## Chapter 5

# Segmentation

 $\underbrace{ \underbrace{ Segmentation}_{ dividing into regions} \rightarrow \underbrace{ \underbrace{ Feature \ Extraction}_{ measuring} \rightarrow \underbrace{ Classification}_{ deciding} }$ 

Toy example: sorting fruit (cherries, apples, lemons, grapefruit) using a color camera, and image processing:

- determine fruits and background on image  $\rightarrow$
- $\rightarrow$  determine size, shape color
- $\rightarrow\,$  decide which fruit is of which kind

## Image Segmentation means

- dividing image into regions<sup>1</sup> (= connected sets of pixels) according to
  - grey level
  - color (RGB, HSI)
  - texture (see Section 6.4)

- ...

#### $\rightarrow$ Fig. 5.1

- often, the regions are "objects" and background
- also: different objects
- or, in satellite images, e.g., different surface cover types
- In the following: Segmentation based on grey level or color (i.e., pixel values) simplest case



**Fig. 5.1:** Objects and background, distinguished by grey level or texture/pattern, (from Jähne, 2002, Fig. 11.1 and 11.2)



**Fig. 5.2:** Segmentation with a global threshold. (a) Original image; (b) histogram; (c)–(e) upper right section of (a), segmented with threshold values 110, 147, 185, respectively. From Jähne (2002)

## 5.1 Image segmentation by thresholding

use a threshold value to decide to which region a pixel belongs

 $\rightarrow$ Fig. 5.2

- determining threshold from histogram
- if histogram noisy: first smooth the histogram (not the image!)
- threshold value:
  - relative minimum between corresponding peaks in histogram
  - halfway between the peaks
  - ...

#### $\textbf{Global thresholding} \leftrightarrow \textbf{Adaptive thresholding}$

- Global thresholding:
  - one threshold value for the whole image
  - good only if background contrast is constant and all objects have similar contrast to background

else  $\rightarrow$  Fig. 5.3

- Adaptive thresholding:
  - divide image into blocks, choose thresholds according to individual histograms or: 2 Steps
    - 1. Global thresholding to roughly find the objects
    - 2. local thresholding of objects plus some surroundings
- Other methods, e.g.,
  - correct non-uniform background by location-dependent grey-level manipulation (as in Fig. 5.3

### 5.2 Region-growing

- select seed points/pixels
- each seed pixel is the starting point for a region
- compare each seed point with its neighbors: if neighbor has similar *properties*,(grey level, local variance, color etc.) as seed pixel, include it into the region of the see pixel.
- continue with the neighbors of the neighbors etc.  $\Rightarrow$  regions grow
- merge regions with the same property if the touch
- continue until the regions cannot grow any further

<sup>&</sup>lt;sup>1</sup>such regions are called segments, hence the name



**Fig. 5.3:** Segmentation of image with non-uniform illumination. (a) Original image; (b) profile of the indicated line; (c) Segmentation with global threshold (d) Segmentation with global threshold after correction of grey levels for non-uniform illumination From Jähne (2002)

- Note:
  - seed pixels have to be chosen well, typically many more than regions to be expected
  - property can also be a local property such as variance or texture (see later)
  - computationally expensive

#### 5.3 Gradient-based segmentation, edge detection

- find the boundary/edge of objects
- $\Rightarrow$  look for points of rapid spatial change of grey level (or color etc.)
  - various methods

#### Gradient

• linear filter with kernels like

$$h = \begin{bmatrix} -1 & 0 & 1 \end{bmatrix} \text{ or } \begin{bmatrix} -1 & 1 \end{bmatrix}$$
  
i.e., horizontal gradient,  $\frac{\partial}{\partial x}$   
or  $h = \begin{bmatrix} -1 \\ 0 \\ 1 \end{bmatrix}$  or  $\begin{bmatrix} -1 \\ 1 \end{bmatrix}$ 

- i.e., vertical gradient,  $\frac{\partial}{\partial y}$
- Then calculate gradient magnitude

$$|\nabla f(x,y)| = \sqrt{\left(\frac{\partial f}{\partial x}\right)^2 + \left(\frac{\partial f}{\partial y}\right)^2}$$
(5.1)

- This means:
  - 1. filter original image with horizontal gradient filter
  - 2. filter original image with vertical gradient filter
  - 3. take the pixel-wise square of each gradient image
  - 4. add the two squared gradient images
  - 5. take the square root (pixel-wise)

#### Laplacian edge detection

• Laplace operator is a second derivative

$$\nabla^2 f(x,y) = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2}$$
(5.2)

• Corresponding filter kernel (see also Section 2.2.3):

$$\begin{bmatrix} 0 & -1 & 0 \\ -1 & 4 & -1 \\ 0 & -1 & 0 \end{bmatrix}$$
(5.3)

• Edge produces adjacent positive and negative values

Figure: Sketch 1D: Edge, Gradient mag., Laplace

 $\rightarrow$ Fig. 5.4

#### Other edge detection filters

Sobel filter Two kernels,

[-1]	-2	-1		$\left[-1\right]$	0	1]
0	0	0	and	-2	0	2
1	2	1		$\lfloor -1 \rfloor$	0	1

apply both and take the maximum



**Fig. 5.4:** (a) Original image. Edge detection with (b) Laplace operator, (c) horizontal gradient, (d) vertical gradient, (e) gradient magnitude, sum of absolute values of (c) and (d). After Jähne (2002, Fig 10.20)

Prewitt

Kirsch

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## 5.4 Template Matching

 $\rightarrow$ Fig. 5.5



**Fig. 5.5:** Image *f* with some differently shaped objects, and template *g* (blue) which here is a small square.

- Define a template g, i.e., a small image containing a prototype of the object to find in image f
- Calculate normalized cross correlation  $D_{gf}$  of image f with template g

$$D_{gf}(u,v) = \frac{\int_{S} g(x,y) f(x+u,y+v) dx dy}{\left[\int_{S} g^{2}(x,y) dx dy \int_{S} f^{2}(x+u,y+v) dx dy\right]^{1/2}}$$
(5.4)

where "integration" is actually just sum over domain S covered by template g.

- Perfect match:  $D_{gf} = 1$
- Look for maximum = good match

### 5.5 Preprocessing

• Above segmentation methods for object and background which are characterized pixel-wise by typical values (grey level, color)

- If objects and background are characterized by different texture/pattern (see the examples in Fig. 5.1):
- Preprocessing: Analyze texture<sup>2</sup> ⇒transform it into some value so that all pixels in one texture area have the same value
- Then do segmentation as described above

## 5.6 Postprocessing: Morphological Filters

- Result of segmentation: An additional image telling which pixel belongs to which region or object
- often a binary image: "1" for "object", "0" for "background"
- Problem: Segmentation result not ideal because of noise in the original image: Object have small holes, edges ragged, too wide, not continuous
- $\Rightarrow$  need to clean or straighten them: this can be done by morphological filters

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Figure: Non-ideal segmentation result
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- Interpret binary image *B* as a set defined on a Cartesian grid, the "1"s are the elements of the set.
- Define a small mask ("structural element")  $S_{xy}$  centered on position (x, y) the mask contains just "1"s, for example

$$\begin{bmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{bmatrix}$$
 (8-neighborhood)

• 2 main ways to apply the mask to the image:

#### Erosion

Resulting image E defined by

$$E = B \ominus S = \{(x, y) | S_{xy} \subseteq B\}$$

$$(5.5)$$

- Sometimes, symbol  $\otimes$  is used instead of  $\ominus$
- This means: A point (x, y) is only kept if the structural element  $S_{xy}$  centered on this point lies entirely within a region of "1"s
- $\Rightarrow$  omitting border/edge points of object
  - Example with a square  $3 \times 3$  structural element  $\rightarrow$  Fig. 5.6

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\rightarrowFig. 5.7
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 $<sup>^{2}</sup>$ Texture analysis looks at neighborhoods and characterizes the texture, e.g., the direction of a hatch pattern, the density/size of the pattern, shape of the elements of the pattern ...



**Fig. 5.6:** Erosion by a square  $3 \times 3$  structural element. Pixels that have been removed are shown in grey (after Jähne, 2002, Fig. 15.1)



Fig. 5.7: Erosion of a real image, 3×3 structural element (after Jähne, 2002, Fig.15.2)

#### Dilation

Resulting image E defined by

$$E = B \oplus S = \{(x, y) | S_{xy} \cap B \neq \emptyset\}$$

Ø is the empty set

(5.6)

- This means: A point (x, y) is kept or added if the structural element  $S_{xy}$  centered on this point has overlap with region of "1"s
- $\Rightarrow$  adding points to border/edge of object
- Example with a square  $3 \times 3$  structural element  $\rightarrow$  Fig. 5.8

$$\rightarrow$$
Fig. 5.9

#### **Other operations**

Dilation and erosion can be combined or modified:

**Opening** Erosion followed by dilation:

 $B \circ S = (B \ominus S) \oplus S$ 

 $\rightarrow$  eliminates small, thin objects, smooths object edges



**Fig. 5.8:** Dilation by a square  $3 \times 3$  structural element. Pixels that have been added are shown in grey (after Jähne, 2002, Fig. 15.1)



Fig. 5.9: Dilation of a real image, 3×3 structural element (after Jähne, 2002, Fig.15.3)

**Closing** Dilation followed by erosion:

$$B \bullet S = (B \oplus S) \ominus S$$

**Shrinking** Erosion, but leaving single pixels intact  $\rightarrow$  objects ultimately reduced to single pixels (for counting objects)

**Thinning** Erosion, but avoiding to break objects  $\rightarrow$  objects become lines

## 5.7 Postprocessing: Identifying connected components

- usually an "object" is a region of pixels which are connected
- to identify and label them, there is a simple two-pass algorithm:

**1st pass:** go through image pixel by pixel, line by line, and label all object pixels  $\rightarrow$  Fig. 5.10

- pixels without object neighbor: new label
- pixels with object neighbor: neighbor's label
- note (in a list) labels that have become neighbors during the 1st pass

>		1				1				1	
	M	11	177	1	121	11	3		2	1	3
1					T				2	1	3
		11							2	Tah	3
	1 5	-	$\neg$					+>	22	211	
	•				- Cinner and a second					$\Box$	
TA	st lin			12	ndl	ine		1	last	Line	

**Fig. 5.10:** First pass, labeling object pixels; first line (left), second line (middle), last line (right). New label if no neighbor known; note labels that become neighbors (see right-most sketch).



Fig. 5.11: Second pass, merge labels that have become neighbors in first pass.

**2nd pass:** go through the image again, pixel by pixel  $\rightarrow$  Fig. 5.11

• replace all labels that have been noted as neighbors by a new, common label

## **Bibliography**

B. Jähne. Digital Image Processing. Springer, 2002.