<sub>4</sub>) Essential Climate Variable (ECV) data products have been generated and delivered to ECMWF for inclusion into the Copernicus Climate Data Store (CDS), from where users can access these data products and the corresponding documentation.

These satellite-derived data products are:

- Column-average dry-air mixing ratios (mole fractions) of CO<sub>2</sub> and CH<sub>4</sub>, denoted XCO<sub>2</sub> (in parts per million, ppm) and XCH<sub>4</sub> (in parts per billion, ppb), respectively.
- Mid/upper tropospheric mixing ratios of CO<sub>2</sub> (in ppm) and CH<sub>4</sub> (in ppb).

Requirements on data quality are formulated in the corresponding Target Requirement Document (TRD) (*TRD GHG, 2017*) (Reference ID D4).

The main purpose of this document is to describe the satellite-derived  $CO_2$  and  $CH_4$  greenhouse gas (GHG) ECV data products for users of these data products.

Note that this document does not contain a description of the retrieval algorithms which have been used to generate these products. These algorithms are described in a separate document (Reference ID D5): Algorithm Theoretical Basis Document (ATBD) (*ATBD GHG, 2017*).

Note also that this document does not contain detailed validation results. Detailed data quality and validation results are reported in a separate document (Reference ID *D6*): Product Quality Assessment Report (PQAR) (*PQAR GHG, 2017*).



#### **Executive summary**

In this document the satellite-derived atmospheric carbon dioxide (CO<sub>2</sub>) and methane (CH<sub>4</sub>) Climate Data Record (CDR) data products are described as generated via the C3S\_312a\_Lot6 project of the Copernicus Climate Change Service (C3S, <u>https://climate.copernicus.eu/</u>).

These satellite-derived data products are:

- Column-averag dry-air mixing ratios (mole fractions) of CO<sub>2</sub> and CH<sub>4</sub>, denoted XCO<sub>2</sub> (in parts per million, ppm) and XCH<sub>4</sub> (in parts per billion, ppb), respectively.
- Mid/upper tropospheric mixing ratios of CO<sub>2</sub> (in ppm) and CH<sub>4</sub> (in ppb).

These data products are generated from the satellite instruments SCIAMACHY/ENVISAT and TANSO-FTS/GOSAT (XCO<sub>2</sub> and XCH<sub>4</sub> products) and AIRS and IASI (mid/upper troposphere products).

All data products are available as Level 2 (individual ground pixels) products in NetCDF format. The XCO<sub>2</sub> (Figure 1) and XCH<sub>4</sub> (Figure 2) Level 2 products are available for individual sensors but also as merged multi-sensor products. In addition, also merged Level 3 (i.e., gridded) products in OBS4MIPS format are available for the XCO<sub>2</sub> and XCH<sub>4</sub> products.

CO<sub>2</sub> and CH<sub>4</sub> are important climate-relevant atmospheric gases, so-called greenhouse gases (GHG). Because of their important role for climate they are classified as Essential Climate Variables (ECVs). The ECV GHG as formulated by GCOS (Global Climate Observing System) is defined as: "Retrievals of greenhouse gases, such as CO<sub>2</sub> and CH<sub>4</sub>, of sufficient quality to estimate regional sources and sinks" (*GCOS-154*). This definition contains already the main application of these atmospheric data products, namely to use them (in combination with appropriate modelling) to obtain (improved) information on their (primarily surface) sources and sinks.

Both gases, CO<sub>2</sub> and CH<sub>4</sub>, have a long lifetime in the atmosphere. As a consequence of this fact and related human emissions, the atmospheric concentrations of these gases are relatively high (about 400 ppm for CO<sub>2</sub> and 1.8 ppm for CH<sub>4</sub>) compared to other atmospheric trace gases. As a result of this even a moderate to strong (surface) source or sink typically only results in a relatively small local or regional change (enhancement or depletion relative to the surrounding region) in their vertical columns or their mid/upper tropospheric concentration. The observational requirements are therefore very demanding in particular with respect to random and systematic errors and stability.

Because of their long lifetime and atmospheric transport, elevated (or depleted) atmospheric CO<sub>2</sub> and CH<sub>4</sub> concentrations can be higher (or lower) relative to the background far away from the surface source (or sink), which has emitted (or taken up) these atmospheric gases. In order to obtain source/sink information from the atmospheric observations it is therefore required to take atmospheric transport (and in particular for methane also atmospheric chemistry) into account and to consider the exact time and location of the atmospheric observations. As a consequence, the most relevant data products are the Level 2 (L2) products, which contain detailed information (time, location, etc.) for each individual satellite ground pixel. The requirements as formulated in the



Target Requirement Document (*TRD GHG, 2017*) are, therefore, mostly L2 requirements. However, for XCO<sub>2</sub> and XCH<sub>4</sub> also (gridded) Level 3 (L3) products have been generated (in OBS4MIPS format).

The C3S\_312a\_Lot6 project is essentially the (pre-)operational continuation of the research and development (R&D) pre-cursor project GHG-CCI (<u>http://www.esa-ghg-cci.org/</u>) of ESA's Climate Change Initiative (CCI). The main goal of the C3S\_312a\_Lot6 project is to extend (in time) the data base of GHG-CCI pre-cursor data products. The first C3S\_312a\_Lot6 data set covers the time period 2003-2016.

This document is the MAIN PUGS document. It provides and overview about the products by describing the data format and content which is relevant for all users. However, each product may also contain additional – typically algorithm specific – information, which may be useful for certain applications. Details on each product are provided in separate ANNEXes:

- **ANNEX A:** PUGS for products CO2\_GOS\_OCFP, CH4\_GOS\_OCFP, CH4\_OCPR (University of Leicester's GOSAT products)
- **ANNEX B:** PUGS for products CO2\_GOS\_SRFP, CH4\_GOS\_SRFP (SRON's "full physics" GOSAT products)
- ANNEX C: PUGS for product CH4\_GOS\_SRPR (SRON's "proxy" GOSAT XCH<sub>4</sub> product)
- **ANNEX D:** PUGS for products XCO2\_EMMA, XCH4\_EMMA (University of Bremen's merged Level 2 products)
- ANNEX E: PUGS for IASI CO<sub>2</sub> and CH<sub>4</sub> products (LMD/CNRS's IASI products)
- **ANNEX F:** PUGS for OBS4MIPS XCO<sub>2</sub> and XCH<sub>4</sub> products (University of Bremen's merged Level 3 products)

#### Finally a warning:

The data products have been generated as carefully as possible including appropriate quality filtering to (automatically) remove "bad data". Nevertheless, these are real data from real instruments and they contain (instrument related) features such as noise and systematic errors. The products have been validated by comparison with (mostly) ground-based observations (see *PQAR GHG, 2017*) but these reference observations are sparse and do not cover all observational and geophysical conditions. Using these data products for scientific or other applications requires care and is not trivial.

Note in particular that the SCIAMACHY XCH<sub>4</sub> products suffers from detector degradation issues especially after October 2005 resulting in increased scatter and likely also increased systematic error. As a consequence also the merged multi-sensor (i.e., XCH4\_EMMA and XCH4\_OBS4MIPS) methane products suffer from this (see Figure 3). For XCO<sub>2</sub> no degradation has been identified (see Figure 4).

Figure 1 - Overview of the C3S XCO<sub>2</sub> products during 2003-2016 in terms of global maps and time series. Note that the same data are also shown in Figure 6, where more details are given.

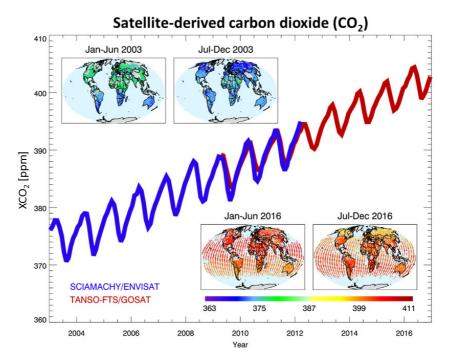


Figure 2 - Overview of the C3S XCH<sub>4</sub> products during 2003-2016 in terms of global maps and time series. Note that the same data are also shown in Figure 8, where more details are given.

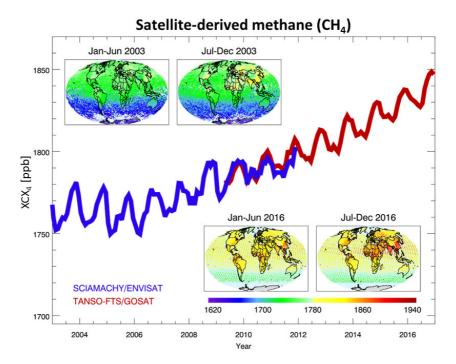


Figure 3 – Time series of XCH<sub>4</sub> around Lamont, Oklahoma, USA (+/- 1°). Shown is product XCH4\_EMMA, i.e., the new C3S merged multi-sensor XCH<sub>4</sub> Level 2 product covering the time period 2003-2016. The time series starts with SCIAMACHY/ENVISAT. TANSO-FTS/GOSAT XCH<sub>4</sub> is added in 2009 and after March 2010 the product only contains GOSAT data. As can be seen, the scatter (noise) of the SCIAMACHY data is much larger than GOSAT and it can also be seen that the scatter of the SCIAMACHY data increases with time. This needs to be considered when using this product (or other methane products based on SCIAMACHY) for scientific or other applications.

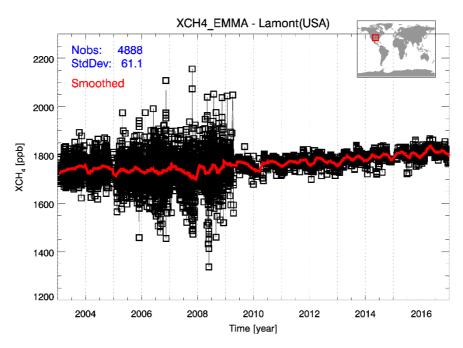
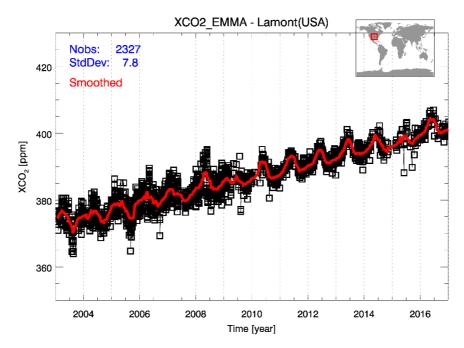


Figure 4 – As Figure 3 but for product XCO2\_EMMA.





### 1. Overview data products and instruments

In this section an overview of the data products - specified in terms of variable, its property, processing level(s) and instrument(s) - is given.

The data products are (see also Buchwitz et al., 2013b, 2016, 2017):

- Column-average dry-air mixing ratios (mole fractions) of CO<sub>2</sub> and CH<sub>4</sub>, denoted XCO<sub>2</sub> (in parts per million, ppm) and XCH<sub>4</sub> (in parts per billion, ppb).
- Mid/upper tropospheric mixing ratios of CO<sub>2</sub> and CH<sub>4</sub>.

Carbon dioxide and methane are important atmospheric greenhouse gases (e.g., *IPCC 2013*) but despite their importance our knowledge on their various and variable natural and anthropogenic sources and sinks has significant gaps (e.g., *IPCC 2013; Ciais et al., 2014; 2015; Kirschke et al., 2013; Nisbet et al., 2014,* and references given therein). A purpose of the satellite data products described in this document is to contribute to enhancing our knowledge on the CO<sub>2</sub> and CH4 sources and sinks (via appropriate (inverse) modelling).

Carbon dioxide and methane are so-called Essential Climate Variables (ECVs) and the need to monitor them has been clearly identified including the definition of key requirements (e.g., *GCOS-154, GCOS-200*). In recent years several satellite-derived ECV data products have been generated in particular in the framework of the Climate Change Initiative (CCI) of ESA (e.g., *Hollmann et al., 2013*) including CO<sub>2</sub> and CH<sub>4</sub> (e.g., *Buchwitz et al., 2013a, 2016, 2017*).

Previous version of these satellite-derived CO<sub>2</sub> and CH<sub>4</sub> data products have been used for a number of (primarily scientific) applications, e.g.,

- to improve our knowledge on the various natural and anthropogenic (surface) sources and sinks of these important greenhouse gases (GHG) (see, e.g., *Alexe et al., 2015; Bergamaschi et al., 2015; Chevallier et al., 2014, 2016a, 2016b; Cressot et al, 2014; Detmers et al., 2015; Guerlet et al., 2013; Houweling et al., 2015; McNorton et al., 2016; Pandey et al., 2016; Reuter et al., 2014b, 2017; Schneising et al., 2014b; Turner et al., 2015, 2016, and references given therein)*
- to monitor the global distribution of CO<sub>2</sub> and CH<sub>4</sub> (e.g., *Buchwitz et al., 2007, 2016b; Schneising et al., 2011; Frankenberg et al., 2011; Massart et al., 2016*)
- to improve our knowledge on emission ratios, e.g., for biomass burning (e.g., *Ross et al., 2013; Parker et al., 2016*)
- for comparisons with (chemistry) climate models (e.g., *Shindell et al., 2013; Hayman et al., 2014; Lauer et al., 2017*) and other models (e.g., *Schneising et al., 2014a; Parker et al., 2016*)

In the following sub-sections an overview about the satellite-derived CO<sub>2</sub> and CH<sub>4</sub> data products is given.



#### 1.1 Column-average mixing ratios of CO<sub>2</sub> and CH<sub>4</sub> (XCO<sub>2</sub> and XCH<sub>4</sub>)

#### 1.1.1 Overview

Satellite radiance observations in the Near Infrared / Short Wave Infrared (NIR/SWIR) spectral region in nadir (downlooking) observation viewing mode are sensitive to atmospheric CO<sub>2</sub> and CH<sub>4</sub> concentration changes with good sensitivity down to the Earth's surface (because solar radiation reflected at the Earth's surface is observed). These measurements permit to obtain "total column information" but do not permit to obtain (detailed) information on the vertical profiles of CO<sub>2</sub> and CH<sub>4</sub>. The CO<sub>2</sub> and CH<sub>4</sub> products derived from these satellites are column-averaged dry-air mixing ratios (more precisely: mole fractions) of CO<sub>2</sub> and CH<sub>4</sub> denoted XCO<sub>2</sub> (e.g., in ppm) and XCH<sub>4</sub> (e.g., in ppb).

In the following, several satellite instruments are shortly described which are used / can be used to generate  $XCO_2$  and/or  $XCH_4$  data products.

#### 1.1.2 Instruments

The first C3S data set has been derived from the satellite instruments SCIAMACHY on ENVISAT and TANSO-FTS onboard GOSAT, which are shortly described in the following.

Additional satellites are also shortly mentioned, which are planned to be used for future versions of our data products.

#### 1.1.2.1 SCIAMACHY/ENVISAT

SCIAMACHY (SCanning Imaging Absorption spectroMeter for Atmospheric ChartographY) was a spectrometer on ESA's ENVISAT satellite (2002-2012). SCIAMACHY (*Burrows et al., 2005; Bovensmann et al., 1999*) covers the spectral region from the ultra-violet to the SWIR spectral region (240 nm - 2380 nm) at moderate spectral resolution (0.2 nm - 1.5 nm) and observes the Earth's atmosphere in various viewing geometries (nadir, limb and solar and lunar occultation). For a good general overview on SCIAMACHY see also <u>https://en.wikipedia.org/wiki/SCIAMACHY</u>. SCIAMACHY permits the retrieval of XCO<sub>2</sub> (e.g., *Reuter et al., 2011; Schneising et al., 2011*) and XCH<sub>4</sub> (e.g., *Schneising et al., 2011; Frankenberg et al., 2011*) from the appropriate spectral regions in the SWIR (around 1.6 µm) and the NIR (O<sub>2</sub> A-band at 760 nm used to obtain the dry-air column using the know dry-air mixing ratio of atmospheric oxygen). The ground pixel size is typically 30 km along track times 60 km across track and the swath width is about 960 km. There are no across-track gaps between the ground pixels but there are gaps along-track as SCIAMACHY operates only part of the time (approx. 50%) in nadir observation mode.

#### 1.1.2.2 TANSO-FTS/GOSAT

TANSO-FTS is a Fourier-Transform-Spectrometer (FTS) onboard the Japanese GOSAT satellite (*Kuze et al., 2009, 2014, 2016*). The Greenhouse Gases Observing Satellite "IBUKI" (GOSAT) is the world's first spacecraft in orbit dedicated to measure the concentrations of carbon dioxide and methane from space. The spacecraft was launched successfully on January 23, 2009, and has been operating properly since then. GOSAT covers the relevant CO<sub>2</sub>, CH<sub>4</sub> and O<sub>2</sub> absorption bands in the NIR and SWIR spectral region as needed for accurate XCO<sub>2</sub> and XCH<sub>4</sub> retrieval (in addition GOSAT also covers a large part of the Thermal Infrared (TIR) spectral region). The spectral resolution of TANSO-FTS is much higher compared to SCIAMACHY and also the ground pixels are smaller (10 km compared to several 10 km for SCIAMACHY). However, in contrast to SCIAMACHY, the GOSAT scan pattern consists of non-consecutive individual ground pixels, i.e., the scan pattern is not gap-free. For a good general overview about GOSAT see also http://www.gosat.nies.go.jp/en/.

#### 1.1.2.3 OCO-2

NASA's Orbiting Carbon Observatory 2 (OCO-2) mission (*Crisp et al., 2004; Boesch et al., 2011*) has been successfully launched in July 2014. The OCO-2 Project primary science objective is to collect the first space-based measurements of atmospheric carbon dioxide with the precision, resolution and coverage needed to characterize its sources and sinks and quantify their variability over the seasonal cycle. During its two-year mission, OCO-2 will fly in a sun-synchronous, near-polar orbit with a group of Earth-orbiting satellites with synergistic science objectives whose ascending node crosses the equator near 13:30 hours Mean Local Time (MLT). Near-global coverage of the sunlit portion of Earth is provided in this orbit over a 16-day (233-revolution) repeat cycle. OCO-2's single instrument incorporates three high-resolution grating spectrometers, designed to measure the near-infrared absorption of reflected sunlight by carbon dioxide and molecular oxygen. OCO-2 covers similar spectral bands as SCIAMACHY and GOSAT but OCO-2 has much smaller ground pixels (km scale) but the swath width is much smaller (approx. 10 km) compared to SCIAMACHY. OCO-2 delivers XCO<sub>2</sub> but not XCH<sub>4</sub>. Details on OCO-2 are also given on https://oco.jpl.nasa.gov/.

#### 1.1.2.4 TanSat

The Chinese TanSat satellite (<u>https://en.wikipedia.org/wiki/TanSat</u>) has been successfully launched in December 2016. The TanSat satellite and instrument is very similar as OCO-2. As OCO-2, TanSat delivers XCO<sub>2</sub> but not XCH<sub>4</sub>. At the time of writing no details on the achieved in-orbit XCO<sub>2</sub> performance of TanSat is available.

#### 1.1.2.5 Sentinel-5-Precursor (S5P)

ESA's Sentinel-5-Precursor (S5P) mission (*Veefkind et al, 2012*) is scheduled for launch in mid 2017. S5P will permit XCH<sub>4</sub> retrievals (*Butz et al., 2012*) at about 7 km and using a wide swath of about 2600 km. Details on S5P can also be found on <u>https://earth.esa.int/web/guest/missions/esa-future-missions/sentinel-5P</u>.



#### 1.1.2.6 Other instruments

Several other satellites are expected to be launched in the future, e.g., the GOSAT follow-on GOSAT-2 for XCO<sub>2</sub> and XCH<sub>4</sub>, the active laser-based mission MERLIN (Methane Remote Sensing Lidar Mission, see <u>https://de.wikipedia.org/wiki/Merlin (Satellit)</u>) for XCH<sub>4</sub> and NASA's recently approved geostationary GeoCARB mission. It can also be expected that in the future other satellites will be launched which permit also to obtain detailed global information on anthropogenic CO<sub>2</sub> emissions (e.g., *Ciais et al., 2015*), for example a satellite like CarbonSat (*Bovenmann et al., 2010; Buchwitz et al., 2013b; Pillai et al., 2016*) or even a CarbonSat-like constellation (*Velazco et al., 2011*).

#### $1.1.3 \ XCO_2$

As explained,  $XCO_2$  is the column-averaged dry-air mixing ratio (mole fraction) of atmospheric  $CO_2$ . A  $XCO_2$  value of, for example, 400 ppm at a given location means that 400  $CO_2$  molecules are present in the atmosphere above that location per one million air molecules excluding water molecules.

XCO<sub>2</sub> can be retrieved from instruments such as SCIAMACHY/ENVISAT and TANSO-FTS/GOSAT using Optimal Estimation (*Rodgers, 2000*) or DOAS (*Buchwitz et al., 2000*) retrieval algorithms as shown in various publications (e.g., *Buchwitz et al., 2005; Butz et al., 2011; Cogan et al., 2011; Reuter et al., 2011; 2013; Schneising et al., 2011; Yoshida et al., 2013*). These products have been validated using Total Carbon Column Observing Network (TCCON) (*Wunch et al., 2010, 2011, 2015*) XCO<sub>2</sub> ground based observations (e.g., *Dils et al., 2014*).

In this document the latest versions of these data products are described.

Figure 5 shows time series of satellite-derived  $XCO_2$ . As can be seen,  $XCO_2$  is increasing by about 2 ppm/year primarily due to burning of fossil fuels and shows a pronounced seasonal cycle, primarily due to uptake and release of  $CO_2$  by the terrestrial biosphere.

Figure 6 shows maps and time series for two of these products.

Figure 5 – Satellite-derived northern hemispheric  $XCO_2$  time series. Shown are four time series, each corresponding to one of the four individual satellite sensor Level 2  $XCO_2$  products, which are described in this document.

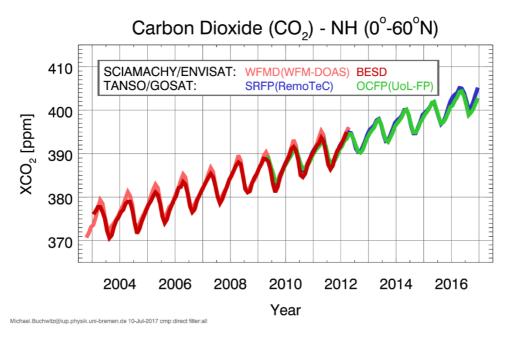
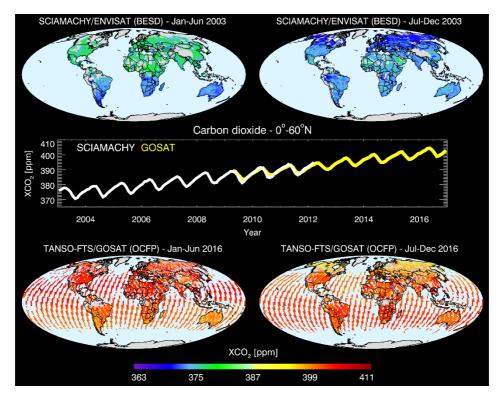


Figure 6 - Maps of XCO<sub>2</sub> product CO2\_SCI\_BESD (top, for year 2003) and product CO2\_GOS\_OCFP (bottom, for year 2016) and northern hemispheric time series (middle).





#### $1.1.4 \ XCH_4$

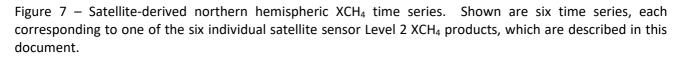
As explained, XCH<sub>4</sub> is the column-averaged dry-air mixing ratio (mole fraction) of atmospheric CH<sub>4</sub>. A XCH<sub>4</sub> value of, for example, 1800 ppb at a given location means that 1800 CH<sub>4</sub> molecules are present in the atmosphere above that location per one billion air molecules excluding water molecules.

XCH<sub>4</sub> can be retrieved from instruments such as SCIAMACHY/ENVISAT and TANSO-FTS/GOSAT using Optimal Estimation (*Rodgers, 2000*) or DOAS (*Buchwitz et al., 2000*) retrieval algorithms as shown in various publications (e.g., *Buchwitz et al., 2005; Butz et al., 2011; Frankenberg et al., 2011; Schneising et al., 2011; Parker et al., 2011; Scheper et al., 2012; Yoshida et al., 2013*). These products have been validated using Total Carbon Column Observing Network (TCCON) (*Wunch et al., 2010, 2011, 2015*) XCH<sub>4</sub> ground based observations (e.g., *Dils et al., 2014*).

In this document the latest versions of these data products are described.

As an example, Figure 7 shows time series of satellite-derived XCH4. As can be seen, XCH4 is increasing since 2007 by about 7 ppb/year. The reason for this is not entirely clear (several potential reasons are discussed in the scientific literature).

Figure 8 shows maps and time series for two of these products.



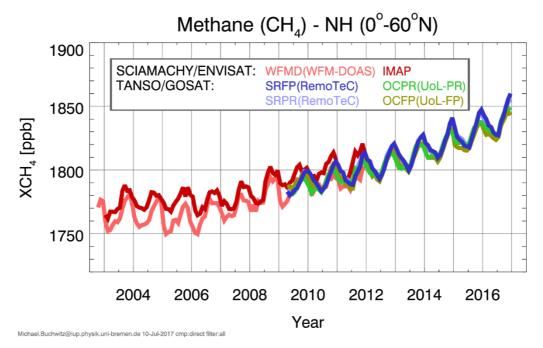
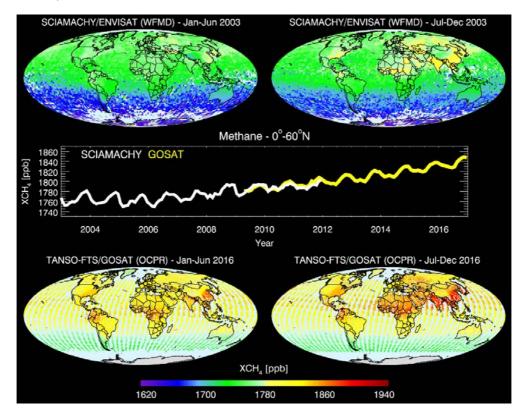


Figure 8 - Maps of XCH<sub>4</sub> product CH4\_SCI\_WFMD (top, 2003) and product CH4\_GOS\_OCPR (bottom, 2016) and northern hemispheric time series (middle).





#### 1.1.5 List of $XCO_2$ and $XCH_4$ data products

Table 2 and Table 3 list the XCO<sub>2</sub> and XCH<sub>4</sub> data products, respectively.

As can be seen from Table 2, for each individual sensor Level 2 XCO<sub>2</sub> product two products have been generated using two different retrieval algorithms (OCFP is University of Leicester's Full Physics (FP) algorithm and SRFP is SRON's retrieval algorithm, also known as RemoTeC).

Products with comment « Existing GHG-CCI product » are the latest versions of Level 2 products, which have been generated in the framework of the GHG-CCI project (<u>http://www.esa-ghg-cci.org/</u>). They are available via the C3S CDS but are also available from the GHG-CCI website (<u>http://www.esa-ghg-cci.org/</u>) including documentation. They are used within project C3S\_312a\_Lot6 to generate the merged Level 2 ("EMMA") and Level 3 ("OBS4MIPS") products.

Product ID	Level	Sensor(s)	(Planned) Availability	Comments
CO2_GOS_OCFP	2	GOSAT	Oct. 2017: 2009-2016	
			Oct. 2018: 2009-2017	
CO2_GOS_SRFP	2	GOSAT	Oct. 2017: 2009-2016	
			Oct. 2018: 2009-2017	
CO2_SCI_BESD	2	SCIAMACHY	Feb. 2017: 2003-2011	Existing GHG-CCI product
CO2_SCI_WFMD	2	SCIAMACHY	Feb. 2017: 2003-2011	Existing GHG-CCI product
XCO2_EMMA	2	Merged	Oct. 2017: 2003-2016	Generated using the
		SCIAMACHY	Oct. 2018: 2003-2017	individual sensor Level 2
		& GOSAT		products listed above but
				also the operational
				GOSAT XCO <sub>2</sub> Level 2
				product generated at NIES
				in Japan made available
				via the GOSAT Data
				Archive Service (GDAS)
XCO2_OBS4MIPS	3	Merged	Oct. 2017: 2003-2016	Generated using Level 2
		SCIAMACHY	Oct. 2018: 2003-2017	product XCO2_EMMA as
		& GOSAT		input

Table 2 - Overview XCO<sub>2</sub> data products.



As can be seen from Table 3, for each individual sensor Level 2 XCH<sub>4</sub> product four products will be generated from GOSAT using four different retrieval algorithms using two « Full Physics » (FP) and two « Proxy » (PR) algorithms. For a discussion of FP versus PR algorithms see also, for example, *Schepers et al., 2012.* Each type of algorithm has different advantages and disadvantages. Typically, the PR products contain more data and therefore somewhat better spatio-temporal coverage (as quality filtering can be less strict) but the PR algorithms rely on a CO<sub>2</sub> model to correct for XCO<sub>2</sub> variations. FP products contain less data points but the advantage of this product is that it is independent of a CO<sub>2</sub> model.

Product ID	Level	Sensor(s)	(Planned) Availability	Comments
CH4_GOS_OCPR	2	GOSAT	Oct. 2017: 2009-2016	
			Oct. 2018: 2009-2017	
CH4_GOS_SRPR	2	GOSAT	Oct. 2017: 2009-2016	
			Oct. 2018: 2009-2017	
CH4_GOS_OCFP	2	GOSAT	Oct. 2017: 2009-2016	
			Oct. 2018: 2009-2017	
CH4_GOS_SRFP	2	GOSAT	Oct. 2017: 2009-2016	
			Oct. 2018: 2009-2017	
CH4_SCI_WFMD	2	SCIAMACHY	Feb. 2017: 2003-2011	Existing GHG-CCI product
CH4_SCI_IMAP	2	SCIAMACHY	Feb. 2017: 2003-2011	Existing GHG-CCI product
XCH4_EMMA	2	Merged	Oct. 2017: 2003-2016	Generated using the
		SCIAMACHY	Oct. 201 : 2003-2017	individual sensor Level 2
		& GOSAT		products listed above but
				also the operational
				GOSAT XCH4 Level 2
				product generated at NIES
				in Japan made available
				via the GOSAT Data
				Archive Service (GDAS)
XCH4_OBS4MIPS	3	Merged	Oct. 2017: 2003-2016	Generated using Level 2
		SCIAMACHY	Oct. 2018: 2003-2017	product XCH4_EMMA as
		& GOSAT		input

Table 3 - Overview XCH<sub>4</sub> data products.



On the following pages maps of these products are shown so that users can see how a product « looks like ».

Figure 9 shows product CO2\_SCI\_BESD for January to June 2003 (top) and July to December 2003 (bottom) gridded at 1°x1°. As can be seen, only data over land are available. Gaps over land are due to clouds and for other reasons (e.g., solar zenith angle to large or due to too high aerosol load).

Figure 10 shows the same maps but for product CO2\_SCI\_WFMD. As can be seen, the data coverage of this product is somewhat better compared to product CO2\_SCI\_BESD (but validation indicates that this product is of somewhat lower quality in terms of random and systematic error).

Figure 11 shows product CO2\_GOS\_OCFP for January to June 2016 (top) and July to December 2016 (bottom) also gridded at 1°x1°. As can be seen – compared to the SCIAMACHY XCO<sub>2</sub> products - also data over ocean are available but the land coverage is sparser due to the GOSAT sampling pattern and its smaller ground pixel size.

Figure 12 shows the same maps but for product CO2\_GOS\_SRFP. As can be seen, this product is similar but not exactly identical compared to product CO2\_GOS\_OCFP.

Figure 13 shows product CH4\_SCI\_WFMD for January to June 2003 (top) and July to December 2003 (bottom) gridded at 1°x1°.

Figure 14 shows the same maps but for product CH4\_SCI\_IMAP. As can be seen, only data over land are available compared to product CH4\_SCI\_WFMD. Note however, that product CH4\_SCI\_WFMD is also only available over land for year 2006 and later years (due to SCIAMACHY detector degradation issues).

Figure 15 shows product CH4\_GOS\_OCPR for January to June 2016 (top) and July to December 2016 (bottom) gridded at 1°x1°.

Figure 16 shows the same maps but for product CH4\_GOS\_SRFP. As can be seen, the products are similar but not exactly identical. For example, product CH4\_GOS\_SRFP contains also data over Antarctica, which is not the case for product CH4\_GOS\_OCPR.

Figure 17 shows the same maps but for product CH4\_GOS\_OCFP. This « full physics » product is sparser compared to the corresponding « proxy » product CH4\_GOS\_OCPR.

Figure 18 shows the same maps but for product CH4\_GOS\_SRFP. This « full physics » product is sparser compared to the corresponding « proxy » product CH4\_GOS\_SRPR.

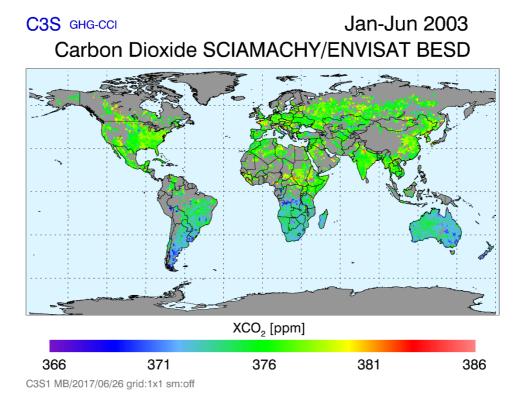
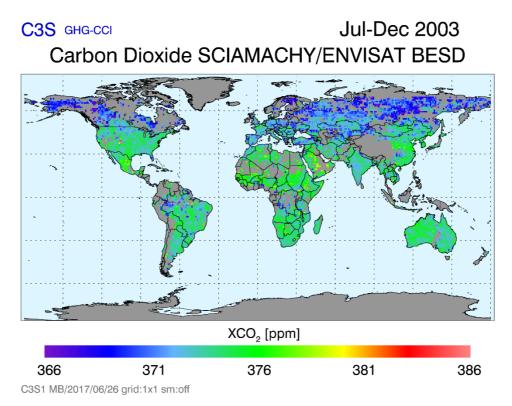


Figure 9 - XCO<sub>2</sub> product CO2\_SCI\_BESD. Top: January to June 2003. Bottom: July – December 2003.



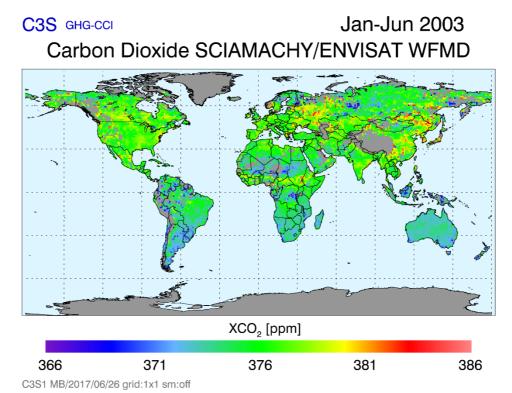


Figure 10 - XCO<sub>2</sub> product CO2\_SCI\_WFMD. Top: January to June 2003. Bottom: July – December 2003.

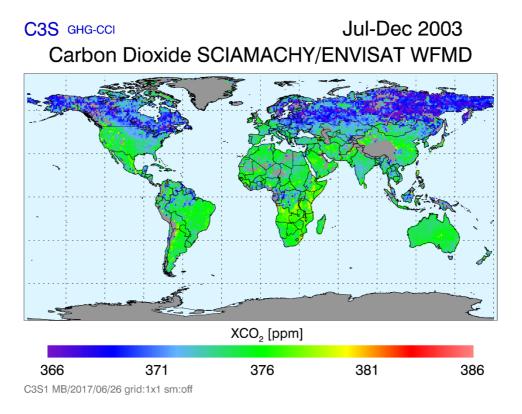
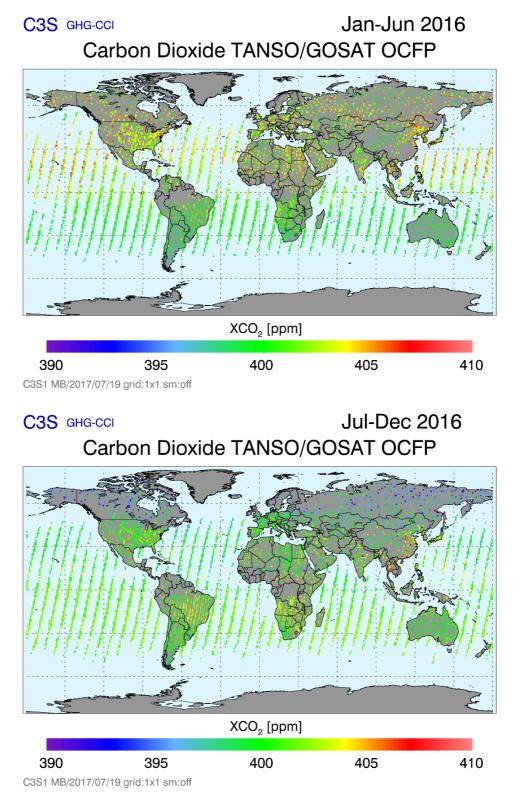


Figure 11 - XCO<sub>2</sub> product CO2\_GOS\_OCFP. Top: January to June 2016. Bottom: July – December 2016.



Jan-Jun 2016 C3S GHG-CCI Carbon Dioxide TANSO/GOSAT SRFP XCO<sub>2</sub> [ppm] 400 405 390 395 410 C3S1 MB/2017/06/26 grid:1x1 sm:off Jul-Dec 2016 C3S GHG-CCI Carbon Dioxide TANSO/GOSAT SRFP XCO<sub>2</sub> [ppm] 400 405 410 390 395

Figure 12 - XCO<sub>2</sub> product CO2\_GOS\_OCPR. Top: January to June 2016. Bottom: July – December 2016.

C3S1 MB/2017/06/26 grid:1x1 sm:off

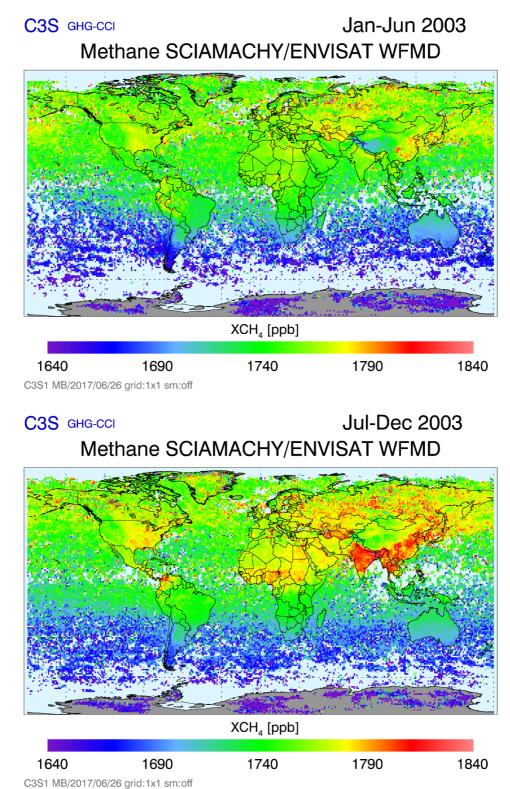


Figure 13 - XCH<sub>4</sub> product CH4\_SCI\_WFMD. Top: January to June 2003. Bottom: July – December 2003.

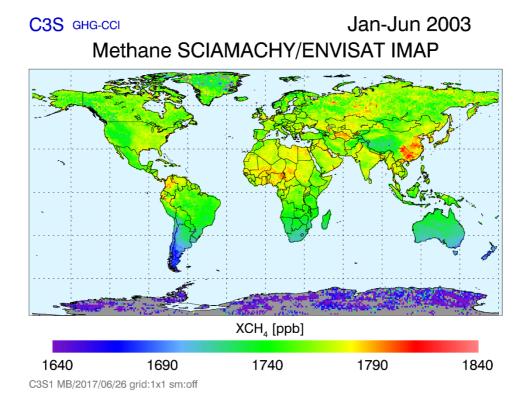
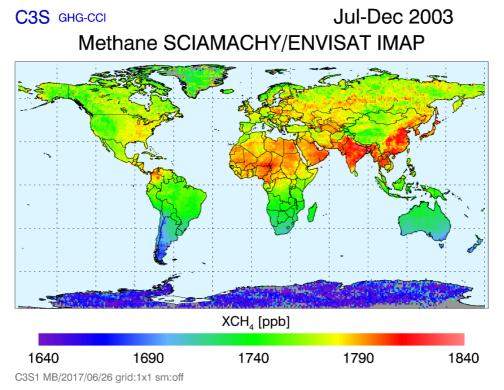


Figure 14 - XCH<sub>4</sub> product CH4\_SCI\_IMAP. Top: January to June 2003. Bottom: July – December 2003.



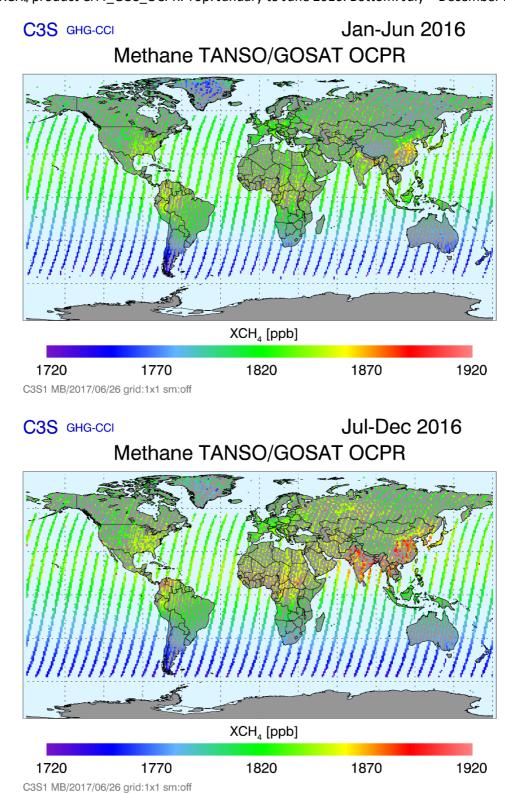


Figure 15 - XCH<sub>4</sub> product CH4\_GOS\_OCPR. Top: January to June 2016. Bottom: July – December 2016.

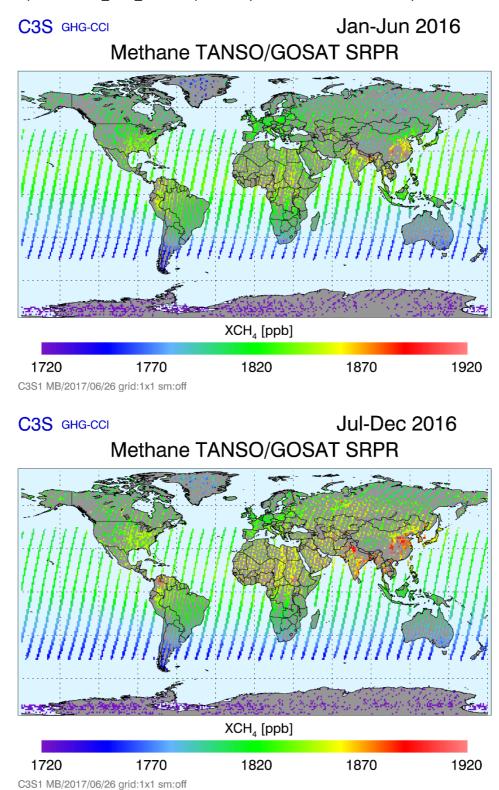


Figure 16 - XCH<sub>4</sub> product CH4\_GOS\_SRPR. Top: January to June 2016. Bottom: July – December 2016.

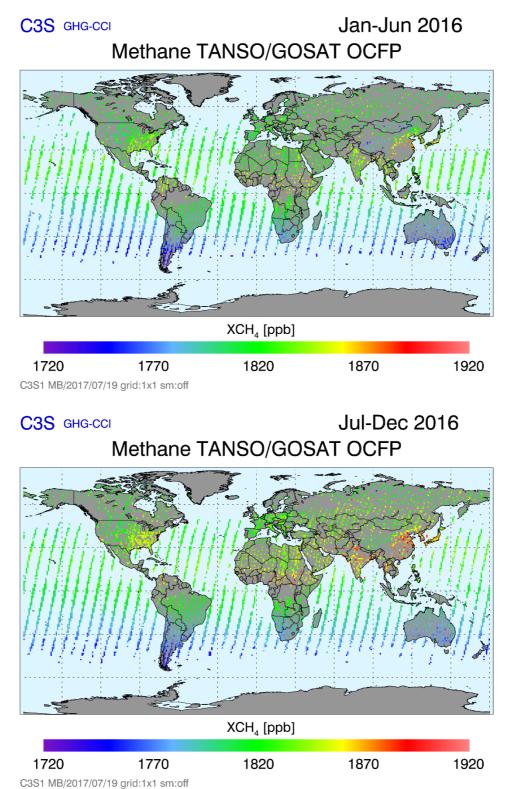


Figure 17 - XCH<sub>4</sub> product CH4\_GOS\_OCFP. Top: January to June 2016. Bottom: July – December 2016.

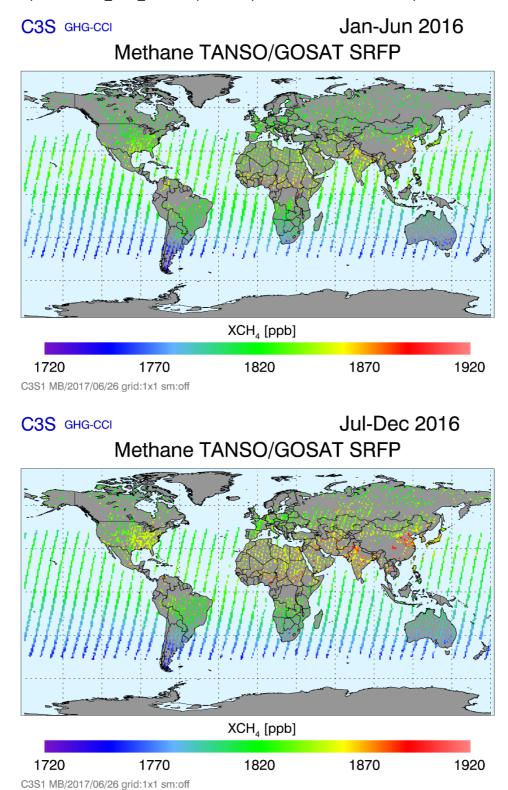


Figure 18 - XCH<sub>4</sub> product CH4\_GOS\_SRFP. Top: January to June 2016. Bottom: July – December 2016.

Latitude-time plots of products XCO2\_EMMA (Figure 19) and XCH4\_EMMA (Figure 20) are shown in the figures below. The discontinuities of the number of observations (« Nobs ») is due to the fact that SCIAMACHY delivers more data than GOSAT. The additinal discontinuity of « Uncertainty » of product XCH4\_EMMA is due to degradation of SCIAMACHY after October 2005.

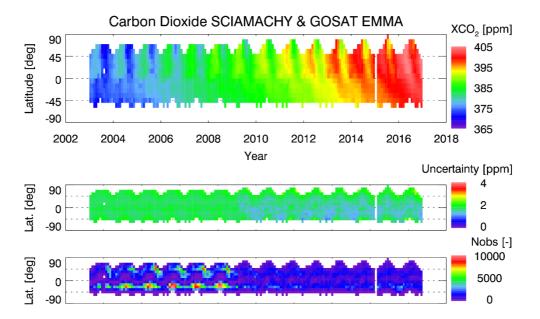
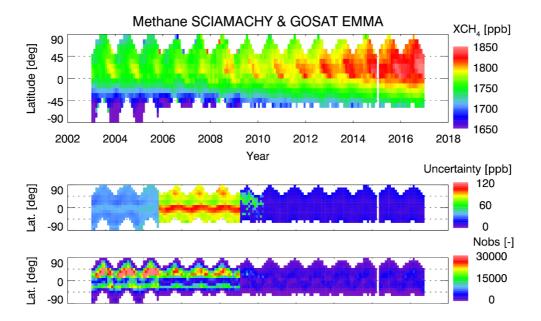


Figure 19 – Latitude – time plot of XCO<sub>2</sub> product XCO2\_EMMA.

Figure 20 – Latitude – time plot of XCH<sub>4</sub> product XCH4\_EMMA.





### 1.2 Mid-tropospheric mixing ratios of CO<sub>2</sub> and CH<sub>4</sub>

### 1.2.1 Overview

Satellite radiance observations in the thermal infrared (TIR) spectral region in nadir (downlooking) observation viewing mode are sensitive to atmospheric  $CO_2$  and  $CH_4$  mixing ratio changes in the mid and upper tropospheric region. They can thus be interpreted in terms of integrated mid-tropospheric columns, with typical sensitivity between 5 and 12 km.

In the following, the 2 hyperspectral infrared sounders AIRS and IASI are shortly described.

### 1.2.2 Instruments

### 1.2.2.1 AIRS

The Atmospheric Infrared Sounder (AIRS) is a polar orbiting nadir-viewing high-resolution infrared sounder operating in a cross-track-scanning mode. It was launched onboard the EOS Aqua satellite in May 2002, with two operational microwave sounders, AMSU and HSB, and is operational since September 2002. It is a high-spectral resolution, grating multispectral infrared sounder with 2378 channels. Its spectral domain ranges from 650 cm<sup>-1</sup> to 2665 cm<sup>-1</sup> (15.4  $\mu$ m and 3.8  $\mu$ m), with a spectral resolving power of 1200 (i.e., a spectral resolution ranging from 0.5 cm<sup>-1</sup> to 2 cm<sup>-1</sup>). This domain is divided into three spectral bands, from 650 to 1135 cm<sup>-1</sup>, from 1215 to 1615 cm<sup>-1</sup> and from 2180 to 2665 cm<sup>-1</sup>. AIRS cross-track scanning is 1650 km and covers 70% of the earth every day. The instantaneous field of view (IFOV) is sampled by 3×3 circular pixels whose ground resolution is 13 km at nadir. Measurements from the three instruments are analyzed jointly to filter out the effects of clouds from the IR data in order to derive clear-column air-temperature profiles and surface temperatures with high vertical resolution and accuracy (1 K per 1 km layer in the troposphere).

### 1.2.2.2 IASI

The Infrared Atmospheric Sounding Interferometer (IASI) is a high resolution Fourier Transform Spectrometer based on a Michelson Interferometer coupled to an integrated imaging system that measures infrared radiation emitted from the Earth. Developed by the Center National d'Etudes Spatiales (CNES) in collaboration with the European Organisation for the Exploitation of Meteorological Satellites (EUMETSAT), IASI was launched in October 2006 onboard the polar orbiting Meteorological Operational Platform (Metop-A), and in September 2012 onboard Metop-B. A third IASI will be launched onboard Metop-C in October 2018. IASI provides 8461 spectral samples, ranging from 645 cm<sup>-1</sup> to 2760 cm<sup>-1</sup> (15.5 µm and 3.6 µm), with a spectral sampling of 0.25 cm<sup>-1</sup>, and a spectral resolution of 0.5 cm<sup>-1</sup> after apodisation ('Level 1c' spectra). IASI is an across track scanning system, whose swath width is of 2200 km, allowing global coverage twice a day. The IFOV is sampled by 2×2 circular pixels whose ground resolution is 12 km at nadir. IASI has demonstrated the possibility to retrieve or detect several chemistry and climate variables from hyperspectral infrared observation: for instance, water vapour (H<sub>2</sub>O), carbon dioxide (CO<sub>2</sub>), carbon monoxide (CO), methane (CH<sub>4</sub>), ozone (O<sub>3</sub>), sulfur dioxide (SO<sub>2</sub>), hydrogen sulfide (H<sub>2</sub>S), ammonia (NH<sub>3</sub>), nitric acid (HNO<sub>3</sub>), volatile organic compounds (VOCs) and aerosols (*Hilton et al., 2012; Clarisse et al., 2011*) on regional and global scales. IASI enables the monitoring of key gases for climate and atmospheric chemistry in near real time and has also highlighted the benefit of high-performance infrared sounders for numerical weather prevision (NWP) applications.

### 1.2.3 CO<sub>2</sub>

Mid-tropospheric columns of CO<sub>2</sub> can be retrieved from hyperspectral infrared sounders such as AIRS and IASI (*Chédin et al., 2003; Crevoisier et al., 2003*) using non-linear inference scheme (Crevoisier et al., 2009a).

Products have been validated using aircraft measurements, mostly from the Comprehensive Observation Network for TRace gases by AIrLiner (CONTRAIL) program (*Machida et al., 2008; Matsueda et al. 2008*).

As an example, Figure 21 shows time series of IASI/Metop-A derived mid-tropospheric  $CO_2$  column as a function of time and latitude. The trend, seasonality and latitudinal gradient of  $CO_2$  are well seen in the figure.

Figure 22 shows the same but for IASI/Metop-B.

Figure 23 and Figure 24 show spatial maps for the IASI/Metop-A and IASI/Metop-B products, respectively, to also illustrate the spatial coverage of the data for a typical month including number of observations an standard deviation.

Figure 21 – Monthly and latitudinal evolution of mid-tropospheric  $CO_2$  (top) as seen by IASI/Metop-A and number of observations per 10 deg latitude band (bottom).

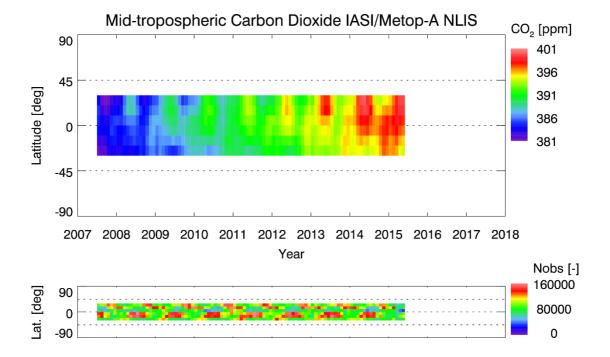


Figure 22 - Monthly and latitudinal evolution of mid-tropospheric  $CO_2$  (top) as seen by IASI/Metop-B and number of observations per 10 deg latitude band (bottom).

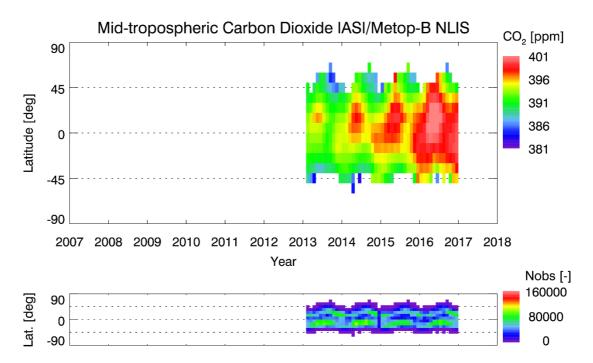


Figure 23 - Map of mid-tropospheric  $CO_2$  from IASI/Metop-A for September 2011 (left). Right: Number of observations per  $10^{\circ}x10^{\circ}$  grid size (top) and standard deviation (bottom).

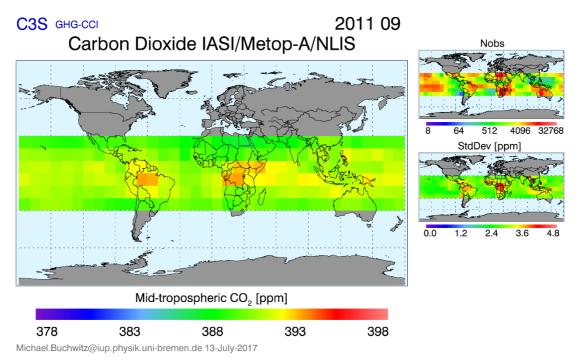
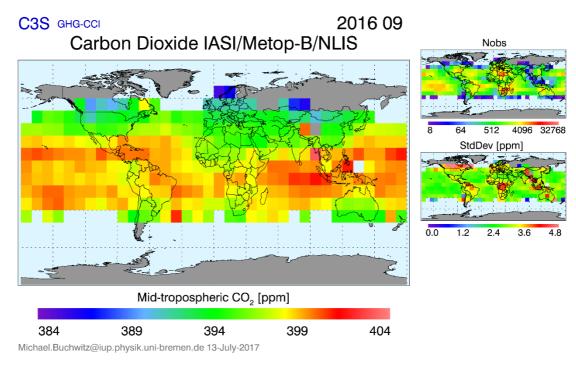


Figure 24 - Map of mid-tropospheric  $CO_2$  from IASI/Metop-B for September 2016 (left). Right: Number of observations per  $10^{\circ}x10^{\circ}$  grid size (top) and standard deviation (bottom).





### $1.2.4 \ CH_4$

Mid-tropospheric columns of CH<sub>4</sub> can be retrieved from the hyperspectral infrared sounder IASI (Crevoisier et al., 2003, 2013) using non-linear inference scheme (*Crevoisier et al., 2009b*).

Products have been validated using aircraft measurements, from the Comprehensive Observation Network for TRace gases by AIrLiner (CONTRAIL) program (*Machida et al., 2008; Matsueda et al. 2008*) and the HIAPER Pole-to-Pole Observations (HIPPO) project (Wofsy et al., 2012), as well as from balloon measurements from AirCores (*Membrive et al., 2016*).

As an example, Figure 25 shows time series of IASI/Metop-A derived mid-tropospheric CO<sub>2</sub> column as a function of time and latitude. The trend, seasonality and latitudinal gradient of CO<sub>2</sub> are well seen in the figure.

Figure 26 shows the same but for IASI/Metop-B.

Figure 27 and Figure 28 show spatial maps for the IASI/Metop-A and IASI/Metop-B products, respectively, to also illustrate the spatial coverage of the data for a typical month including number of observations and standard deviation.

Figure 25 – Monthly and latitudinal evolution of mid-tropospheric  $CH_4$  (top) as seen by IASI/Metop-A and number of observations per 10 deg latitude band (bottom).

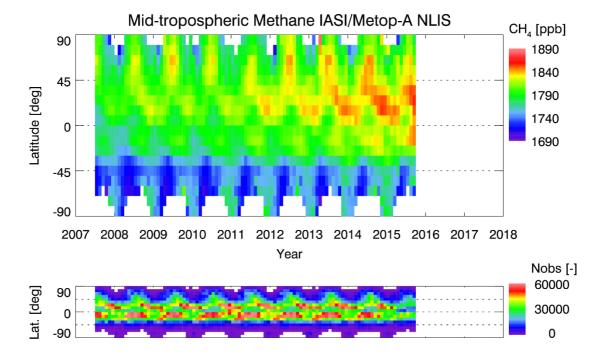


Figure 26 - Monthly and latitudinal evolution of mid-tropospheric CH<sub>4</sub> (top) as seen by IASI/Metop-B and number of observations per 10 deg latitude band (bottom).

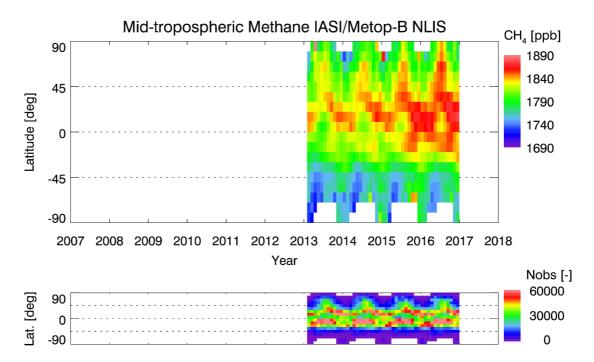


Figure 27 - Map of mid-tropospheric CH<sub>4</sub> from IASI/Metop-A for September 2011 (left). Right: Number of observations per 10°x10° grid size (top) and standard deviation (bottom).

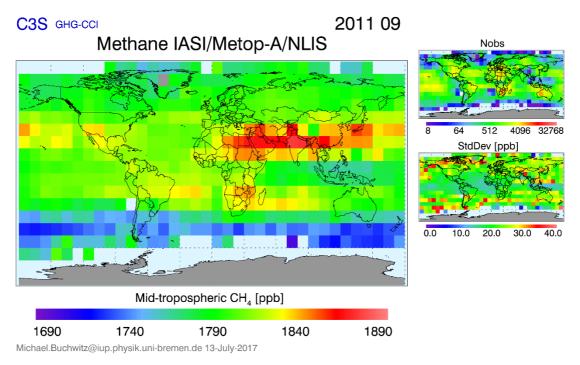
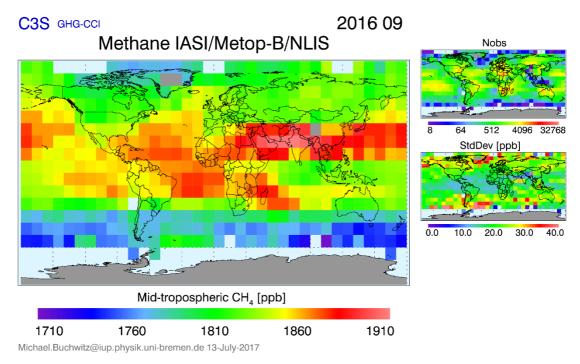


Figure 28 - Map of mid-tropospheric CH<sub>4</sub> from IASI/Metop-B for September 2016 (left). Right: Number of observations per  $10^{\circ}x10^{\circ}$  grid size (top) and standard deviation (bottom).





### 1.2.5 List of mid-tropospheric CO<sub>2</sub> and CH<sub>4</sub> data products

Table 4 lists the CO<sub>2</sub> and CH<sub>4</sub> mid/upper troposphere data products.

The product with comment « Existing GHG-CCI product » is the latest versions of AIRS CO<sub>2</sub> Level 2 products, which has been generated in the framework of the GHG-CCI project (<u>http://www.esa-ghg-cci.org/</u>). This product exists and is available from the GHG-CCI website (<u>http://www.esa-ghg-cci.org/</u> -> CRDP (Data)). It will be delivered to ECMWF essentially « as is » (incl. existing documentation) and made available for the C3S CDS. However, it currently only exists in ASCII format but it will be converted to NetCDF format for C3S as will also be done for the IASI products listed in Table 4.

Product ID	Level	Sensor(s)	(Planned) Availability	Comments
CO2_IASA_NLIS	2	IASI / Metop-A	Oct. 2017: 2007-2015	
			Oct. 2018: 2007-2017	
CH4_IASA_NLIS	2	IASI / Metop-A	Oct. 2017: 2007-2015	
			Oct. 2018: 2007-2017	
CO2_IASB_NLIS	2	IASI / Metop-B	Oct. 2017: 2013-2016	
			Oct. 2018: 2013-2017	
CH4_IASB_NLIS	2	IASI / Metop-B	Oct. 2017: 2013-2016	
			Oct. 2018: 2013-2017	
CO2_AIR_NLIS	2	AIRS	Feb. 2017: 2003-2007	Existing GHG-CCI
				product

Table 4 - Overview mid/upper troposphere CO<sub>2</sub> and CH<sub>4</sub> data products.



## 2. Level 2 XCO<sub>2</sub> and XCH<sub>4</sub> data products

### 2.1 Product description

The format of these data products is described in and compliant with the specification of the corresponding pre-cursor products as given in the GHG-CCI project Product Specification Document (PSD), version 3 (*Buchwitz et al., 2014*):

• Buchwitz, M., et al., ESA Climate Change Initiative (CCI) Product Specification Document (PSD) for the Essential Climate Variable (ECV) Greenhouse Gases (GHG), 6-June-2014, Version 3, 2014. Link: <u>http://www.esa-ghg-cci.org/index.php?q=webfm\_send/160</u>

These products are in NetCDF-4 (classic) format and are in-line with CF (Climate and Forecasting) convention 3. The products are essentially self-explaining in particular due to the metadata contained in each data product.

The file names consist of ESACCI-GHG (to be consistent with the pre-cursor products), processing level (L2), product type (CO<sub>2</sub> or CH<sub>4</sub>), sensor (e.g., SCIAMACHY, GOSAT), algorithm (e.g., BESD or SRFP), date (YYYYMMDD), file version (fv#) and file name extension (.nc), separated by hyphens ("-").

Examples: ESACCI-GHG-L2-CO2-SCIAMACHY-BESD-20021216-fv1.nc ESACCI-GHG-L2-CH4-GOSAT-SRFP-20120909-fv1.nc

Each \*.nc product file corresponds to one day of satellite observations.

In *Buchwitz et al., 2014* the so-called Common Parameters of these products are described. These are those parameters which are relevant for all users. In addition, each product may contain additional (algorithm specific) parameters, which are described in separate Product User Guides (PUGs available from <a href="http://www.esa-ghg-cci.org/">http://www.esa-ghg-cci.org/</a>).

For the C3S products a similar approach is used. In the following the common parameters are described and the additional (algorithm specific) parameters are described in specific ANNEXes (see Sect. 8).

The description given in the following is applicable to the following C3S data products:

- CO2\_GOS\_OCFP
- CO2\_GOS\_SRFP
- CH4\_GOS\_OCFP
- CH4\_GOS\_SRFP
- CH4\_GOS\_OCPR
- CH4\_GOS\_SRPR
- XCO2\_EMMA
- XCH4\_EMMA



The description is also applicable to the following existing GHG-CCI SCIAMACHY data products:

- CO2\_SCI\_BESD
- CO2\_SCI\_WFMD
- CH4\_SCI\_WFMD
- CH4\_SCI\_IMAP

### 2.1.1 Common parameters

In this section the common parameters of the XCO<sub>2</sub> and XCH<sub>4</sub> Level data products are described.

In order to use these products as easily as possible it has been aimed at harmonizing these various products. The goal was to make sure that users can easily switch from one product to another. This has been achieved for all products and parameters with the **exception** of the averaging kernels and related parameters. These parameters are closely related to retrieval algorithm specific characteristics and require special consideration by the users of these products as is explained in detail in Sect. 2.1.2.

Dimensions in Table 5 and Table 6 are defined as follows:

- *n*: number of satellite observations (ground pixels) (per file, i.e., for the given day of observations)
- For Averaging Kernel (AK) and related parameters:
  - As explained in Sect. 2.1.2, the AK and related parameters are provided for "layer-based AKs" and "level-based AKs"
    - For layer-based AK *m* is the number of layers which are defined by *k* = *m+1* pressure levels.
    - For level-based AK only levels are used. Here all parameters have the same number of elements, namely *m* levels. Here the number of pressure levels is also *m* (i.e., *k = m*).

Table 5 and Table 6 present an overview about all common parameters including a short description of each parameter. A detailed description is given afterwards.

Name	Туре	Dimensions	Units	Short Description
	Common	parameters for XCO	2 products:	
xco2	Float	n	micromol per mol, abbreviated ppm, i.e., 10 <sup>-</sup> 6	Retrieved column-averaged dry- air mole fraction of atmospheric carbon dioxide (XCO <sub>2</sub> ) in ppm.
xco2_uncertainty	Float	n	micromol per mol, abbreviated ppm, i.e., 10 <sup>-</sup>	Statistical uncertainty of XCO <sub>2</sub> in ppm (1-sigma).
xco2_averaging_kernel	Float	n x m	[-]	XCO <sub>2</sub> averaging kernel (a profile = vector for each single observation). Quantifies the altitude sensitivity of the XCO <sub>2</sub> retrieval.
co2_profile_apriori	Float	n x m	micromol per mol, abbreviated ppm, i.e., 10 <sup>-</sup> 6	A priori mole fraction profile of atmospheric CO <sub>2</sub> in ppm.
xco2_quality_flag	Byte	n	[-]	Quality flag for XCO <sub>2</sub> retrieval. 0=good.
	Common	parameters for XCH	4 products:	·
xch4	Float	n	nanomol per mol, abbreviated ppb, i.e., 10 <sup>-9</sup>	Retrieved column-averaged dry- air mole fraction of atmospheric methane (XCH <sub>4</sub> ) in ppb.
xch4_uncertainty	Float	n	nanomol per mol, abbreviated ppb, i.e., 10 <sup>-9</sup>	Statistical uncertainty of XCH₄ in ppb (1-sigma)
xch4_averaging_kernel	Float	n x m	[-]	XCH <sub>4</sub> averaging kernel (a profile = vector for each single observation). Quantifies the altitude sensitivity of the XCH <sub>4</sub> retrieval.
ch4_profile_apriori	Float	n x m	nanomol per mol, abbreviated ppb, i.e., 10 <sup>-9</sup>	A priori mole fraction profile of atmospheric CH₄ in ppb.
xch4_quality_flag	Byte	n	[-]	Quality flag for XCH <sub>4</sub> retrieval, 0 = good.
C	•	meters for XCO <sub>2</sub> and	•	ts:
	See	e continuation in Tab	ole 6	

Table 5: Description of Common Parameters of the XCO<sub>2</sub> and XCH<sub>4</sub> Level 2 data products.

		Cr.
Units	Short Description	
 <u> </u>		

Table 6: Continuation of	Table 5.
••	

Name	Туре	Dimensions	Units	Short Description
Common parameters for XCO <sub>2</sub> and XCH <sub>4</sub> products:				
solar_zenith_angle	Float	n	Degrees	Solar zenith angle
sensor_zenith_angle	Float	n	Degrees	Sensor zenith angle
time	Double	n	Seconds	Measurement time
longitude	Float	n	Degrees	Center longitude of the measurement
latitude	Float	n	Degrees	Center latitude of the measurement
pressure_levels	Float	n x k (note: k = m or k = m+1)	hPa	Vertical altitude coordinate in pressure units as used for averaging kernels
pressure_weight	Float	n x m	[-]	Pressure weights as used for averaging kernels

**\_\_.** .

### Description of each parameter:

### хсо2

Main XCO<sub>2</sub> parameter. Retrieved column-average dry-air mole fraction of atmospheric carbon dioxide (XCO<sub>2</sub>) in ppm.

### xco2\_uncertainty

Statistical uncertainty of main XCO<sub>2</sub> parameter: 1-sigma uncertainty of the retrieved XCO<sub>2</sub> in ppm.

### xco2\_averaging\_kernel

 $XCO_2$  averaging kernel (for each observation: vertical profile = vector of dimension m).

Represents the sensitivity of the retrieved XCO<sub>2</sub> to atmospheric carbon dioxide mole fraction perturbations depending on pressure (height).

For details see Sect. 2.1.2.



### co2\_profile\_apriori

A priori mole fraction profile of atmospheric carbon dioxide in ppm needed to apply the XCO<sub>2</sub> averaging kernels.

For details see Sect. 2.1.2.

### xco2\_quality\_flag

Quality flag for  $XCO_2$  retrieval. 0 = good. 1 = bad.

### xch4

Main XCH<sub>4</sub> parameter. Retrieved column-average dry-air mole fraction of atmospheric methane (XCH<sub>4</sub>) in ppb

### xch4\_uncertainty

Statistical uncertainty of main XCH<sub>4</sub> parameter: 1-sigma uncertainty of the retrieved XCH<sub>4</sub> in ppb.

### xch4\_averaging\_kernel

 $XCH_4$  averaging kernel (for each observation: vertical profile = vector of dimension *m*).

Represents the sensitivity of the retrieved XCH<sub>4</sub> to atmospheric methane mole fraction perturbations depending on pressure (height).

For details see Sect. 2.1.2.

### ch4\_profile\_apriori

A priori mole fraction profile of atmospheric methane in ppb needed to apply the XCH<sub>4</sub> averaging kernels.

For details see Sect. 2.1.2.

### xch4\_quality\_flag

Quality flag for  $XCH_4$  retrieval. 0 = good. 1 = bad.



### solar\_zenith\_angle

Solar zenith angle (SZA). Angle between the line of sight to the sun and the local vertical. SZA is a positive number (i.e., larger or equal to 0 deg).

### sensor\_zenith\_angle

Sensor zenith angle is the angle between the line of sight from the observed ground pixel to the sensor and the local vertical. The sensor zenith angle is a positive number (i.e., larger or equal to 0 deg; 0 deg for exact nadir (downlooking) observation).

### time

Measurement time in seconds since 01.01.1970 00:00:00.

### longitude

Center longitude of the measurement. A number in the range -180 deg to +180 deg. 0 deg passes through Greenwich.

### latitude

Center latitude of the measurement. A number in the range -90 deg (south pole) to +90 deg (north pole). 0 deg = equator.

### pressure\_levels

Pressure levels as used for the averaging kernels. Ordered from the bottom of the atmosphere to the top of the atmosphere (i.e., by decreasing pressure).

For details see Sect. 2.1.2.

### pressure\_weight

Layer / level dependent weights needed to apply the averaging kernels.

For details see Sect. 2.1.2.



### 2.1.2 How to use the averaging kernels (AK)?

### 2.1.2.1 Introduction

In order to compare the satellite-retrieved XCO<sub>2</sub> and XCH<sub>4</sub> data products with model simulations and for inverse modelling of surface fluxes (see, e.g., **/Bergamaschi el al., 2007/**) the altitude sensitivity of the satellite retrievals has to be taken into account. Information on the altitude sensitivity is provided by the satellite XCO<sub>2</sub> and XCH<sub>4</sub> averaging kernels and corresponding CO<sub>2</sub> and CH<sub>4</sub> *a priori* vertical profiles.

Also for validation purposes the averaging kernels have to be considered, see, e.g., **/Wunch et al., 2010, 2011/**, **/Dils et al., 2013/** and TCCON website (in particular <u>https://tccon-wiki.caltech.edu/Network Policy/Data Use Policy/Auxiliary Data</u>).

All common variables described in the previous section (e.g., xco2, xco2\_uncertainty, time, longitude, etc.) can be used identically for all GHG-CCI ECA products with the **exception** of the averaging kernels and related parameters, as these parameters are closely related to the retrieval algorithm used.

In this section it is explained how the averaging kernels and related parameters can be used.

How these parameters have been defined depends on the retrieval algorithm used to generate a certain product and it was not possible to fully harmonize their use, i.e., their use depends on the product.

The purpose of this section is to explain how to use the averaging kernels and their related parameters and for which data product which method is recommended.

There are two different averaging kernel (AK) categories:

Depending on product, the AKs are

• "layer-based" (IUP, Univ. Bremen, and SRON products)

or

"level-based" (Univ. Leicester products).

In the following sub-sections more information on this is given including the information for which product which category is valid.



Note that user can also determine "automatically" or via inspection of the product files which category a given product belongs to:

- For "layer-based" products the vertical dimension of parameter **pressure\_levels** is *m*+1, i.e., there is one entry more than for parameter **pressure\_weight** (or any of the other parameters with a vertical dimension), which has *m* vertical entries, i.e., one entry less than parameter **pressure\_levels**.
- For "level-based" products all parameters have *m* entries.

In the following sub-sections the relevant parameters are listed and shortly explained followed by detailed explanations of how these parameters can be used for the layer-based AK products and for the level-based AK products.

### Important note:

The AK related parameters and how they can be used as described in this document is most interesting for users who want to use different products and prefer to easily switch from one product to another. The main purpose of the common parameters and methods described in this document is to provide the users with the parameters and formulas to do this. However, all products also contain additional parameters, not described in this document, but in the PUGS of the individual products (please see also the Algorithm Theoretical Basis Documents (ATBDs) of the individual algorithms used to generate the individual products). Using these additional parameters (and corresponding formulas) users may be able to obtain somewhat more accurate results (although the differences are expected to be very small).



### 2.1.2.2 Averaging kernel related parameters

For each single observation (ground pixel) several averaging kernel related parameters are contained in the satellite product files. These parameters are listed in Table 7.

For additional information and how to use these parameters please see the following two subsections.

Table 7: Overview of averaging kernel (AK) and related parameters. (\*) The ground pixel dimension (n, see previous sections) is not listed below. Here each array is 1-dimensional (a vector of dimension k or m). Each element corresponds to one atmospheric level or layer as explained in the following sections.

Parameter Name	Mathematical symbol	Dimension (*)	Unit	Explanation
pressure_levels	q	k	[hPa]	Pressure levels; note: k = m + 1 (for layer-based approach) or k = m (for level-based approach)
pressure_weight	pw	m	[-]	Pressure weights for all layers / levels
xco2_averaging_kernel	AK	т	[-]	XCO <sub>2</sub> averaging kernel
co2_profile_apriori	VMR	т	µmol/mol, abbreviated ppm (10 <sup>-6</sup> )	CO <sub>2</sub> a priori profile
xch4_averaging_kernel	AK	т	[-]	XCH₄ averaging kernel
ch4_profile_apriori	VMR	m	nanomol/mol, abbreviated ppb (10 <sup>-9</sup> )	CH₄ <i>a priori</i> profile



### 2.1.2.3 How to use layer-based AKs?

In this section it is described how the common parameters related to averaging kernels (AKs) can be used to apply the satellite's AKs to model profiles in order to take the altitude sensitivity of the satellite's XCO<sub>2</sub> and XCH<sub>4</sub> retrievals into account.

As explained, each product may (or may not) contain additional parameters and corresponding formulas, not described in this document (but in the corresponding PUG), which can be used to obtain somewhat more accurate results for a specific product (although the differences compared to the method described in this section are expected to be small).

For the layer-based approach the AKs and corresponding *a priori*  $CO_2$  and  $CH_4$  profiles are defined for layers and they correspond to layer averages. There are *m* layers, which are defined by *k* = *m*+1 pressure levels.

The "AK layer-based approach", which is explained in this sub-section, needs to be applied for the following products (all IUP, Univ. Bremen, and SRON products):

- CO2\_SCI\_BESD
- CO2\_GOS\_SRFP
- XCO2\_EMMA
- CH4\_SCI\_WFMD
- CH4\_SCI\_IMAP
- CH4\_GOS\_SRFP
- CH4\_GOS\_SRPR
- XCH4\_EMMA

As already described above:

Note that user can also determine "automatically" or via inspection of the product files which category a given product belongs to:

- For "layer-based" products the vertical dimension of parameter **pressure\_levels** is *m*+1, i.e., there is one entry more than for parameter **pressure\_weight** (or any of the other parameters with a vertical dimension), which has *m* vertical entries, i.e., one entry less than parameter **pressure\_levels**.
- For "level-based" products all parameters have *m* entries.



The layer-based approach is also described and used in **/Bergamaschi et al., 2007/**. Here a slightly modified version of their Eq. 2 is shown (here  $GHG = CO_2$  or  $CH_4$ ):

$$XGHG^{mod} = \sum_{i=1}^{m} \left[ VMR_i^{apri} + AK_i (VMR_i^{mod} - VMR_i^{apri}) \right] pw_i$$
 Eq. (1)

- Here *XGHG<sup>mod</sup>* is the desired modelled XCO<sub>2</sub> or XCH<sub>4</sub> value, which corresponds to the satellite XCO<sub>2</sub> or XCH<sub>4</sub> retrievals.
- The sum is over the *m* atmospheric layers (located between pressure levels *p<sub>i</sub>* and *p<sub>i+1</sub>* with *i* = 1...*m*). Here pressure is the "normal" or "total" or "wet" pressure (not the "dry pressure", see below). Here *i* = 1 corresponds to the bottom of the atmosphere and *i* = *k* = *m*+1 corresponds to the top of the atmosphere.
- *pw<sub>i</sub>* is a layer-dependent weight (depending on algorithm/product this corresponds to Δ*p<sub>i</sub>/p<sub>surf</sub>* of /Bergamaschi et al., 2007/ times a conversion factor for the conversion of wet to dry pressure).
- $VMR_i^{apri}$  is the satellite *a priori* layer-averaged CO<sub>2</sub> or CH<sub>4</sub> volume mixing ratio (VMR) or, more precisely, Dry Mole Fraction (DMF), between pressure levels  $p_i$  and  $p_{i+1}$  (note:  $p_i > p_{i+1}$ ).
- $VMR_i^{mod}$  is the corresponding value of the model (CO<sub>2</sub> of CH<sub>4</sub>) VMR (DMF) between pressure levels  $p_i$  and  $p_{i+1}$ .
- $AK_i$  is the satellite XCO<sub>2</sub> or XCH<sub>4</sub> averaging kernel for layer *i*.

Note that in this equation all parameters are coming from the satellite product with the exception of  $VMR_i^{mod}$ .

Note that the described approach permits to use all satellite data as they are without the need to manipulate them, e.g., by interpolation. Only the model quantity  $VMR_i^{mod}$  needs to be computed.

For illustration and a short overview please see Figure 29.

For a modeler the receipt to compute  $XGHG^{mod}$  is the following:

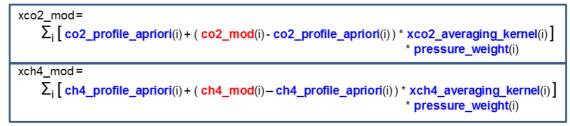
- For each satellite observation:
  - o Interpolate the model profiles to the location and time of the satellite observation.
  - Compute for each satellite layer *i*, as defined by pressure levels  $p_i$  and  $p_{i+1}$ :
    - The layer-averaged model (CO<sub>2</sub> or CH<sub>4</sub>) VMR (DMF), i.e., VMR<sup>mod</sup>
  - Apply the formula given above to compute the desired quantity *XGHG*<sup>mod</sup> (see also Figure 29 and Figure 30).

Figure 29 and Figure 30 explain how the parameters as provided via the satellite product files (Table 7) have to be used in order to apply Eq. (1).

Figure 29 - Overview how to compute  $XCO_2$  or  $XCH_4$  (= XGHG) using the "layer-based" AK method. Additional explanations are given in Figure 30.

# How to use "layer-based" Averaging Kernels (AKs):

Parameters provided via the satellite product files are shown in blue. Modelers have to <u>compute the layer-averaged model VMRs (= gas Dry Mole Fractions (DMF)) co2\_mod</u> or ch4\_mod for all <u>layers</u> and use these formulas:



Here the underlying mathematical formula (XGHG =  $XCO_2$  or  $XCH_4$ ):

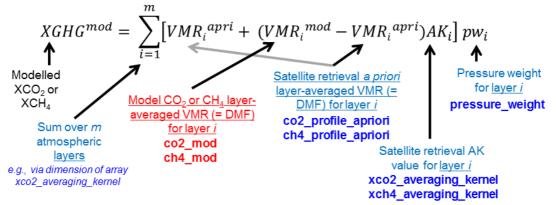


Figure 30 - Additional explanations related to the parameters needed to use the "layer-based AK approach".

#### Parameters for layer-based AKs: Level Level Layer Layer Layer AK, index index VMR<sup>apri,</sup> pw pressure $\Delta p$ Note: Vertical dimension of array p is vertical dimension of other arrays +1 for layer-based products Top of $i = k = m + 1 p_{m+1}$ atmosphere $\Delta p_m = p_m - p_{m+1}$ i = mAK<sub>m</sub> VMR<sub>m</sub> pw<sub>m</sub> i = m $p_m$ . . . . . $p_4$ i = 4 $AK_3 VMR_3 pw_3$ $\Delta p_3 = p_3 - p_4$ i = 3 $p_3$ i = 3 $\Delta p_2 = p_2 \cdot p_3$ $AK_2 VMR_2 pw_2$ i=2 $p_2$ i=2 $AK_1 VMR_1 pw_1$ i = 1 $\Delta p_1 = p_1 - p_2$ Bottom of $p_1 = p_{surf}$ *i*=1 atmosphere (Surface) **GHG-CCI** Level 2 products AK<sub>1</sub> contain these arrays for i=1...m VMR<sub>I</sub> each ground pixel pw<sub>l</sub> i = 1...m *i* = 1...*m* i = 1...m + 1xco2\_averaging\_kernel co2\_profile\_apriori pressure\_weight pressure\_levels xch4\_averaging\_kernel ch4\_profile\_apriori

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### 2.1.2.4 How to use level-based AKs ?

For the level-based approach the AKs and corresponding a priori VMR (= DMF) profiles are defined on levels (not on layers).

The same parameters (variable names etc.) as provided via the satellite products files are used as for the layer-based approach described in the previous section but with a slightly different implementation to apply these parameters to compute the modelled XCO<sub>2</sub> or XCH<sub>4</sub>.

For the level-based approach all AK related arrays are given for *m* levels.

The "AK level-based approach", which is explained in this sub-section, needs to be applied for the following GHG-CCI ECA products (all UoL products, i.e., all "OC" products):

- CO2\_GOS\_OCFP
- CH4\_GOS\_OCPR
- CH4\_GOS\_OCFP

As already described above:

Note that user can also determine "automatically" or via inspection of the product files which category a given product belongs to:

- For "layer-based" products the vertical dimension of parameter **pressure\_levels** is *m*+1, i.e., there is one entry more than for parameter **pressure\_weight** (or any of the other parameters with a vertical dimension), which has *m* vertical entries, i.e., one entry less than parameter **pressure\_levels**.
- For "level-based" products all parameters have *m* entries.

For model comparisons and inverse modelling the following method is recommended in order to compute the modelled XCO<sub>2</sub> or XCH<sub>4</sub>.

The equation to apply the level-based averaging kernels to the model data is the same as for the layer-based approach (**Eq. 1**) but with the variables now all on levels, rather than layers. The key point is that the model data (co2\_mod or ch4\_mod in Figure 31) must be interpolated onto the retrieval pressure levels ( $p_i$ ). This interpolation should be done with care so as to conserve the total column amounts of *XGHG*.

For illustration and a short overview please see Figure 31.



For a modeller, the recipe to compute *XGHG*<sup>mod</sup> is the following:

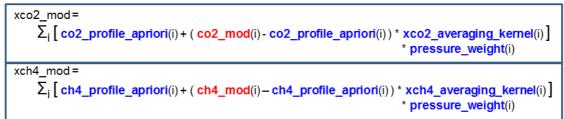
- For each satellite observation:
  - o Interpolate the model profiles to the location and time of the satellite observation.
  - Compute for model data at each satellite retrieval pressure level *i* the model VMR, i.e., *VMR<sub>i</sub><sup>mod</sup>*
  - Apply the formula given above (**Eq. (1)**) to compute the desired quantity *XGHG<sup>mod</sup>* (see also Figure 31and Figure 32).

Figure 31 and Figure 32 explain how the parameters as provided via the satellite product files (Table 7) have to be used in order to apply **Eq. (1)**.

Figure 31 - Overview how to compute  $XCO_2$  or  $XCH_4$  (= XGHG) using the "level-based" AK method. Additional explanations are given in Figure 32.

# How to use "level-based" Averaging Kernels (AKs):

**Parameters provided via the satellite product files are shown in blue**. Modelers have to <u>interpolate model-level VMRs (</u>= gas Dry Mole Fractions (DMF)) **co2\_mod** or **ch4\_mod** for all <u>levels</u> and use these formulas:



Here the underlying mathematical formula (XGHG =  $XCO_2$  or  $XCH_4$ ):

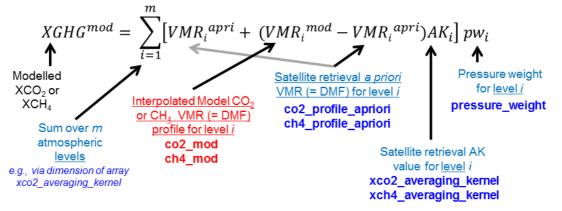
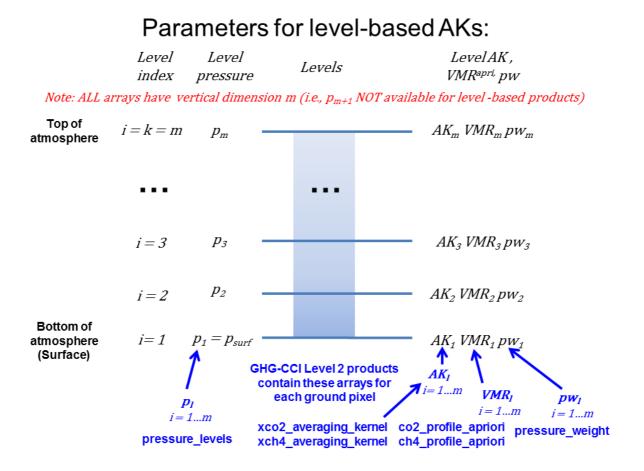


Figure 32 - Additional explanations related to the parameters needed to use the "level-based AK approach".





### 2.1.3 Algorithm specific parameters

Each product may contain additional parameters, see the product specific ANNEXes listed in Sect. 8.

### **2.2 Target requirements**

The target requirements for these products are described in the Target Requirement Document (TRD) (*TRD GHG, 2017*).

### 2.3 Data usage information

The use of the data products is not trivial and typically the interpretation of these products requires appropriate modelling. The main reason for this is the long lifetime of  $CO_2$  and  $CH_4$  in the atmosphere combined with atmospheric transport (and for  $CH_4$  also atmospheric chemistry needs to be considered). As a consequence of this atmospheric concentrations may be locally or regionally higher (or lower) compared to background concentration far away from the source (or sink) region. A further complication arises due to the sparseness of the data due to the spatial coverage of the satellite data, because measurements can only be made on parts of the dayside (the solar zenith angle must be smaller than about 75°) but also because of cloud contamination and other reasons.

The described data products can be used in combination with appropriate modelling to obtain information on the various natural and anthropogenic surface and sinks of CO<sub>2</sub> and CH<sub>4</sub> as shown in a number of scientific publications such as *Alexe et al., 2015; Bergamaschi et al., 2009, 2013; Detmers et al., 2015; Guerlet et al., 2013; Houweling et al., 2004, 2015; Pandey et al., 2016; Reuter et al., 2014a, 2014b, 2017; Ross et al., 2013; Schneising et al., 2014a, 2014b; Turner et al., 2015, 2016.* 

They can also be used for comparisons with models (e.g., carbon models or global chemistry-climate models) as also shown in a number of publications such as *Buchwitz et al., 2005, 2013; Cogan et al., 2011; Hayman et al., 2014; Parker et al., 2011; Shindell et al., 2013*.

They can also be used to study atmospheric trends and variability as shown in *Buchwitz et al., 2007; Frankenberg et al., 2011; Schneising et al., 2011.* 



# 3. Level 3 XCO<sub>2</sub> and XCH<sub>4</sub> data products

### **3.1 Product description**

These data products are in Obs3MIPs format, which is described on the Obs4MIPs website: <a href="https://www.earthsystemcog.org/projects/obs4mips/">https://www.earthsystemcog.org/projects/obs4mips/</a>.

Obs4MIPs (Observations for Model Intercomparisons Project) is an activity to make observational products more accessible especially for climate model intercomparisons.

The  $XCO_2$  and  $XCH_4$  data products in Obs4MIPs format are gridded data products with a spatial resolution of  $5^{\circ}x5^{\circ}$  and monthly time resolution.

These products have been generated using as input the Level 2 EMMA products described in Sect. 2.

Figure 33 to Figure 36 show how these products "looks like".

In the following sub-sections the Obs4MIPs product format is described.

Figure 33 –OBS4MIPS XCO<sub>2</sub> time series for three latitude bands.

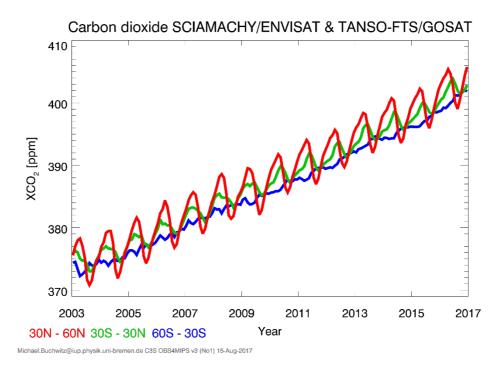
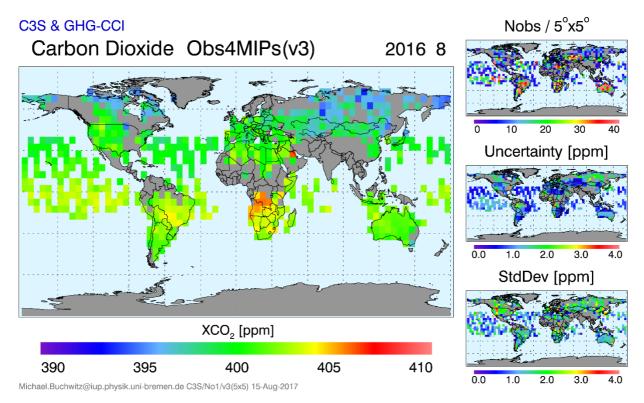


Figure 34 – OBS4MIPS maps for August 2016. Left: XCO<sub>2</sub>. Right: Number of observations (top), reported uncertainty (middle) and standard deviation of the individual observations contributing to each 5°x5° grid cell (bottom).



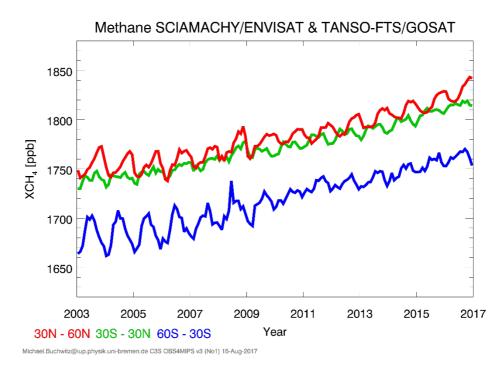
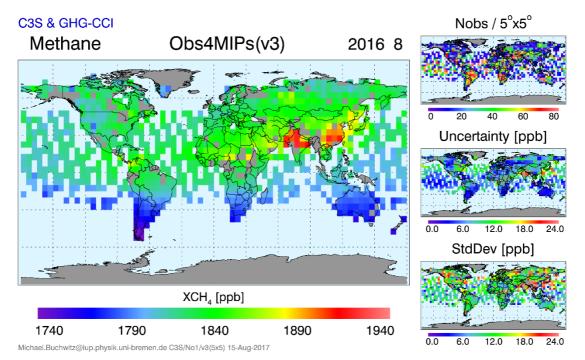


Figure 35 –OBS4MIPS XCH<sub>4</sub> time series for three latitude bands.

Figure 36 – OBS4MIPS maps for August 2016. Left: XCH<sub>4</sub>. Right: Number of observations (top), reported uncertainty (middle) and standard deviation of the individual observations contributing to each 5°x5° grid cell (bottom).





### 3.1.1 Obs4MIPS XCO<sub>2</sub> product format

The initial version of this product has been generated based on the GHG-CCI CRDP3 data set as described in this document: <u>http://www.esa-ghg-cci.org/?q=webfm\_send/330</u>

The product described in this document has the same format but is updated in terms of input Level 2 products and extension in time, i.e., it covers a longer time period.

The entire product is contained in a single file using this file name convention:  $xco2_c3s_l3_v30_200301_201612.nc$ 

The main quantity / data field is the column-average dry-air mole fraction of atmospheric carbon dioxide (CO<sub>2</sub>), denoted XCO<sub>2</sub>, as retrieved from the two satellite instruments SCIAMACHY/ENVISAT (*Burrows et al., 1995; Bovensmann et al., 1999*) and TANSO-FTS/GOSAT (*Kuze et al., 2009*). XCO<sub>2</sub> is a dimensionless quantity (unit: mol/mol) defined as the vertical column of CO<sub>2</sub> divided by the vertical column of dry air (= all air molecules except water vapor) (see, e.g., *Buchwitz et al., 2005*, for details). For example, if XCO<sub>2</sub> is 0.0004 (i.e., 400 ppm, parts per million) at a given location this means that there are 400 CO<sub>2</sub> molecules above that location per 1 million air molecules (excluding water vapor molecules).

Table 8 lists the main characteristics of this data product.

CF variable name, units	Long name: column-average dry-air mole fraction of atmospheric carbon dioxide Standard name: dry_atmosphere_mole_fraction_of_carbon_dioxide Units: dimensionless (mol/mol) See also: CF Standard Name Table, Version 31, 08 March 2016 (http://cfconventions.org/Data/cf-standard-names/31/build/cf-standard-name- table.html)		
Spatial resolution	5° equal angle		
Temporal resolution	Monthly average, from January 2003–December 2016		
Coverage	Global (2003 – mid 2009: land only)		

Table 8: Main characteristics of the XCO<sub>2</sub> Obs4MIPs product.

Note that a resolution of  $5^{\circ}x5^{\circ}$  has been selected (instead of, e.g.,  $1^{\circ}x1^{\circ}$ ) to ensure better noise suppression (note that the underlying individual satellite retrievals are noisy and sparse due to very strict quality filtering).



The main variables as contained in the XCO<sub>2</sub> Obs4MIPs product file are:

### xco2:

Satellite retrieved column-average dry-air mole fraction of atmospheric carbon dioxide (Note: typical values are << 1.0 (typically close to 0.0004) and 1.0E20 = no data)

### xco2\_nobs:

Number of individual  $XCO_2$  Level 2 observation (per 5°x5° grid cell) used to compute the reported Level 3  $XCO_2$  monthly average value (0 = no data)

### xco2\_stderr:

Reported uncertainty defined as standard error of the average including single sounding noise and potential seasonal and regional biases

*xco2\_stddev:* Average standard deviation of the underlying XCO<sub>2</sub> Level 2 observations

*time:* Time in days since 1-Jan-1990

*lat:* Center latitude in degrees north (-90.0 to +90.0)

lon:

Center longitude in degrees east (-180.0 to +180.0)



### 3.1.2 Obs4MIPS XCH<sub>4</sub> product format

The initial version of this product has been generated based on the GHG-CCI CRDP3 data set as described in this document: <u>http://www.esa-ghg-cci.org/?q=webfm\_send/331</u>

The product described in this document has the same format but is updated in terms of input Level 2 products and extension in time, i.e., it covers a longer time period.

The entire product is contained in a single file using this file name convention: *xch4\_c3s\_l3\_v30\_200301\_201612.nc* 

The main quantity / data field is the column-average dry-air mole fraction of atmospheric methane (CH<sub>4</sub>), denoted XCH<sub>4</sub>, as retrieved from the two satellite instruments SCIAMACHY/ENVISAT (*Burrows et al., 1995; Bovensmann et al., 1999*) and TANSO-FTS/GOSAT (*Kuze et al., 2009*). XCH<sub>4</sub> is a dimensionless quantity (unit: mol/mol) defined as the vertical column of CH<sub>4</sub> divided by the vertical column of dry air (= all air molecules except water vapor) (see, e.g., *Buchwitz et al., 2005*, for details). For example, if XCH<sub>4</sub> is 0.0000018 (i.e., 1800 ppb, parts per billion) at a given location this means that there are 1800 CH<sub>4</sub> molecules above that location per 1 billion air molecules (excluding water vapor molecules).

Table 9 lists the main characteristics of this data product.

CF variable name, units	Long name: column-average dry-air mole fraction of atmospheric methane Standard name: dry_atmosphere_mole_fraction_of_methane Units: dimensionless (mol/mol) See also: CF Standard Name Table, Version 31, 08 March 2016 (http://cfconventions.org/Data/cf-standard-names/31/build/cf-standard-name- table.html)
Spatial resolution	5° equal angle
Temporal resolution	Monthly average, from January 2003–December 2016
Coverage	Global (November 2005 – March 2009: land only)

Table 9: Main characteristics of the XCH<sub>4</sub> Obs4MIPs product.

Note that a resolution of 5°x5° has been selected (instead of, e.g., 1°x1°) to ensure better noise suppression (note that the underlying individual satellite retrievals are noisy and sparse due to very strict quality filtering).



The main variables as contained in the XCH<sub>4</sub> Obs4MIPs product file are:

### xch4:

Satellite retrieved column-average dry-air mole fraction of atmospheric methane (Note: typical values are << 1.0 (typically close to 0.0000018) and 1.0E20 = no data)

### xch4\_nobs:

Number of individual XCH<sub>4</sub> Level 2 observation (per  $5^{\circ}x5^{\circ}$  grid cell) used to compute the reported Level 3 XCH<sub>4</sub> monthly average value (0 = no data)

### xch4\_stderr:

Reported uncertainty defined as standard error of the average including single sounding noise and potential seasonal and regional biases

*xch4\_stddev:* Average standard deviation of the underlying XCH<sub>4</sub> Level 2 observations

*time:* Time in days since 1-Jan-1990

*lat:* Center latitude in degrees north (-90.0 to +90.0)

lon:

Center longitude in degrees east (-180.0 to +180.0)

### **3.2 Target requirements**

Target requirements for satellite-derived XCO<sub>2</sub> and XCH<sub>4</sub> products are described in the Target Requirement Document (TRD) (*TRD GHG, 2017*). Although these requirements have been formulated for Level 2 products most of them are also valid for Level 3 products.

The Obs4MIPs products have been primarily generated for comparison with climate models, see, for example *Lauer et al.*, 2017.

### **3.3** Data usage information

The Obs4MIPs products have been primarily generated for comparison with climate models, see, for example *Lauer et al., 2017*.



# 4. Level 2 mid-tropospheric CO<sub>2</sub> and CH<sub>4</sub> data products

### 4.1 Product description

These products contain the IASI mid-tropospheric CO<sub>2</sub> and CH<sub>4</sub> mixing ratios and the AIRS mid-tropospheric CO<sub>2</sub> mixing ratio, i.e., the description given in this section is valid for these products:

- CO2\_IASA\_NLIS (product from IASI on Metop-A)
- CO2\_IASB\_NLIS (product from IASI on Metop-B)
- CH4\_IASA\_NLIS (product from IASI on Metop-A)
- CH4\_IASB\_NLIS (product from IASI on Metop-B)
- CO2\_AIRS\_NLIS (product from AIRS)

The format of these products is essentially identical as the Level 2 XCO<sub>2</sub> and XCH<sub>4</sub> data product format described in Sect. 2.

They only exceptions are:

- xco2 needs to be replaced by co2 (e.g., co2\_quality\_flag instead of xco2\_quality\_flag)
- xch4 needs to be replaced by ch4 (e.g., ch4\_quality\_flag instead of xch4\_quality\_flag)
- All other variable names are the same but note that some contain -999.0 for "no valid data" (e.g., some angles and uncertainty).

For additional details see the corresponding PUGS (see Sect. 8.5 for ANNEX E).

### **4.2 Target requirements**

The target requirements for these products are described in the Target Requirement Document (TRD) (*TRD GHG, 2017*).

### 4.3 Data usage information

The data products can be used to study atmospheric trends and variability, for comparison with models and to obtain information on sources and sinks as shown in a number of publications such as *Chevallier et al., 2005, 2009a; Crevoisier et al., 2004, 2009, 2009b, 2013; Cressot et al., 2014.* 



### **5. PUGS for existing GHG-CCI products**

In this section a short overview about existing products is given. These products, which are not regenerated within C3S but made available for C3S and (for the XCO<sub>2</sub> and XCH<sub>4</sub> products) are used as input to generate the merged Level 2 EMMA and Level 3 OBS4MIPS C3S products.

### 5.1 CO2\_SCI\_BESD product

Product: XCO<sub>2</sub> Level: 2 Sensor: SCIAMACHY/ENVISAT

Reference:

 Reuter, M., H. Bovensmann, M. Buchwitz, J. P. Burrows, B. J. Connor, N. M. Deutscher, D. W. T. Griffith, J. Heymann, G. Keppel-Aleks, J. Messerschmidt, J. Notholt, C. Petri, J. Robinson, O. Schneising, V. Sherlock, V. Velazco, T. Warneke, P. O. Wennberg, and D. Wunch: "Retrieval of atmospheric CO<sub>2</sub> with enhanced accuracy and precision from SCIAMACHY: Validation with FTS measurements and comparison with model results" J. Geophys. Res., doi: 10.1029/2010JD015047, 2011.

The product is compliant with the GHG-CCI Product Specification Document for  $XCO_2$  and  $XCH_4$  Level 2 data products:

• Buchwitz, M., et al., ESA Climate Change Initiative (CCI) Product Specification Document (PSD) for the Essential Climate Variable (ECV) Greenhouse Gases (GHG), 6-June-2014, Version 3, 2014. Link: <u>http://www.esa-ghg-cci.org/index.php?q=webfm\_send/160</u>

Product User Guide:

 Reuter, M., et al., ESA Climate Change Initiative (CCI) Product User Guide Version 4 (PUGv4) for the XCO<sub>2</sub> SCIAMACHY Data Product BESD for the Essential Climate Variable (ECV) Greenhouse Gases (GHG), 31-Aug-2016, 2016. Link: <u>http://www.esa-ghgcci.org/webfm\_send/339</u>



### 5.2 CO2\_SCI\_WFMD and CH4\_SCI\_WFMD products

Products: XCO<sub>2</sub> and XCH<sub>4</sub> Level: 2 Sensor: SCIAMACHY/ENVISAT

Reference:

 Schneising, O., Buchwitz, M., Reuter, M., Heymann, J., Bovensmann, H., and Burrows, J. P.: Long-term analysis of carbon dioxide and methane column-averaged mole fractions retrieved from SCIAMACHY, Atmos. Chem. Phys., 11, 2863-2880, doi:10.5194/acp-11-2863-2011, 2011.

The products are compliant with the GHG-CCI Product Specification Document for XCO<sub>2</sub> and XCH<sub>4</sub> Level 2 data products:

 Buchwitz, M., et al., ESA Climate Change Initiative (CCI) Product Specification Document (PSD) for the Essential Climate Variable (ECV) Greenhouse Gases (GHG), 6-June-2014, Version 3, 2014. Link: <u>http://www.esa-ghg-cci.org/index.php?q=webfm\_send/160</u>

Product User Guide:

 Schneising, O., et al., ESA Climate Change Initiative (CCI) Product User Guide SCIAMACHY WFM-DOAS (WFMD) XCO<sub>2</sub> and XCH<sub>4</sub> for the Essential Climate Variable (ECV) Greenhouse Gases (GHG), 15-May-2016, version 4, 2016. Link: <u>http://www.esa-ghgcci.org/webfm\_send/335</u>

### 5.3 CH4\_SCI\_IMAP product

Product: XCH<sub>4</sub> Level: 2 Sensor: SCIAMACHY/ENVISAT

Reference:

• Frankenberg, C., Aben, I., Bergamaschi, P., et al., Global column-averaged methane mixing ratios from 2003 to 2009 as derived from SCIAMACHY: Trends and variability, J. Geophys. Res., doi:10.1029/2010JD014849, 2011.

The product is compliant with the GHG-CCI Product Specification Document for XCO<sub>2</sub> and XCH<sub>4</sub> Level 2 data products:

 Buchwitz, M., et al., ESA Climate Change Initiative (CCI) Product Specification Document (PSD) for the Essential Climate Variable (ECV) Greenhouse Gases (GHG), 6-June-2014, Version 3, 2014. Link: <u>http://www.esa-ghg-cci.org/index.php?q=webfm\_send/160</u>

Product User Guide:



 Frankenberg, C., et al., ESA Climate Change Initiative (CCI) Product User Guide (PUG) for the IMAP-DOAS XCH4 SCIAMACHY Data Products (v7.2) for the Essential Climate Variable (ECV) Greenhouse Gases (GHG), 28-Aug-2016, version 4, 2016. Link: <u>http://www.esa-ghgcci.org/?q=webfm\_send/375</u>



## 6. Data quality overview

In this section a short overview about the data quality is given. The summary is based on assessments as documented in the latest draft of document Product Quality Assessment Report (PQAR). The final version of PQAR is in preparation and will be available end of 2017. Is not expected that the information given here which change but we recommend to consult the final version of PQAR (*PQAR GHG, 2017;* also listed in table "Related Documents" on page 7 as document *D*6), where all validation / quality assessment results are presented in detail.

#### *XCO*<sub>2</sub> *Level 2 products:*

Figure 37 shows a summary of the achieved performance in terms of single measurement precision, accuracy (in terms of spatial / spatio-temporal biases or "relative accuracy" or "relative bias", i.e., neglecting a possible constant bias or global offset, as obtained from comparison with TCCON XCO<sub>2</sub>).

As can be seen, the achieved random error (or precision) is on the order of 1.5 ppm and better than 3 ppm for all products. This is better than the required breakthrough requirement of better than 3 ppm.

The systematic error requirement is better than 0.5 ppm. The achieved performance is around 0.5 ppm (+/- a few 0.1 ppm). The probability that this requirement has been met is 9% for product CO2\_SCI\_WFMD and 51% for product CO2\_GOS\_OCFP and in between these extreme values for the other products. Stability is very good, i.e., close to 100% for all products.

#### *XCO*<sub>2</sub> *Level* 3 *products*:

The estimated accuracy is 0.3 ppm and the probability that the 0.5 ppm requirement is met is 76%.

The linear bias trend is -0.02 +/- 0.04 ppm/year and the probability that the 0.5 ppm/year requirement is met is 99%.

Overall, this product has therefore good accuracy and high stability.

#### *XCH*<sup>4</sup> *Level 2 products:*

Figure 38 shows a summary of the achieved performance in terms of single measurement precision, accuracy (in terms of spatial / spatio-temporal biases or "relative accuracy" or "relative bias", i.e., neglecting a possible constant bias or global offset, as obtained from comparison with TCCON XCO<sub>2</sub>).



The required single measurement random error (or precision) is better than 34 ppb (threshold) / better than 17 ppb (breakthrough). The breakthrough requirement is met for the GOSAT products and the threshold requirement is met for the EMMA product. The SCIAMACHY products do not meet the threshold requirement (due to large degradation-related noise after October 2005).

The systematic error (or "relative bias") requirement of better than 10 ppb (threshold) is met by all GOSAT product and the EMMA product. The SCIAMACHY product accuracy is about 10 ppb. The probability that this requirement is met is nearly 100% for all products except for the SCIAMACHY products where the probability is in the range 38-55%. The stability I very high as the probability that the corresponding requirement (drift < 3 ppb/year) is higher than 90% for all products.

#### *XCH*<sub>4</sub> *Level* 3 *products*:

The estimated accuracy is 2.7 ppb and the probability that the 10 ppb requirement is met is 100%.

The linear bias trend is -0.6 +/- 0.7 ppb/year and the probability that the 3 ppmb/year requirement is met is 97%.

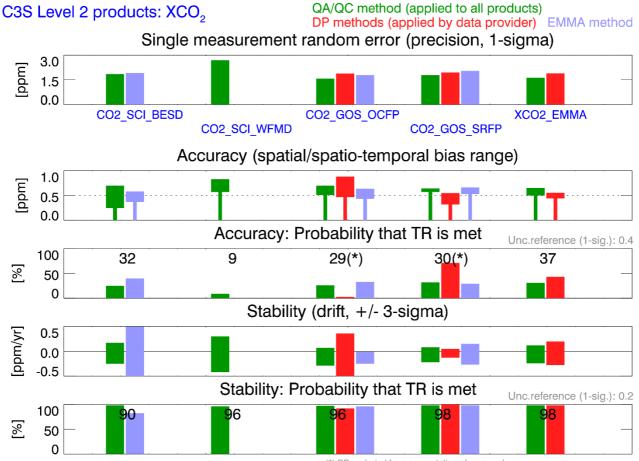
Overall, this product has therefore good accuracy and high stability.

#### Level 2 mid tropospheric products:

Please see ANNEX E of the document Product Quality Assessment Report (PQAR).

Note in particular that there is an approximately 2 ppm difference between the mid-tropospheric CO<sub>2</sub> products retrieved IASI/Metop-A and IASI/Metop-B. The reason for this is presently unclear. Very likely the CO<sub>2</sub> product from IASI/Metop-B has a 2 ppm low bias.

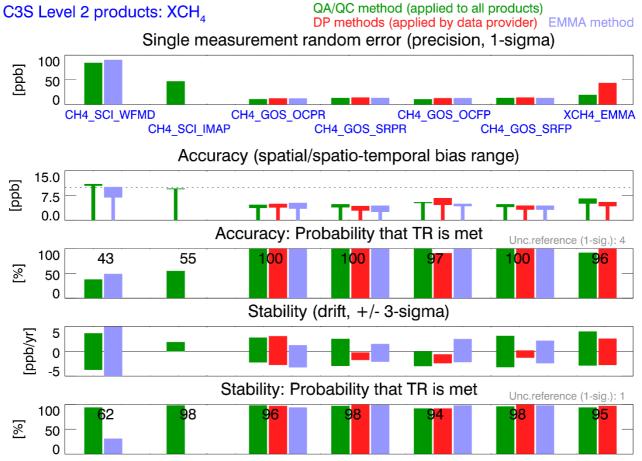
Figure 37 - Overview data quality assessment results for Level 2 XCO<sub>2</sub> data products. The green bars refer to the "Quality Assessment / Quality control" (QA/QC) results as described in this document. The red bars refer to results obtained by the data providers (DPs), as described in separate Annexes (see Sect. 8). For "Accuracy" and "Stability" also the numerical values for the "Probability that TR is met" are given (computed as mean value if more than one value (bar) exists). Also listed (in grey on the right hand side) is the uncertainty of the reference data as used for the Target Requirement (TR) assessments.



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(\*) DP excluded from computation of mean value

Figure 38 - Overview data quality assessment results for Level 2  $XCH_2$  data products. The green bars refer to the "Quality Assessment / Quality control" (QA/QC) results as described in this document. The red bars refer to results obtained by the data providers (DPs), as described in separate Annexes (see Sect. 8). For "Accuracy" and "Stability" also the numerical values for the "Probability that TR is met" are given (computed as mean value if more than one value (bar) exists). Also listed (in grey on the right hand side) is the uncertainty of the reference data as used for the Target Requirement (TR) assessments.



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# 7. Data access information

The data products are part of the Copernicus Climate Data Store (CDS) and are available from the Copernicus Climate Change Service (C3S) website:

https://climate.copernicus.eu/

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## 9. List of ANNEXes

### 9.1 ANNEX A: PUGS for products CO2\_GOS\_OCFP, CH4\_GOS\_OCFP and CH4\_OCPR

Describes the GOSAT XCO<sub>2</sub> and XCH<sub>4</sub> Level 2 products generated by University of Leicester, UK.

### 9.2 ANNEX B: PUGS for products CO2\_GOS\_SRFP and CH4\_GOS\_SRFP

Describes the GOSAT XCO<sub>2</sub> and XCH<sub>4</sub> Full Physics (FP) Level 2 products generated by SRON, The Netherlands.

### 9.3 ANNEX C: PUGS for product CH4\_GOS\_SRPR

Describes the GOSAT XCH<sub>4</sub> Proxy (PR) Level 2 product generated by SRON, The Netherlands.

### 9.4 ANNEX D: PUGS for products XCO2\_EMMA and XCH4\_EMMA

Describes the multi-sensor merged XCO<sub>2</sub> and XCH<sub>4</sub> Level 2 products generated by University of Bremen, Germany.

### 9.5 ANNEX E: PUGS for IASI CO<sub>2</sub> and CH<sub>4</sub> and AIRS CO<sub>2</sub> products

Describes the mid-tropospheric  $CO_2$  and  $CH_4$  products from the IASI instrument series and AIRS generated by LMD/CNRS, France.

These ANNEXes are available from: https://climate.copernicus.eu/ Copernicus Climate Change Service



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